

Docket No. 09792909-5996

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)**RECORDATION FORM COVER SHEET
PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Director of the U.S. Patents and Trademarks: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):
**ISAMU KONISHIUE
TOMOO TAKADA
KENICHI KAWASE
YUKIO MIYAKI**Execution Date(s) 3/10/05, 3/11/05, 3/11/05 & 3/16/05Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No2. Name and address of receiving party(ies):
SONY CORPORATION**7-35, Kitashinagawa 6-Chome
Shinagawa-ku, Tokyo, Japan**Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☒ Other: Corrective Assignment to re-record
assignment previously recorded under R/F
016395/0878 to correct Assignor's Name from
"TOMOOTAKADA" TO "TOMOO TAKADA"

4. Application patent number(s): ☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/969,815 filed October 20, 2004

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No5. Name and address to whom correspondence
concerning document should be mailed:

**David R. Metzger, Esq.
Sonnenschein Nath & Rosenthal LLP
Post Office Box 061080
Wacker Drive Station
Sears Tower
Chicago, Illinois 60606-1080
(312) 876-8000 main
(312) 876-7934 facsimile
dmetzger@sonnenschein.com**

6. Total number of applications and patents
involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$40

- ☒ Authorized to be charged to credit card
- ☒ Authorized to be charged to deposit account
- ☐ Enclosed
- ☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 02000 Code4950Expiration Date 7/2006b. Deposit Account Number 19-3140Authorized User Name David R. Metzger

9. Signature:

David R. Metzger

Name of Person Signing

Signature

April 12, 2006

Date

Total number of pages including cover sheet, attachments, and documents: 1

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

CH \$40.00 193140 10969815

3/28/06

01-04-2006

FORM PTO-1585 REC

1/31/92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

103089919

ed original documents or copy thereof.

To the Honorable Commissioner of P.

1. Name of conveying party(ies):
ISAMU KONISHIIE
TOMOOTAKADA
KENICHI KAWASE
YUKIO MIYAKI

2. Name and address of receiving party(ies):
Name: Sony Corporation
Internal Address:
Street Address: 7-35, Kitashinagawa 6-chome
Shinagawa-ku, Tokyo, Japan
City: _____ State: Zip: _____
Additional Name(s) & Address(es) attached ☐ ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other
Execution Date 3/10/05, 3/11/05, 3/11/05 & 3/16/05

4. Application (number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application
A. Patent Application No.(s)
U.S. Serial No. 10/969,815 filed October 20, 2004
Attorney Docket No. 09792909-5996
B. Patent No.(s)
Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:
SONNENSCHN NATH & ROSENTHAL LLP
P.O. Box #061080
Wacker Drive Station
Sears Tower
Chicago, IL 60606-1080
Customer ID No. 26263

6. Total number of applications and patents involved 1

7. Total Fee (37 CFR 3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to applicants' attorneys' American Express account. Form 2038 is attached for that purpose.
☒ Any deficiency is authorized to be charged to deposit account or any additional fees

8. Deposit Account Number:
19.3140
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature:
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
David R. Metzger
Name of Person Signing
Signature
March 24, 2005
Date
Total number of pages 3

Mail documents to be recorded and required cover sheet information to:
Commissioner for Patents
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

03/29/2005 GTON11 00000232 10969815

01 FC:8021

(40.00 OP)

PATENT
REEL: 017471 FRAME: 0334

FORM PTO-1596
1/31/02
RECOI
P
03-30-2005
102969113
U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
To the Honorable Commissioner of Patents
Additional original documents or copy thereof.

1. Name of conveying party(ies):
ISAMU KONISHIIE
TOMOOTAKADA
KENICHI KAWASE
YUKIO MIYAKI

2. Name and address of receiving party(ies):
Name: Sony Corporation
Internal Address:
Street Address: 7-35, Kitashinagawa 6-chome
Shinagawa-ku, Tokyo, Japan
City: _____ State: _____ Zip: _____
Additional Name(s) & Address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other
Execution Date 3/10/05, 3/11/05, 3/11/05 & 3/16/05

4. Application (number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s)
U.S. Serial No. 10/969,815 filed October 20, 2004
Attorney Docket No. 09792909-5996
B. Patent No.(s)
Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:
SONNENSCHN NATH & ROSENTHAL LLP
P.O. Box #061080
Wacker Drive Station
Sears Tower
Chicago, IL 60606-1080
Customer ID No. 26263

6. Total number of applications and patents involved 1

7. Total Fee (37 CFR 3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to applicants' attorneys' American Express account. Form 2038 is attached for that purpose.
☒ Any deficiency is authorized to be charged to deposit account or any additional fees

8. Deposit Account Number:
19.3140
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature:
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
David R. Metzger
Name of Person Signing
David R. Metzger
Signature
March 24, 2005
Date
Total number of pages 3

Mail documents to be recorded and required cover sheet information to:
Commissioner for Patents
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

03/29/2005 GTDN11 00000232 10969815

01 FC:8021

(40.00 DP)

PATENT
REEL: 017471 FRAME: 0335

S04P1548US00

Docket Number: 09792909-5996

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in
ANODE AND BATTERY

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 10/969,815, Filing Date: OCTOBER 20, 2004.

This assignment executed on the dates indicated below.

Isamu KONISHIIKE

Name of first or sole inventor

Execution date of U.S. Patent Application

Fukushima, Japan

Residence of first or sole inventor

Isamu Konishiike

March 10, 2005

Signature of first or sole inventor

Date of this assignment

S04P1548US00

Tomoo TAKADA

Name of second inventor

Execution date of U.S. Patent Application

Fukushima, Japan

Residence of second inventor

*Tomoo Takada**March 11, 2005*

Signature of second inventor

Date of this assignment

Kenichi KAWASE

Name of third inventor

Execution date of U.S. Patent Application

Fukushima, Japan

Residence of third inventor

*Kenichi Kawase**March 11, 2005*

Signature of third inventor

Date of this assignment

Yukio MIYAKI

Name of fourth inventor

Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of fourth inventor

*Yukio Miyaki**March 16, 2005*

Signature of fourth inventor

Date of this assignment

Name of fifth inventor

Execution date of U.S. Patent Application

Residence of fifth inventor

Signature of fifth inventor

Date of this assignment