ì	01-05-20	006	DT 15 Rec'd PCT/FTU 17 AUG 2
		.	Attny Docket No. X-15178
To the Honorable Commissione documents or copy thereof:	1031517	'14 👘	se record the attached original
1. Name of conveying party			address of receiving party(ies)
Scott Eugene Conner Nathan Bryan Mantlo Guixin Zhu		Name: Eli Lilly and Company Internal Address: Patent Division	
Additional name(s) of conve attached? () Yes (X) No		Street Addr	ess: Lilly Corporate Center s State: IN Zip: 46285
3. Nature of conveyance:			name(s) & address(es) attached?
•		() Yes	(X) No
- 4. Application number(s)	or patent Number(s	$\frac{1}{3} = 1010$	
 () Security Agreement (() Other Execution Date: February 1 4. Application number(s) of This document is being file PCT/US03/02680, Internation 	ed together with a	a 35 U.S.C. 3	
A. Patent Application No.	(s): E	3. Patent No). (s) :
	tional Numbers att		
5. Name and address of par correspondence concern should be mailed:		patents	umber of applications and involved: (1) iee (37 CFR §3.41) \$_40.00
Kimberly S. Rhoades Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, IN 46206)	(\$40.00 () En (X) Au de	per assignment)
)5103		y overpayment)
04 FC:8021 40.00 DA		8. Deposit	account number: 05-0840
	DO NOT USE	THIS SPACE	
9. Statement and signature	θ.		
To the best of my know correct and any attache <u>MaCharri Vorndran-Jones</u> Name of Attorney Signing Reg. No. 36,711			ng information is true and original document. M. Jour <u>8/17/2004</u> Date
Total number of pages in	ncluding cover she	eet, attachme	ents and document: (4)
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and is addressed to the Assis Queen Thomas Printed Name		Quees	ature hana

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ASSIGNMENT

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WHEREAS we, <u>Scott Eugene Conner, of the City of Elizabethtown, County of</u> <u>Bartholomew, Nathan Bryan Mantlo, of the City of Brownsburg, County of Hendricks, Guoxin Zhu, of the</u> <u>City of Noblesville, County of Hamilton, and all of the State of Indiana</u> have made an invention which is the subject of an international patent application under the Patent Cooperation Treaty ("PCT") entitled <u>PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR MODULATIORS</u>, containing <u>148</u> pages, and which is to be filed in the United States Patent and Trademark Office acting as PCT Receiving Office ("Application"); and we hereby authorize and direct our common representative to insert below the filing date and application number when they become known:

PCT/US 03 102680 , filed 13 FEBLUARY 2003 ; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW. THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") our entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-inpart, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by us had this Assignment and sale to Lilly not been made.

For ourselves and for our heirs, successors and legal representatives, we covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with Lilly that upon request we and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any

interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

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Docket No. X-15178

IN WITNESS WHEREOF we have executed this assignment on the date indicated below. 2-10-2003 Scott Eugene Conner Date UNITED STATES OF AMERICA

7

STATE OF INDIANA) February 10, 2003) ss: COUNTY OF MARION)

Before me, a Notary Public for Hamilton County, State of Indiana, personally appeared Scott Eugene Conner and acknowledged the execution of the foregoing instrument this 10th day of February, 2003.

Notary Public

My commission expires: Resident of Hamilton County My Commission Expires: September 12, 2006

athan Bryan Mantlo

UNITED STATES OF AMERICA

STATE OF INDIANA

)) ss:)

<u>February 10,</u> 2003

COUNTY OF MARION

Before me, a Notary Public for Hamilton County, State of Indiana, personally appeared Nathan Bryan Mantlo and acknowledged the execution of the foregoing instrument this ______ day of February _____, 2003.

: a Keinke

My commission expires:

Cathi A. Reinhold Resident of Hamilton County My Commission Expires: September 12, 2006

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10-2003

ZUU

Guoxin Zhu

Date

UNITED STATES OF AMERICA

STATE OF INDIANA

) ss:)

)

February 10, __ 2003

COUNTY OF MARION

Before me, a Notary Public for Hamilton County, State of Indiana, personally appeared Guoxin Zhu and acknowledged the execution of the foregoing instrument this 10th day of February, 2003.

Vathi a Reinherles Notary Public

My commission expires:

Cathi A. Reinhold **Resident of Hamilton County** My Commission Expires: September 12, 2006

ASSIGNMENT

WHEREAS we, <u>Scott Eugene Conner</u>, of the City of Elizabethtown, County of <u>Bartholomew</u>, Nathan Bryan Mantlo, of the City of Brownsburg, County of Hendricks, Guoxin Zhu, of the <u>City of Noblesville</u>, County of Hamilton, and all of the State of Indiana have made an invention which is the subject of an international patent application under the Patent Cooperation Treaty ("PCT") entitled <u>PEROXISOME PROLIFERATOR ACTIVATED RECEPTOR MODULATIORS</u>, containing <u>148</u> pages, and which is to be filed in the United States Patent and Trademark Office acting as PCT Receiving Office ("Application"); and we hereby authorize and direct our common representative to insert below the filing date and application number when they become known:

PCT/US 03 102680 , filed 13 FEBRUARY 2003 ; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") our entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-inpart, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by us had this Assignment and sale to Lilly not been made.

For ourselves and for our heirs, successors and legal representatives, we covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with Lilly that upon request we and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any

interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

-2-

Docket No. X-15178

IN WITNESS WHEREOF we have executed this assignment on the date indicated below. <u>2-10-2003</u> Date UNITED STATES OF AMERICA

STATE OF INDIANA)) ss:February 10, 2003COUNTY OF MARION)

Before me, a Notary Public for Hamilton County, State of Indiana, personally appeared <u>Scott</u> <u>Eugene Conner</u> and acknowledged the execution of the foregoing instrument this <u>10th</u> day of February, 2003.

Notary Public

My commission expires: Cathi A. Reinhoid expires: Resident of Hamilton County My Commission Expires:

September 12, 2006

Bryan Mantlo

UNITED STATES OF AMERICA

STATE OF INDIANA

)) ss:

<u>February 10,</u> 2003

COUNTY OF MARION

Before me, a Notary Public for Hamilton County, State of Indiana, personally appeared <u>Nathan</u> <u>Bryan Mantlo</u> and acknowledged the execution of the foregoing instrument this <u>10th</u> day of <u>February</u>, 2003.

7. Kenke

My commission expires:

Cathi A. Reinhold Resident of Hamilton County My Commission Expires: September 12, 2006

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0-2003 Date

Zhu tuotu

Guoxin Zhu

UNITED STATES OF AMERICA

STATE OF INDIANA COUNTY OF MARION

) ss:)

)

February 10, 2003

Before me, a Notary Public for Hamilton County, State of Indiana, personally appeared Guoxin Zhu and acknowledged the execution of the foregoing instrument this 10th day of February, 2003.

athi a Reinhald

Notary Public

My commission expires:

Cathi A. Reinhold Resident of Hamilton County My Commission Expires: September 12, 2006