

01-30-2006

1/30/06



To the Director of the U.S. Patent and

103166767

documents or the new address(es) below.

1. Name of conveying party(ies)

- 1. Manabu OUMI
- 2. Nobuyuki KASAMA
- 3. Hidetaka MAEDA
- 4. Kenji KATO
- 5. Yoko SHINOHARA
- 6. Takashi NIWA
- 7. Yasuyuki MITSUOKA

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Seiko Instruments Inc.

Internal Address: _____

Street Address: 8, Nakase 1-chome, Mihama-ku

Chiba-shi

City: Chiba

State: _____

Country: JAPAN

Zip: 261-8507

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 29, 2005

- Assignment
- Security Agreement
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____
- Merger
- Change of Name

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

10/124,355

B. Patent No.(s)

01/31/2006 DBYRNE 00000244 10124355
01 FC:0021

40.00 DP

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Bruce L. Adams

Internal Address: _____

Street Address: ADAMS & WILKS

17 Battery Place - Suite 1231

City: New York

State: New York

Zip: 10004

Phone Number: (212) 809-3700

Fax Number: (212) 809-3704

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- ANY DEFICIENCY IN ENCLOSED FEE Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 01-0268

Authorized User Name Bruce L. Adams

9. Signature:

Bruce L. Adams
Signature

January 27, 2006

Date

BRUCE L. ADAMS
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**MAILING CERTIFICATE ON
REVERSE SIDE OF PAGE**

**PATENT
REEL: 017497 FRAME: 0193**

ASSIGNMENT

WHEREAS, we, Manabu OUMI, Nobuyuki KASAMA, Hidetaka MAEDA, Kenji KATO, Yoko SHINOHARA, Takashi NIWA and Yasuyuki MITSUOKA residing at c/o Seiko Instruments Inc., 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan have invented new and useful improvements in NEAR-FIELD LIGHT-GENERATING ELEMENT FOR PRODUCING LOCALIZED NEAR-FIELD LIGHT, NEAR-FIELD OPTICAL RECORDING DEVICE, AND NEAR-FIELD OPTICAL MICROSCOPE for which we have prepared an application for Letters Patent of the United States of America;

AND WHEREAS, Seiko Instruments Inc., located and doing business at 8, Nakase 1-chome, Mihama-ku, Chiba-shi, Chiba, Japan, is desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of Ten Dollars (\$10.00) and other good and valuable consideration to us in hand paid, the receipt of which is hereby acknowledged, we have assigned, sold and transferred and by these presents do hereby assign, sell and transfer unto the said Seiko Instruments Inc. for the territory of the United States of America, our entire right, title and interest in and to the said invention, and in and to the said application, prepared and executed by us on May 27, 2002, filed April 16, 2002, Serial No. 10/124,355, preparatory to obtaining Letters Patent thereon and in and to any and all divisions, reissues and continuations thereof, and in and to any Letters Patent that may be granted thereon; said invention, application and Letters Patent to be held and enjoyed by the said Seiko Instruments Inc., its legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment, sale and transfer not been made.

AND, we hereby covenant and agree that we will at any time upon the request and at the expense of said assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and we hereby authorize and request the Honorable Commissioner of Patents and Trademarks to issue the said Letters Patent to the said Seiko Instruments Inc. in accordance with this assignment.

Executed this 29th day of November, 2005 at

Witness:

Masaki Hori

Masaki Hori

Manabu Oumi

Manabu OUMI

(L.S.)

Nobuyuki Kasama

Nobuyuki KASAMA

(L.S.)

Hidetaka Maeda

Hidetaka MAEDA

(L.S.)

Kenji Kato

Kenji KATO

(L.S.)

Yoko Shinohara

Yoko SHINOHARA

(L.S.)

Takashi Niwa

Takashi NIWA

(L.S.)

Yasuyuki Mitsuoka

Yasuyuki MITSUOKA

(L.S.)