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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Richard G. Pong
Steven R. Flom
James Shirk

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: The U.S.A. as represented by the Secretary of the Navy

Internal Address: Chief of Naval Research

Office of Counsel (ATTN: CODE OCOCCIP)

Street Address: 1 Liberty Center

875 N. Randolph Street

City: Arlington

State: Virginia

Country: US Zip: 22203

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 02/13/02, 02/14/02

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 13424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

10/979,853
NC 96,884

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Associate Counsel (Patents)

Internal Address: Naval Research Laboratory

(ATTN: Code 1008.2)

Street Address: 4555 Overlook Avenue, S.W.

City: Washington

State: DC Zip: 20375-5320

Phone Number: _____

Fax Number: _____

Email Address: _____

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

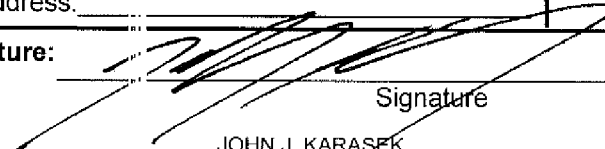
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Signature

4/3/06
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JOHN J. KARASEK
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Total number of pages including cover sheet, attachments, and documents: 4

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CH \$40.00 500281 10979853

Navy Case No. 82,575

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ASSIGNMENT

WHEREAS, We, **Steven R. Flom** and **James S. Shirk** of Temple Hills, MD and Alexandria, VA, respectively, while employed by the Government of the United States, have invented certain new and useful improvements in "**OPTICAL FILTERS COMPRISING SOLAR BLIND DYES AND UV-TRANSPARENT SUBSTRATES**", identified as Navy Case No. 82,575 and described in application for Letters Patent of the United States of America executed by us; and:

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, ~~WHEREFORE~~, in consideration of the premises and other good and valuable consideration the receipt of which is hereby acknowledged, We hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division, or substitution thereof, and such Letters Patent as may issue therefrom and any reissue or extensions thereof, said invention, application and Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me had this assignment not been made.

We do hereby also grant unto the Government, the option to take the entire right, title and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letters Patent or other forms of protection, without payment of any consideration; provided, however, that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications filed in foreign countries within six months of the filing date of any application for United States Letters Patent covering the invention, or within six months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to me subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sub-licenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

PATENT**REEL: 017459 FRAME: 0156**

Navy Case 82,575
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We hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

IN TESTIMONY WHEREOF, We have set our hand and affixed our seal.

Date: 14 Feb 2002 Steven R Flom Seal
Steven R. Flom

Date: 13 Feb 2002 James S. Shirk Seal
James S. Shirk

Assignment

Title OPTICAL FILTERS COMPRISING SOLAR BLIND DYES AND UV-TRANSPARENT SUBSTRATES		
Inventor(s) (Name) RICHARD G.S. PONG, SFA STEVEN R. FLOM, CODE 5613 JAMES SHIRK, CODE 5613		
Contractor SFA		
Contract No. N00014-97-D-2008		Government Agency NRL
Contractor Invention Docket No.		Agency Docket No. 82,575?
Date Received	Serial No.	Filing Date

The undersigned inventor(s), in recognition of his (their) obligation as employee(s) of the Contractor to assign inventions to the Contractor, and pursuant to the obligation of the Contractor to the Government under the above contract, hereby assigns (assign) to the United States of America, subject to a nonexclusive and royalty-free license which is hereby reserved to the Contractor, all rights, title and interest in and to each invention disclosed and claimed in the above U.S. patent application and any foreign patent application that corresponds thereto.

The license reserved to the Contractor shall extend to all existing and future associated and affiliated companies, if any within the corporate structure of which the Contractor is a part and shall be assignable to the successor of that part of the Contractor's business to which such invention pertains.

The inventor(s) further agrees (agree) to assist the Contractor, and the Government, upon request, by furnishing any available information and documents, and by performing all acts and doing all things which may be reasonably necessary to make this agreement effective.

The Contractor joins in and agrees to the foregoing assignment, and except for the above reservation of a license he relinquishes and assigns all rights, title and interest in and to such invention, and further agrees to furnish to the United States of America, upon request, any available information and documents necessary for the prosecution of the above-identified application for patent (including prosecution and settlement of interferences), and any substitution, division, continuation-in-part, or continuation of such patent application and any application for reissue of any patent resulting from such patent application.

Feb. 14, 2002
Date

Date

Date

RICHARD G.S. PONG *Richard G. Pong*
Inventor

Inventor

Inventor

Signed This 26th Day of

Attest:
Cynthia Meyers
(Seal)

September 2003
19

SFA, Inc.
Contractor

JPM