

Form PTO-1595 (Rev. 07/05)  
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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

JOHN FRANCIS NOLAN  
LAWRENCE MICHAEL GRYCKO  
JOHN MATTHEW SASSATELLI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 3/30/06, 4/12/06 and 3/30/06, resp.

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: 1 River Road

City: Schenectady

State: NY

Country: USA Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

S.N. 11/287,010, filed November 23, 2005

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale LLP

Street Address: One Metropolitan Square, Suite 2600

City: St. Louis

State: MO Zip: 63102

Phone Number: (314) 621-5070

Fax Number: (314) 621-5065

Email Address: jbeulick@armstrongteasdale.com

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)


### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 01-2384

Authorized User Name Charles H. Livingston

### 9. Signature:

  
Signature

April 14, 2006

Date

Charles H. Livingston  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 012384 11287010

DOCKET NUMBER: 172234

**ASSIGNMENT**

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

JOHN FRANCIS NOLAN	of	P.O. Box 553, Cobleskill, NY 12043
LAWRENCE MICHAEL GRYCKO	of	17440 West 145 <sup>th</sup> Street, Lockport, IL 60441
JOHN MATTHEW SASSATELLI	of	115 Bunker Hill Road, Valley Falls, NY 12185

hereby sell and assign to **General Electric Company, a New York Corporation**, (hereinafter referred to as Assignee) having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**METHOD FOR FABRICATING A ROTOR SHAFT**

☐ executed concurrently herewith,

☒ filed on November 23, 2005 having Serial Number 11/287,010

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Assignee, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Assignee, I/we will communicate to said Assignee or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Assignee, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

03/30/06 THU 14:02 FAX 1 518 385 8796

NPI SOURCING

003

DOCKET NUMBER: 172234

INVENTOR 1

Signature: John F. Nolan  
JOHN FRANCIS NOLANDate: March 30, 2006Witnessed by: Patricia Blake  
SignatureDate: March 30, 2006PATRICIA BLAKE  
Printed Name of WitnessWitnessed by: John M. Santella  
SignatureDate: 30 MAR 2006JOHN M. SANTELLA  
Printed Name of Witness

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**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

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\_\_\_\_\_  
JOHN FRANCIS NOLAN Date: \_\_\_\_\_

STATE OF \_\_\_\_\_

ss.

COUNTY OF \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 2006 before me personally came the above-named JOHN FRANCIS NOLAN, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

DOCKET NUMBER: 172234

INVENTOR 2

Signature: \_\_\_\_\_  
LAWRENCE MICHAEL GRYCKO

Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of WitnessWitnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

\_\_\_\_\_  
Printed Name of Witness

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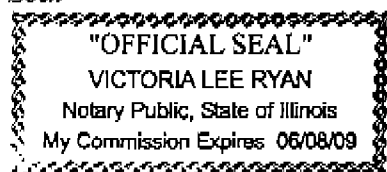
  
LAWRENCE MICHAEL GRYCKODate: 4/12/06STATE OF Illinois

SS.

COUNTY OF Cook

This 12 day of April, 2006 before me personally came the above-named LAWRENCE MICHAEL GRYCKO, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

  
(Notary Public)

03/30/06 THU 14:03 FAX 1 518 385 8788

NPI SOURCING

004

DOCKET NUMBER: 172234

INVENTOR 3

Signature: *John M. Sassatelli*

JOHN MATTHEW SASSATELLI

Date: 30 MAR 2006Witnessed by: *Patricia Blake*

Signature

Date: 30 March 2006PATRICIA BLAKE  
Printed Name of WitnessWitnessed by: *Mark E. McParlan*

Signature

Date: 30 March 2006MARK E. MCPARLAN  
Printed Name of Witness

---

**SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY**

---

JOHN MATTHEW SASSATELLI

Date: \_\_\_\_\_

STATE OF \_\_\_\_\_

SS.

COUNTY OF \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 2006 before me personally came the above-named JOHN MATTHEW SASSATELLI, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)