| Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) | U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Offic |
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| RECORDATION FORM COVER SHEET | |
| PATENT | TS ONLY |
| To the Director of the U.S. Patent and Trademark Office: Please | se record the attached documents or the new address(es) below. |
| 1. Name of conveying party(ies) | 2. Name and address of receiving party(ies) |
| Terrence Scott, 08/04/05 | Name: Baxter International Inc. |
| Debra ŁaFreniere, 08/02/05 Vered Bisker-Leib. 08/02/05 | Internal Address: |
| Larry Brown, 08/04/05 | |
| Additional name(s) of conveying party(ies) attached? 🗹 Yes 🔲 No | , |
| 3. Nature of conveyance/Execution Date(s): | Street Address: One Baxter Parkway |
| Execution Date(s) see above | |
| Assignment Merger | |
| Security Agreement Change of Name | City: Deerlield |
| Joint Research Agreement | State: IL |
| Government Interest Assignment | |
| Executive Order 9424, Confirmatory License | Country: U.S. Zip: 60015 |
| Other | Additional name(s) & address(es) attached? Ves No |
| 4. Application or patent number(s): | document is being filed together with a new application. |
| A. Patent Application No.(s) | B. Patent No.(s) |
| 11/127,360 | |
| | |
| | |
| Additional numbers at | |
| 5. Name and address to whom correspondence concerning document should be mailed: | 6. Total number of applications and patents involved: 1 |
| | |
| Name: <u>Laura J. DeMoor</u> | 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 |
| Internal Address: <u>DF2-2W</u> | Authorized to be charged by credit card |
| | Authorized to be charged to deposit account |
| Street Address: One Baxter Parkway | Enclosed |
| | None required (government interest not affecting title) |
| City: Deerfield | 8. Payment Information |
| | a. Credit Card Last 4 Numbers |
| State:Zip:60015 | Expiration Date |
| Phone Number: <u>847-948-4620</u> | b. Deposit Account Number 02-1440 |
| Fax Number: <u>847-948-4333</u> | Authorized User Name |
| Email Address: | Addionzed Oser Name |
| 9. Signature: | 3-29-06 |
| Signature | Date |
| Laura J. DeMoor Reg. No.39,654 | Total number of pages including cover 7 |
| Name of Person Signing | sheet, attachments, and documents: |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 017406 FRAME: 0189 8479484333 **USDFBAXTERLEGAL** $05{:}13{:}46\ p.m. \qquad 03{-}30{-}2006$

RECORDATION FORM COVER SHEET (CONT'D)

1. Name of conveying party(ies)/Execution Dates(s):

Jennifer Machen, 12/14/05 Nick Giannoukakis, 11/16/05

2. Name and address of receiving party(ies)

Baxter Healthcare S.A. Heristrasse 2 Wallisellen CH-8304 Switzerland

Children's Hospital of Pittsburgh 3705 Fifth Avenue Pittsburgh, PA 15213

Baxter

ASSIGNMENT

| | (Joint Inventors) |
|--|---|
| Serial No. <u>11/127,360</u> Filed <u>May 12,</u> | 2005 |
| assign to BAXTER INTERNATIONAL INC., a corporation of Delaw | s, the receipt and sufficiency whereof are hereby acknowledged, we hereby are, having a principal place of business at Deerfield, Illinois, its successors S.A., a corporation of Switzerland, having a principal place of business a gns (hereinafter jointly referred to as "ASSIGNEE") the entire right, title and all Tolerance for the Treatment of Autoimmune Type I Diabetes |
| | <u></u> |
| below and any and all other United States applications and applicationters, on said invention or improvements, and in any and all Letter on any of the said applications, and in any reissue or extension ther We hereby authorize and request the Commissioner We hereby authorize and request the attorneys of number of said application when officially known. We warrant ourselves to be the owners of the interference of the interference, and any agranted upon said application that said assignee, its successors, letter said considerations we further agree, upon the request of said said application or any division thereof, or Letters Patent issued the in interference, to cooperate to the best of our ability with said as preparing and executing the preliminary statement and giving and such request, any and all affirmative acts to obtain Letters Pates successors, legal representatives and assigns whereby said Letter representatives and assigns to the end of the term for which said been held and enjoyed by us if this assignment and sale had not assignee, its successors, legal representatives and assigns the enforcing countries and the right of priority for patent and utility measures of said assignee its successors legal representatives and outlity and on the request of said assignee its successors legal representatives and utility measures of said assignee its successors legal representatives and utility measures of said assignee its successors legal representatives and utility measures of said assignee its successors legal representatives and utility measures of said assignee its successors legal representatives and utility measures of said assignee its successors legal representatives and utility measures of said assignee its successors legal representatives and assigner and utility measures of said assignee its successors legal representatives and assigner and the right of priority for patent and util | ref patents to issue said Letters Patent to said ASSIGNEE. record in said application to insert in this assignment the date and serial erest herein assigned and to have the right to make this assignment; and enses, or other rights in the interest herein assigned. est and at the expense of said assignee, its successors, legal representatives enewal applications for said invention or improvements, and any necessary application for the reissue or extension of any Letters Patent that may be agal representatives and assigns may deem necessary or expedient, and for assignee, its successors, legal representatives and assigns, in the event of ereon, or any reissue or application for the reissue thereof becoming involved assignee, its successors, legal representatives and assigns in the matters or producing evidence in support thereof. We further agree to perform, upon ent, and vest all rights therein hereby conveyed in the said assignee, its Patent will be held and enjoyed by the said assignee, its successors, legal Letters Patent may be granted as fully and entirely as the same would have been made, and for the said considerations we hereby also assign to said interest in said invention or improvements for any and a odel applications in all countries arising under any applicable international ternal priority legislation of such countries, and we further agree upon the least of the patent therefor, including the prosecution thereof, and to execute any and all documents that shall be required to be entered patent therefor, including the prosecution thereof, and to execute any |
| Date CHAVE OF Signature Indust | Date <u>02 AUGUS</u> Signature WWW ROMENUCC |
| Typed Name: Terrence Scott | Typed Name: Debra LaFreniere |
| State of MA , County of New Folk | State of NA County of Nor Solk |
| Signed before me on this 4 day of August, 20 65 | Signed before me on this a day of Avaust 2005 |
| , | By Debra LaFreniere |
| By Terrence Scott Inventor | Inventor |
| Notary Public | Notary Public |
| Date 8 2 05 Signature Vered Rosker-Leib Typed Name: Vered Bisker-Leib State of Not County of Not Olic Signed before me on this 2 day of August, 20 05 By Vered Bisker-Leib | Date 8/4/05 Signature 27/20/18 Typed Name: Larry Brown State of 6/1 County of 6/19 day of 1900 100 100 100 100 100 100 100 100 10 |
| Inventor | Inventor |
| KAREN B. KUZMICH Notary Public My Commission Expires My Commission Expires April 10.2 dec. Matery Public | Notary Public |

8479484333

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good, and valuable considerations, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, I, Jennifer Machen, of History, in the County of Michen, and the Commonwealth of Pennsylvania,

Hereby sell, assign and transfer to CHILDREN'S HOSPITAL OF PITTSBURGH, a corporation of the Commonwealth of Pennsylvania, having a place of business at 3705 Fifth Avenue, Pittsburgh, Pennsylvania 15213, its successors, assigns, and legal representatives, my entire right, title and interest, for all countries, in and to any and all improvements which are disclosed and claimed, and any and all improvements which are disclosed but not claimed, in the application for United States Patent, Serial No. 11/127,360, Filed May 12, 2005, entitled "Delivery of AS-Oligonucleotide Microspheres to Induce Dendritic Cell Tolerance for the Treatment of Autoimmune Type 1 Diabetes" (Atty. Docket No. 6143-UT1 (4360-0002.01)) and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents and Trademarks to issue to said Assignee, CHILDREN'S HOSPITAL OF PITTSBURGH, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, I will, for all countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to us relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives.

I

Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

ennifer Machen Date

Commonwealth of Pennsylvania)

)SS.

County of Allegheny

Before me this 14th day of <u>December</u>, 2005 personally appeared Jennifer Machen, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Carla D. Crawford, Notary Public
City Of Pitisburgh, Allegheny County
My Commission Expires Aug. 25, 2009

Member, Pennsylvania Association of Notaries

8479484333 USDFBAXTERLEGAL 05:15:40 p.m. 03-30-2006 8 /9

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good, and valuable considerations, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, I, Nick Giannoukakis, of Coraopolis, in the County of Allegheny, and the Commonwealth of Pennsylvania,

Hereby sell, assign and transfer to CHILDREN'S HOSPITAL OF PITTSBURGH, a corporation of the Commonwealth of Pennsylvania, having a place of business at 3705 Fifth Avenue, Pittsburgh, Pennsylvania 15213, its successors, assigns, and legal representatives, my entire right, title and interest, for all countries, in and to any and all improvements which are disclosed and claimed, and any and all improvements which are disclosed but not claimed, in the application for United States Patent, Serial No. 11/127,360, Filed May 12, 2005, entitled "Delivery of AS-Oligonucleotide Microspheres to Induce Dendritic Cell Tolerance for the Treatment of Autoimmune Type 1 Diabetes" (Atty. Docket No. 6143-UT1 (4360-0002.01)) and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents and Trademarks to issue to said Assignee, CHILDREN'S HOSPITAL OF PITTSBURGH, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, I will, for all countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to us relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives.

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PATENT REEL: 017406 FRAME: 0194 Covenant with said Assignee, its successors, assigns and legal representatives that I have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed, and that I have full right to convey the same as herein expressed.

Nufus/hannel Nov. 16, 2005
Nick Giannoukakis Date

9 /9

Commonwealth of Pennsylvania)
SS.
County of Allegheny)

Notary Public / Misch

om to Sear history of the Charlest Public Only of Search, seegheny County My Commission Expires Sept. 12, 2006

Member, Pennsylvania Association Of Notaries

RECORDED: 03/30/2006