

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Correction to UCC Financing Statement
CONVEYING PARTY DATA	
Name	Execution Date
PVA Enterprises Corporation	04/11/2006
RECEIVING PARTY DATA	
Name:	Donald G. Pennington
Street Address:	502 N. Stone Avenue
City:	LaGrange Park
State/Country:	ILLINOIS
Postal Code:	60526
PROPERTY NUMBERS Total: 2	
Property Type	Number
Patent Number:	6385029
Patent Number:	5619080
CORRESPONDENCE DATA	
Fax Number:	(312)346-8434
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	3124767558
Email:	mefdocket@lplegal.com
Correspondent Name:	Marc E. Fineman, Levenfeld Pearlstein
Address Line 1:	2 N. LaSalle Street
Address Line 2:	Suite 1300
Address Line 4:	Chicago, ILLINOIS 60602
ATTORNEY DOCKET NUMBER:	34924-62675
NAME OF SUBMITTER:	Marc E. Fineman
Total Attachments: 2 source=Correction to UCC Financing Statement#page1.tif source=Correction to UCC Financing Statement#page2.tif	

CH \$80.00 6385029

PATENT

500094812

REEL: 017480 FRAME: 0140

CORPORATION SERVICE COMPANY

www.incspot.com

CSC- Springfield
801 Adlai Stevenson Drive
Springfield, IL 62703
217-544-5900
217-492-2727 (Fax)

Matter# 34924-62675

Project Id :

Additional Reference : NOT PROVIDED

Order# 977801-1

Order Date 04/11/2006

Entity Name : PVA ENTERPRISES CORPORATION (Debtor)/ DONALD G
PENNINGTON (Secured Party)

Jurisdiction : IL-SECRETARY OF STATE

Request for : UCC Filing
File Type : AMENDMENT FILING

Original File Number : 10442907
Original File date : 12/07/2005

Result : Filed

File Number : 8809055
Filing Date : 04/11/2006

Ordered by ROBERT E. CONNOLLY at LEVENFELD PEARLSTEIN, LLC

Thank you for using CSC. For real-time 24 hour access to the status of any order placed with CSC, access our website at
www.incspot.com.

If you have any questions concerning this order or IncSpot, please feel free to contact us.

ERIC B. HOOD
ehood3@cscinfo.com

The responsibility for verification of the files and determination of the information therein lies with the filing officer; we accept no liability for errors or omissions.

PATENT
REEL: 017480 FRAME: 0141

The filing of this Statement does not amend any UCC record. This Statement is for informational purposes only.

RECEIVED
SECRETARY OF STATE
UNIFORM COMM. CODE DIV.

CORRECTION STATEMENT (Inaccurate or Wrongfully Filed Record Statement)

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

2006 APR 11 PM 2:05

UCU104/11/06:06:3934:

20.00 MD

SOSIL 15:18 8809055 CS

A. NAME & PHONE OF PERSON FILING THIS STATEMENT [optional]

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

CSC

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. Identification of the RECORD to which this CORRECTION STATEMENT relates.

1a. TYPE OF RECORD

UCC FINANCING STATEMENT

1b. FILE # OF INITIAL FINANCING STATEMENT

10442907

2a. ☐ RECORD is inaccurate.

Provide the basis for the belief of the person identified in item 4 that the RECORD identified in item 1 is inaccurate and indicate the manner in which the person believes the RECORD should be amended to cure the inaccuracy.

2b. ☒ RECORD was wrongfully filed.

Provide the basis for the belief of the person identified in item 4 that the RECORD identified in item 1 was wrongfully filed.

The UCC Financing Statement identified herein (UCC File No. 10442907) was improperly filed, as Donald Pennington does not hold a security interest in either U.S. Patent No. 6,385,029 or U.S. Patent No. 5,619,080, or any other patents pending which are owed by PVA Enterprises Corporation (collectively, the "Patents"). The Patents are the sole property of PVA Enterprises Corporation ("PVA" or the "Company"). The UCC Financing Statement appears to relate to a purported Promissory Collateral Note between Donald Pennington and PVA dated April 1, 2003 (the "2003 Note"). The 2003 Note was never authorized by PVA, and was not supported by consideration from Donald Pennington. The 2003 Note was purportedly signed by Donald Pennington in his capacity as both "payee" and "President" of the Company, even though Donald Pennington was not President of PVA on April 1, 2003. The 2003 Note is the subject of active litigation between the Company and Donald Pennington; in that litigation, PVA has asserted claims of fraud and securities fraud against Donald Pennington in connection with the 2003 Note.

3. If this CORRECTION STATEMENT relates to a RECORD filed [or recorded] in a filing office described in Section 9-501(a)(1) and this CORRECTION STATEMENT is filed in such a filing office, provide the date [and time] on which the INITIAL FINANCING STATEMENT identified in item 1b above was filed [or recorded].

3a. DATE

12/07/2005

3b. TIME

12:42 P.M.

4. NAME OF PERSON AUTHORIZING THE FILING OF THIS CORRECTION STATEMENT — The RECORD identified in item 1 must be indexed under this name.

4a. ORGANIZATION'S NAME

PVA ENTERPRISES CORPORATION

OR 4b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

ACKNOWLEDGEMENT