

01-06-2006

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OMB No. 0651-0027 (exp. 6/30/2005)



U.S. DEPARTMENT OF COMMERCE  
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**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

01-04-08

**1. Name of conveying party(ies)**

John Foekens - July 28, 2005  
Nadia Harbeck - December 5, 2005  
Thomas Koenig - August 22, 2005  
Sabine Maier - August 23, 2005

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: Epigenomics AG

Internal Address: \_\_\_\_\_

Street Address: Kleine Praesidentenstrasse

City: Berlin

State: \_\_\_\_\_

Country: Germany

Zip: 10178

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) see above and page 2

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

US 10/517,741

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Barry L. Davison, Ph.D., J.D.

Internal Address: Suite 2600

Street Address: 1501 Fourth Avenue

City: Seattle

State: WA

Zip: 98101-1688

Phone Number: 206-628-7621

Fax Number: 206-628-7699

Email Address: barrydavison@dwl.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 40

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

27 Dec. 2005  
Date

01/05/2006 BYRNE 00000144 10517741

01 FC:8021

40.00 DP  
Barry L. Davison, Ph.D., J.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 16

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**Recordation Form Cover Sheet – Patents Only**

**1. Name of conveying party(ies) (and execution date)**

John Martens – August 15, 2005

Fabian Model – August 17, 2005

Inko Nimmrich – August 22, 2005

Tamas Rujan – August 23, 2005

Armin Schmitt – August 11, 2005

Manfred Schmitt – July 29, 2005

Maxime P. Look – August 2, 2005

Almuth Marx - September 3, 2005

Heinz Hoefler – September 9, 2005

**ASSIGNMENT** :

WHEREAS, I, John Foekens, residing at Filosofentuin 35, Rotterdam, Netherlands NL-2908 XA; Nadia Harbeck, residing at Palnkamer Strasse 49, Otterfing, Germany 83624; Thomas Koenig, residing at Skalitzer Strasse 18, Berlin, Germany 10999; Sabine Maier, residing at Markelstrasse 60, Berlin, Germany 12163; John Martens, residing at Schiekade 121 h, Rotterdam, Netherlands NL-3055 BK; Fabian Model, residing at Dedenzerstrasse 73, Berlin, Germany 12683; Inko Nimmrich, residing at Heinz-Kapelle-Strasse 9, Berlin, Germany 10407; Tamas Rujan, residing at Vinetastr. 7, Berlin, Germany 13189; Armin Schmitt, residing at Hortensienstrasse 29, Berlin, Germany 12203; Manfred Schmitt, residing at Hohenaschauerstrasse 10, Munich; Germany 81669; Maxime Pl. Look, residing at Stade de Colombes 55, VS Amsterdam, Netherlands NL-1098; Almuth Marx, residing at Wicherstrasse 45, Berlin, Germany 10439; and Heinz Hoefler, residing at Ismaningerstrasse 64, Munich, Germany 81675, (ASSIGNORS), are the inventors named in U.S. patent application No. 10/517,741 entitled METHOD AND NUCLEIC ACIDS FOR THE IMPROVED TREATMENT OF BREAST CELL PROLIFERATIVE DISORDERS; said application number was nationalized in the United States from International Application PCT/EP2003/010881 filed on October 1, 2003, further claiming priority to DE 10245779.4 filed October 1, 2002, DE 10300096.8 filed January 7, 2003 and DE 10317955.0 filed April 17, 2003;

AND, WHEREAS, Epigenomics AG, a German corporation, having administrative offices at Kleine Praesidentenstrasse 1, 10178 Berlin, Germany (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto said ASSIGNEE, my entire right, title to and interest in said application and said inventions; any patent or reissues of any patent that may be granted thereon; and any applications which are improvements thereon, continuations, continuations-in-part, substitutes, or divisions of said applications, and all foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by me had this sale, assignment, and transfer not been made, and I do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to me.

EXECUTED in Capelle 'd Yssel this 28<sup>th</sup> day of July, 2005.

\_\_\_\_\_  
John Foekens

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Nadia Harbeck

EXECUTED in Berlin, this 22 day of August, 2005.

  
\_\_\_\_\_  
Thomas Koenig

EXECUTED in Berlin, this 23 day of August, 2005.

  
\_\_\_\_\_  
Sabine Maier

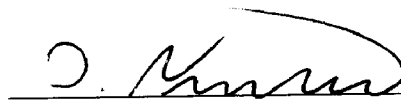
EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
John Martens

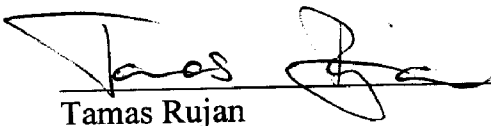
EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Fabian Model

EXECUTED in Berlin, this 22 day of August, 2005.

  
\_\_\_\_\_  
Inko Nimmrich

EXECUTED in Berlin, this 23 day of August, 2005.

  
\_\_\_\_\_  
Tamas Rujan

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Nadia Harbeck :

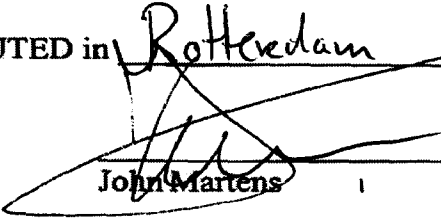
EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Thomas Koenig :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Sabine Maier :

EXECUTED in Rotterdam, this 15<sup>th</sup> day of August, 2005.

  
\_\_\_\_\_  
John Martens :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Fabian Model :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Inko Nimmrich :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Tamas Rujan :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Nadia Harbeck :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Thomas Koenig :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Sabine Maier :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
John Martens :

EXECUTED in Seattle, this 17 day of August, 2005.

F. Model  
\_\_\_\_\_  
Fabian Model :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Inko Nimmrich :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Tamas Rujan :

EXECUTED in Berlin, this 11th day of August, 2005.

Armin Schmitt  
Armin Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

Manfred Schmitt  
Manfred Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

Maxime P. Look  
Maxime P. Look

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

Almuth Marx  
Almuth Marx

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

Heinz Hoefler  
Heinz Hoefler

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Armin Schmitt

EXECUTED in Manfred, this 29th day of July, 2005.

Manfred Schmitt  
Manfred Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Maxime P. Look

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Almuth Marx

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Heinz Hoefler



EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Armin Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Manfred Schmitt

EXECUTED in Rotterdam, this 2<sup>nd</sup> day of August, 2005.

*M. Look*  
Maxime P. Look

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Almuth Marx

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Heinz Hoefler

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Armin Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Manfred Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Maxime P. Look

EXECUTED in Nürnberg, this 3<sup>rd</sup> day of September, 2005.

Almuth Marx  
Almuth Marx

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Heinz Hoefler

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Armin Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Manfred Schmitt

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Maxime P. Look

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Almuth Marx

EXECUTED in Munich, this 9 day of September, 2005.

\_\_\_\_\_  
Heinz Hoefler

**ASSIGNMENT**

WHEREAS, I, John Foekens, residing at Filosofentuin 35, Rotterdam, Netherlands NL-2908 XA; Nadia Harbeck, residing at Palnkamer Strasse 49, Otterfing, Germany 83624; Thomas Koenig, residing at Skalitzer Strasse 18, Berlin, Germany 10999; Sabine Maier, residing at Markelstrasse 60, Berlin, Germany 12163; John Martens, residing at Schiekade 121 h, Rotterdam, Netherlands NL-3055 BK; Fabian Model, residing at Dedenzerstrasse 73, Berlin, Germany 12683; Inko Nimmrich, residing at Heinz-Kapelle-Strasse 9, Berlin, Germany 10407; Tamas Rujan, residing at Vinetastr. 7, Berlin, Germany 13189; Armin Schmitt, residing at Hortensienstrasse 29, Berlin, Germany 12203; Manfred Schmitt, residing at Hohenaschauerstrasse 10, Munich, Germany 81669; Maxime Pl. Look, residing at Stade de Colombes 55, VS Amsterdam, Netherlands NL-1098; Almuth Marx, residing at Wicherstrasse 45, Berlin, Germany 10439; and Heinz Hoefler, residing at Ismaningerstrasse 64, Munich, Germany 81675, (ASSIGNORS), are the inventors named in U.S. patent application No. 10/517,741 entitled METHOD AND NUCLEIC ACIDS FOR THE IMPROVED TREATMENT OF BREAST CELL PROLIFERATIVE DISORDERS; said application number was nationalized in the United States from International Application PCT/EP2003/010881 filed on October 1, 2003, further claiming priority to DE 10245779.4 filed October 1, 2002, DE 10300096.8 filed January 7, 2003 and DE 10317955.0 filed April 17, 2003;


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EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
John Foekens

EXECUTED in Munich, this 5 day of December, 2005.

  
Nadia Harbeck :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Thomas Koenig :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
Sabine Maier :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

\_\_\_\_\_  
John Martens :

EXECUTED in \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_, 2005.

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Fabian Model :

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Tamas Rujan :

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Almuth Marx

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\_\_\_\_\_  
Heinz Hoefler