

01-24-2006

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Director - U.S. Patent and
Please re

103163834

or copy thereof.

1. Name of conveying party(ies):

Paul T. Hallifax, David Warchocki, James Ditzel,
Gary Freitag

Additional names of conveying parties attached?

Yes ☐ No ☒

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: _____Execution Date: Hallifax - 8/9/05; Warchocki -
8/9/05; Ditzel - 8/9/05; Freitag - 8/9/05

2. Name and address of receiving party:

Name: Greatbatch, Inc.

Internal Address: _____

Street Address: 9645 Wehrle DriveCity: Clarence State: NY ZIP: 14031Additional names and addresses attached? Yes ☐ No ☐

4. Application number(s) or patent number(s):

If this application is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 11/209,150

B. Patent No.(s)

Additional Numbers attached? Yes ☐ No ☐5. Name and address of party to whom
correspondence concerning document should
be mailed:Name: Michael F. ScaliseGreatbatch, Inc.Address: 9645 Wehrle DriveCity: Clarence State: NY ZIP: 140316. Total number of applications and patents
involved: 1

7. Total fee (37 CFR 3.41).....\$ _____

☐ Enclosed☐ Authorized to be charged to deposit account.

8. Deposit Account number:

(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Michael F. Scalise, Reg. No. 34,920

Name of Person Signing


Signature

January 10, 2006

Date

Total number of pages including cover sheet, attachments and document:

7MAIL TO: **Director - U.S. Patent and Trademark Office, Box Assignments
Alexandria, VA 22313-1450**

08-29-2005  103070292	Attorney Docket No.	37505.0409
	First Inventor	Hallifax et al.
	Title	Fill Plug For Electrochemical Cell
	Express Mail Label No.	EV 588537679 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages 15 / 1]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 9 / 1]
5. ☒ Oath or Declaration [Total Pages 3 / 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

Commissioner for Patents
 ADDRESS TO: Mail Stop Patent Application
 Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Credit Card Forms for \$40.00 and \$1,000.00

The PTO did not receive the following listed item(s) Assignment cover sheet

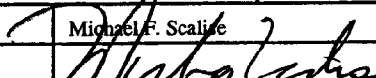
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label 33751		Or <input type="checkbox"/> Correspondence address below			
NAME		Michael F. Scalise			
		Greatbatch, Inc.			
ADDRESS		9645 Wehrle Drive			
CITY	Clarence	STATE	New York	ZIP CODE	14031
COUNTRY	USA	TELEPHONE	(716) 759-5810	FAX	(716) 759-5074
Name (Print/Type)	Michael F. Scalise		Registration No. (Attorney/Agent)	34,920	
Signature			Date	August 22, 2005	

"Express Mail" Mailing Label Number EV 588537665 US

Date of Deposit August 22, 2005

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450. 08/25/2005 FFANAI2 00000059 11209150

Rosemarie Contella
 Name


 Signature

40.00 DP

ASSIGNMENT OF INVENTION

WHEREAS, we, Paul T. Hallifax, 8414 Dale Road, Gasport, New York 14067; David Warchocki, 6562 Nash Road, North Tonawanda, New York 14120; James Ditzel, 5818 Townline Road, Sanborn, New York 14132 and Gary Freitag, 350 Grover Road, East Aurora, New York 14052, have invented a certain new and useful improvement in Fill Plug For Electrochemical Cell for which an application for Letters Patent in the United States was executed on the date(s) which appear next to our signatures.

AND WHEREAS, Greatbatch, Inc., 9645 Wehrle Drive, Clarence, New York 14031 is desirous of acquiring all interest in said invention, said application, and in any Letters Patent which may be granted therefor;

AND WHEREAS, at the time the invention was made, we were under an obligation to assign all of our right, title, and interest in our said invention to Greatbatch, Inc.

NOW THIS INDENTURE WITNESSETH, that for the sum of one dollar and other valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we hereby assign, sell, and transfer unto said Greatbatch, Inc. the entire right, title, and interest in said invention, said application, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights, rights under the International Convention For The Protection Of Industrial Property, rights under the Patent Cooperation Treaty, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto.

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Greatbatch, Inc. as the assignee of the whole right, title, and interest thereto.

And we further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and for obtaining any reissue or reissues of any Letters Patent which may be granted for our aforesaid invention, as the assignee thereof shall hereafter require and prepare at its own expense.

WITNESS, my hand and seal this 09 day of ^{August} July 2005.

Paul T. Hallifax
Paul T. Hallifax

STATE OF NEW YORK)

ERIE (CB)
COUNTY OF NIAGARA)

On this 09 day of August in the year 2005, before me, the subscriber, personally came Paul Hallifax, to me known to be the person described in and who executed the within instrument, and he acknowledged that he executed the same.

Cheryl R. Bakos
Notary Public

[Seal] CHERYL R. BAKOS
Notary Public, State of New York
No. 01BA6013457
Qualified in Erie County
Commission Expires Sept. 14, 2006

WITNESS, my hand and seal this 09 day of ^{August} July 2005.

David Warchocki
David Warchocki

STATE OF NEW YORK)

ERIE (CB)
COUNTY OF NIAGARA)

On this 09 day of August in the year 2005, before me, the subscriber, personally came David Warchocki, to me known to be the person described in and who executed the within instrument, and he acknowledged that he executed the same.

Cheryl R. Bakos
Notary Public

[Seal] CHERYL R. BAKOS
Notary Public, State of New York
No. 01BA6013457
Qualified in Erie County
Commission Expires Sept. 14, 2006

WITNESS, my hand and seal this 09 day of ^{August} July 2005.

James Ditzel
James Ditzel

STATE OF NEW YORK)

ERIE (CB)
COUNTY OF NIAGARA)

On this 09 day of August in the year 2005, before me, the subscriber, personally came James Ditzel, to me known to be the person described in and who executed the within instrument, and he acknowledged that he executed the same.

CHERYL R. BAKOS
Notary Public, State of New York
No. 01BA6013457
Qualified in Erie County
Commission Expires Sept. 14, 2006

[Seal]

Cheryl R Bakos
Notary Public

WITNESS, my hand and seal this 09 day of ^{August} July 2005.

Gary Freitag
Gary Freitag

STATE OF NEW YORK)

COUNTY OF ERIE)

On this 09 day of August in the year 2005, before me, the subscriber, personally came Gary Freitag, to me known to be the person described in and who executed the within instrument, and he acknowledged that he executed the same.

CHERYL R. BAKOS
Notary Public, State of New York
No. 01BA6013457
Qualified in Erie County
Commission Expires Sept. 14, 2006

[Seal]

Cheryl R Bakos
Notary Public