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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No. 13361.4003

To the Honorable Commissioner of Patents and Trademarks.

103149502

Documents or copy thereof.

1. Name of conveying party:
JOHN E. EDWARDS, Jr., SCOTT G. FILLER, DONALD C.
SHEPPARD, ASHRAF S. IBRAHIM, YU FUE, AND
BRADLEY J. SPELLBERG

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 12/8/05, 12/14/05 and 12/20/05

2. Name and address of receiving party:

Name: Los Angeles Biomedical Research Institute at Harbor-
UCLA Medical Center

Internal Address:

City: Torrance State: CA Zip: 90502-2064

Street Address: 1124 West Carson Street

City: Torrance State: CA Zip: 90502-2064

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 10/245,802

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kurt T. Mulville

Internal Address: Orrick, Herrington & Sutcliffe LLP
4 Park Place, Suite 1600
Irvine, CA 92614-2558
Customer No. 34313

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed.

☒ Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 15-0665

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt T. Mulville, Reg No. 37,194

Date: December 28, 2005

Total number of pages including cover sheet: 7

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

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ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, John E. Edwards, Jr., a citizen of the UNITED STATES, Scott G. Filler, a citizen of the UNITED STATES, Donald C. Sheppard, a citizen of CANADA, Ashraf S. Ibrahim, a citizen of the UNITED STATES, Yue Fu, a citizen of the UNITED STATES, and Bradley J. Spellberg, a citizen of the UNITED STATES (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled **PHARMACEUTICAL COMPOSITIONS AND METHODS TO VACCINATE AGAINST DISSEMINATED CANDIDIASIS** for which application for Letters Patent of the United States of America was filed on September 13, 2002 and assigned United States Application Serial No. 10/245,802; and

WHEREAS, **LOS ANGELES BIOMEDICAL RESEARCH INSTITUTE AT HARBOR-UCLA MEDICAL CENTER**, a corporation organized and existing under and by virtue of the laws of the State of **California** and having its principal place of business at **1124 West Carson Street, Torrance, California 90502-2064** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and

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Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Los Angeles,
California, this 8 day of December, 2005.

John E. Edwards Jr.
JOHN E. EDWARDS JR.

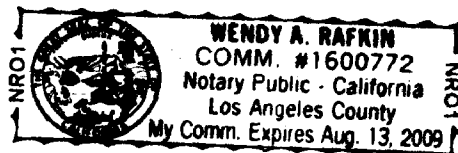
STATE OF CALIFORNIA)
) ss
COUNTY OF LOS ANGELES)

On Dec 8, 2005 before me, John E. Edwards, personally appeared

☒ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Wendy A. Rafkin
Notary Public in and for said County and State



WITNESS my hand at Los Angeles,
 California, this 8 day of December, 2005.

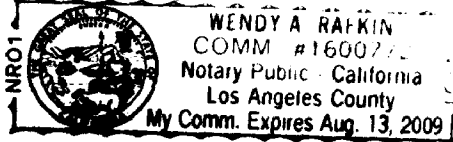
SCOTT G. FILLER
 SCOTT G. FILLER

STATE OF CALIFORNIA)
) ss
 COUNTY OF LOS ANGELES)

On Los Angeles before me, Scott G. Filler, personally appeared

☒ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Wendy A. Rakin
 Notary Public in and for said County and State

WITNESS my hand at _____,
 California, this _____ day of _____, 2005.

DONALD C. SHEPPARD
 DONALD C. SHEPPARD

STATE OF CALIFORNIA)
) ss
 COUNTY OF LOS ANGELES)

On _____ before me, _____, personally appeared

☐ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

 Notary Public in and for said County and State

WITNESS my hand at _____,

California, this _____ day of _____, 2005.

SCOTT G. FILLERSTATE OF CALIFORNIA)
) ss
COUNTY OF LOS ANGELES)

On _____ before me, _____, personally appeared

☐ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and StateWITNESS my hand at Montreal, Quebec CANADA,California, this 212 day of DECEMBER, 2005.DONALD C. SHEPPARDPROVINCE OF QUEBEC
~~STATE OF CALIFORNIA~~)
) ss
MONTREAL
~~COUNTY OF LOS ANGELES~~)On December 20/05 before me, Donald Sheppard, personally appeared

☐ personally known to me - **OR** - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for said County and StateJUDY
ELLESTON
114.546

WITNESS my hand at Los Angeles
 California, this 8th 14th (u) day of December, 2005.

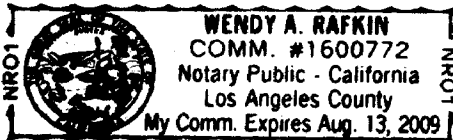
Ashraf S. Ibrahim
 ASHRAF S. IBRAHIM

STATE OF CALIFORNIA)
) ss
 COUNTY OF LOS ANGELES)

On 8th 14th (u) before me, Ashraf S. Ibrahim, personally appeared

☒ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Wendy A. Rafkin
 Notary Public in and for said County and State

WITNESS my hand at Los Angeles
 California, this 8th 14th (u) day of December, 2005.

Yue Fu
 YUE FU

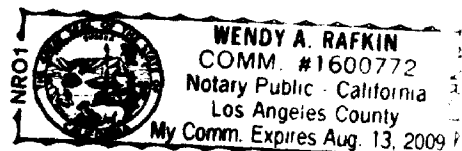
STATE OF CALIFORNIA)
) ss
 COUNTY OF LOS ANGELES)

On 8th 14th (u) before me, Yue Fu, personally appeared

☒ personally known to me - **OR** - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Wendy A. Rafkin
 Notary Public in and for said County and State



WITNESS my hand at Ros Angeles

California, this 8th 14th or day of December, 2005.

[Signature]
BRADLEY J. SPELLBERG

STATE OF CALIFORNIA)
) ss
COUNTY OF LOS ANGELES)

On 8th 14th or before me, Bradley J Spellberg, personally appeared

☒ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Wendy A. Rafkin
Notary Public in and for said County and State

