

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):
INTERCEPT BIOMEDICAL (BVI) LIMITED

Execution Date(s): March 11, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: PALION MEDICAL (BVI) LIMITED

Internal Address: _____

Street Address: _____

C/O HWR SERVICES LIMITED
P.O. BOX 71
ROAD TOWN

City: TORTOLA

State: _____

Country: British Virgin Islands Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application

B. Patent No.(s)

4,969,873	5,722,957	5,836,915
5,085,656	5,766,150	6,730,060
5,336,194	5,814,019	

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Sidney David
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: Palion 5.2-001 (PALION.1)

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 518-6302

Fax Number: (908) 654-0415

Email Address: sdavid@ldlkm.com

6. Total number of applications and patents involved:

8

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 320.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government Interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 12-1095
Authorized User Name Sidney David

9. Signature:

[Signature] Signature

April 17, 2006 Date

Sidney David - 22,768
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

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PRESENT INVESTMENTS

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TERRITORY OF THE BRITISH VIRGIN ISLANDS

THE INTERNATIONAL BUSINESS COMPANIES ACT
(CAP.291)

CERTIFICATE OF INCORPORATION (SECTION 11)

No. 630986

The Registrar of Corporate Affairs of the British Virgin Islands HEREBY CERTIFIES pursuant to the International Business Companies Act, (Cap.291) that

Palion Medical (BVI) Limited

is incorporated in the British Virgin Islands as an International Business Company, and that the former name of the said company was

INTERCEPT BIOMEDICAL (BVI) LIMITED

which name has been changed 11th day of March, 2005 to

Palion Medical (BVI) Limited

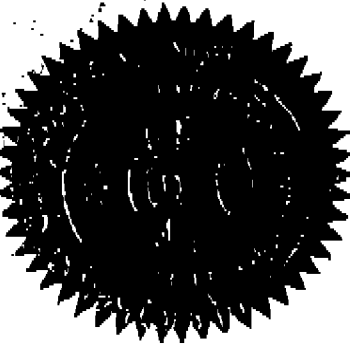
Given under my hand and seal at

Road Town, in the Territory of the

British Virgin Islands

CERT1014B

REGISTRAR OF CORPORATE AFFAIRS



Myra W. Allen
Asst.

PATENT

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PRESENT INVESTMENTS

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**INTERNATIONAL BUSINESS COMPANIES ACT
(CAP. 291)**

MAR 11 2005

Section 16(2)**Notice of amendment of Memorandum and Articles of Association****To: Registrar of Corporate Affairs****INTERCEPT BIOMEDICAL (BVI) LIMITED****Name of Company****IBC No. 630986**

We, HWR SERVICES LIMITED of Craigmuir Chambers, P. O. Box 71, Road Town, Tortola, Registered Agent of the above company, hereby certify that the document annexed hereto is a certified true extract of a resolution by the Directors amending the Memorandum and Articles of Association of the above Company.

Dated this 11th day of March 2005.


HWR SERVICES LIMITED
Registered Agent

For official use

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PATENT

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PRESENT INVESTMENTS

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MAR 11 2005

INTERCEPT BIOMEDICAL (BVI) LIMITED
("the Company")

REGISTRY OF COMPANIES
AND FINANCIAL SERVICES

CERTIFIED TRUE EXTRACT OF A RESOLUTION
ADOPTED BY THE DIRECTORS
PURSUANT TO THE ARTICLES OF ASSOCIATION OF
THE COMPANY DATED 10TH MARCH 2005

AMENDMENT TO MEMORANDUM AND ARTICLES OF ASSOCIATION

CHANGE OF NAME

RESOLVED THAT subject to the approval of the Registrar of Corporate Affairs of the British Virgin Islands, the name of the Company be changed to "Pallon Medical (BVI) Limited" and that the Memorandum and Articles of Association of the Company be amended accordingly.

Dated this 11th day of March, 2005.


HWR SERVICES LIMITED
Registered Agent

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