

01-05-2006



SHEET

103151637

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

John Gutierrez
Blake Sellers

2. Name and address of receiving party(ies)

Name: Avvantica Consulting, LLC

Internal Address: _____

Street Address: 2323 Bryan Street
18th Floor

City: Dallas

State: Texas

Country: USA Zip: 75201

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12/20/2005

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Buskop Law Group

Internal Address: _____

Street Address: 1776 Yorktown Street
Suite 550

City: Houston

State: Texas Zip: 77056

Phone Number: 713-403-7411

Fax Number: 713-275-3419

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number 50-1313

Authorized User Name Christian Heausler

9. Signature:

Christian Heausler

12/22/2005

Date

01/04/2006 ECOOPER 00000158 501313 11316005 Signature

01 FC:8021

40.00 DA

Christian Heausler

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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PATENT
REEL: 017410 FRAME: 0626

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit: Not Assigned

JOHN GUTIERREZ

BLAKE SELLERS

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: Concurrently

**For: SYSTEM FOR ACCELERATING
SARBANES-OXLEY (SOX) COMPLIANCE
PROCESS FOR MANAGEMENT OF A
COMPANY**

Attorney Docket Number: 1451.003

**TRANSFER OF ASSIGNMENT FOR FILED APPLICATION
FOR UNITED STATES PATENT**

WHEREAS:

I, JOHN GUTIERREZ of 302 Hcarthstone, Coppell, Texas, 75019, US (hereinafter referred to as ASSIGNOR), have invented and own a certain invention as described in the above identified patent application; and

I, BLAKE SELLERS of 17228 Hidden Glen Drive, Dallas, Texas, 75248, US (hereinafter referred to as ASSIGNOR), have invented and own a certain invention as described in the above identified patent application;

WHEREAS:

AVVANTICA CONSULTING, LLC, of 2323 Bryan Street, 18th Floor, Dallas, Texas, 75201, US (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW THEREFORE, in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its

Attorney Docket Number: 1451.003

territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary to desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have here unto set hand and seal this:

12/20/05 John Gutierrez, ASSIGNOR
(Date) JOHN GUTIERREZ

20 December, 2005 Blake G. Sellers, ASSIGNOR
(Date) BLAKE SELLERS