KL Ref. No.: 100647-04420US

FORM PTO-1595 RECORDATION FO	RM COVER SHEET U.S. DEPARTMENT OF COMMERCE
(Rev. 6-93) PATENT	'S ONLY Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
<ol> <li>Name of conveying party(ies)</li> <li>Xinjie Zhang, Jun Ma, Howard Tennent and Robert Hoch</li> </ol>	<ol> <li>Name and address of receiving party(ies)</li> <li>Name: Hyperion Catalysis International, Inc.</li> </ol>
RODERT HOCH	Internal Address:
Additional name(s) of conveying party(ies) attached?  Yes No	Street Address: 38 Smith Place
	City: Cambridge State: MA Zip: 02138
3. Nature of conveyance:	
Aşşignment	Additional name(s) & address(es) attached? ☐ Yes ☒ No
☐ Security Agreement	
☐ Merger	
☐ Change of Name	
D Other	
Execution Date: February 1, 2006 & February 8, 2006	
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application, the execution date of the application is:	
Λ. Patent Application No.(s) 11/281,571	B. Patent No.(s)
Additional numbers attached?□ Yes ☑ No	
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved
Name: Barry Evans, Esq.	7. Total fee (37 CFR 3.41)
Internal Address: Kramer Levin Naftalis & Frankel LLP	□ Enclosed
Street Address: 1177 Avenue of the Americas	Authorized to be charged to deposit account # 50-0540
City: New York State: New York Zip: 10036	
	Deposit account number: 50-0540  (Attach duplicate copy of this page if paying by deposit account)
Do not use this space	
<ol> <li>Statement and signature.</li> <li>To the best of my knowledge and belief, the foregoing information is true and correct and any attrebed copy is a true copy of the original document.</li> </ol>	
Barry Evans, Esq.	April 10, 2006
Name of Person Signing Sign	ature Date Total number of pages including cover sheet, attachments, and document: 4
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Mail documents to be recorded with required cover sheet information to:	
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Kramer Levin Naftalis & Frankel LLP File No.: 100647-04420US

Serial No.: 11/281,571

Filing Date: November 16, 2005

## ASSIGNMENT

We, Xinjie Zhang, residing at 4007 Stearns Hill Rd., Waltham, MA 02451, Jun Ma, residing at 38 Eldred St., Lexington, MA 02420; Howard Tennent, residing at 301 Chandler Mill Road, Kennett Square, PA 19348 and Robert Hoch, residing at RR1, Box 422, Hensonville, NY 12439, respectively have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled: METHOD FOR PREPARING SINGLE WALLED CARBON NANOTUBES, which application was executed by us and HYPERION CATALYSIS INTERNATIONAL, INC., whose address is 38 Smith Place Cambridge, MA 02138, and which, together with its successors and assigns is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits and privileges hereinafter recited, and of confirming the same or any part thereof heretofore acquired by Assignee.

Now, therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

- 1. Assign and convey to and confirm in Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and Convention applications based in whole or in part upon said inventions or discoveries or upon said applications, and any and all Letters Patent and reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
- 2. Authorize Assignce to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
- 3. Authorize and request the empowered officials of all governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;
- 5. Bind our heirs and legal representatives, as well as ourselves to do, upon Assignee's request and at its expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications and the said Letters Patent shall be held and enjoyed by Assignce as fully and entirely as the same could have been held and enjoyed by us or our heirs or representatives if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee;

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to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs or legal representatives and which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

The effective date of this instrument is the \_\_\_\_ day of February 2006.

In testimony of which we have affixed our signatures.

Xinjie Zhang

State of *かみゔゔ・* ) ss.: County of *かゅ*ひととをもメ )

On this \_/a\* day of February, 2006 before me appeared Xinjie Zhang, to me known and known to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

Notary Public

(OFFICIAL SEAL)

BARBARA A. DIPAOLA Notary Public

My Commission Expires 9-14-12

Jun Ma

State of  $MA \approx 5$  ) ss. County of  $MA \geq 5$  )

On this \_/2/\_ day of February, 2006 before me appeared Jun Ma, to me known and known to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

Darten J. D. Parle

(OFFICIAL SEAL)

BARBARA A. DIPAOLA Notary Public

My Commission Expires 9-14-12

PATENT

**REEL: 017459 FRAME: 0107** 

Kramer Levin Naftalis & Frankel LLP

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State of MH = 5 ) ss.: County of MH = 6 )

On this & day of February, 2006 before me appeared Howard Tennent, to me known and known to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

(OFFICIAL SEAL)

BARBARA A. DIPAOLA Notary Public

My Commission Expires 9-14-12

State of MAS 5 )

State of MAS 5 )

County of MADDLE SEX)

On this <u>f</u> day of February, 2006 before me appeared Robert Hoch to me known and known to me to be the person described in and who executed the foregoing instrument and he acknowledged the same to be his free act and deed.

Sarler G. A. Tark

(OFFICIAL SEAL)

5 ARBARA A. DIPAOLA

Notary Public

My Commission Expires 9-14-12

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