


Substitute Form PTO-1595  
 Attorney Docket No.: 12406-105002  
 Client's Ref. No.: 1997P6453 US N1

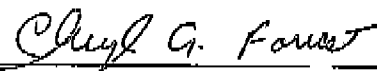
## RECORDATION FORM COVER SHEET PATENTS ONLY

Commissioner for Patents: Please record the attached original document(s) or copy(ies).					
1. Name of conveying party(ies): <b>Günter Waitl, Robert Lutz and Herbert Brunner</b> Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): <b>Osram Opto Semiconductors GmbH &amp; Co. OHG</b> <b>Wernerwerkstrasse 2</b> <b>93049 Regensburg</b> <b>Germany</b>  Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other: "Corrected Assignment"  Execution Date: <b>Günter Waitl</b> <b>09/28/2001</b> <b>Robert Lutz</b> <b>09/29/2001</b> <b>Herbert Brunner</b> <b>10/11/2001</b>					
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: <table style="width: 100%;"> <tr> <td style="width: 50%;">A. Patent Application No(s).:</td> <td style="width: 50%;">B. Patent No(s).:</td> </tr> <tr> <td><b>11/202,424</b></td> <td><b>6,946,714</b></td> </tr> </table> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		A. Patent Application No(s).:	B. Patent No(s).:	<b>11/202,424</b>	<b>6,946,714</b>
A. Patent Application No(s).:	B. Patent No(s).:				
<b>11/202,424</b>	<b>6,946,714</b>				
5. Name/address of party to whom correspondence concerning document should be mailed:  <b>MARC M. WEFERS</b> <b>Fish &amp; Richardson P.C.</b> <b>225 Franklin Street</b> <b>Boston, MA 02110</b>	6. Total number of applications/patents involved: <b>1</b>  7. Total fee (37 CFR \$3.41): <b>\$80</b> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account.  8. Deposit Account No.: <b>06-1050</b> Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.				
DO NOT USE THIS SPACE					
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>					
<b>Marc M. Wefers</b> <b>Reg. No. 56,842</b> Name of Person Signing	<div style="text-align: center;">           Signature       </div> <div style="text-align: center;"> <b>4/18/06</b>          Date       </div>				
Total number of pages including coversheet, attachments and document: <b>2</b>					

21311392.doc

### CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

<u>4-18-06</u> Date of Transmission	 Signature	<u>CHERYL A. FORREST</u> Typed Name of Person Signing Certificate
--	---	--

**PATENT**

700258740

REEL: 017492 FRAME: 0388

CH \$80.00 061050 11202424

**ASSIGNMENT**

FOR a valuable consideration, the undersigned,

	<b>GÜNTER WAITL</b>	<b>ROBERT LUTZ</b>	<b>HERBERT BRUNNER</b>
residing at	Praschweg 3	Fuchsweg 24	<del>Kronengasse 7</del> Winkler-gasse 16
	93048 Regensburg	93077 Bad Abbach	93047 Regensburg
	Germany	Germany	Germany

hereby sell, assign, and transfer unto ~~Siemens Aktiengesellschaft, Wiltelsbacherplatz 2, 80333 Munich, Germany~~ ~~Opto Opto Semiconductors GmbH & Co. OHG, Winkler-gasse 2, 93049 Regensburg~~ a German corporation, the whole right, title and interest in and to a certain invention or improvement in

"SURFACE MOUNTING OPTOELECTRONIC COMPONENT AND METHOD FOR PRODUCING SAME"

disclosed in an application for Letters Patent of the United States, prepared by the firm of Hill & Simpson, A Professional Corporation of Chicago, Illinois, and executed of even date herewith, said application being identified in the office records of said firm as Case No. P00,1086, and in our own records as Case No. 1997 P 6453P US, and in the records of the U.S. Patent and Trademark Offices as application Serial No. 09/551,585, filed June 15, 2000, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

I hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said ~~Siemens Aktiengesellschaft, Wiltelsbacherplatz 2, 80333 Munich, Germany.~~ ~~Opto Opto Semiconductors GmbH & Co. OHG, Winkler-gasse 2, 93049 Regensburg~~

For the same consideration, I hereby agree that I will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned, including the execution of new divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

DATE:

07.09.00

DATE:

01.09.00

DATE:

07.09.00

GÜNTER WAITL

ROBERT LUTZ

HERBERT BRUNNER

WITNESSES:

1.9.00

01.09.00

PATENT