

01 - 30 - 2006

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Daniel C. Taylor  
Jonathan Rourke

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 12/08/2004, 2/08/2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Viacor, Inc.

Internal Address: \_\_\_\_\_

Street Address: 260-B Fordham Road

City: Wilmington

State: MA

Country: USA Zip: 01887

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/342,034

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mark J. Pandiscio

Internal Address: Pandiscio & Pandiscio, P.C.

Street Address: 470 Totten Pond Road

City: Waltham

State: MA Zip: 02451

Phone Number: (781) 290-0060

Fax Number: (781) 290-4840

Email Address: mail@pandisciolaw.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account (any deficiencies)  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 16-0221

Authorized User Name \_\_\_\_\_

**9. Signature:**

*Margaret M. Slezak*  
Signature

1/23/2006

Date

01/27/2006 DBYRNE 00000031 10342034

Margaret M. Slezak

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

SAVIA31.ASN  
VIA-31

**PATENT**  
**REEL: 017498 FRAME: 0347**

ASSIGNMENT

We, Daniel C. Taylor, William E. Cohn, John R. Liddicoat,  
Steven B. Woolfson, Todd F. Davenport, Richard B. Streeter,  
Thomas F. Kordis and Jonathan Rourke, residing respectively at  
35 Faneuil Street, Brighton, MA 02135, 4540 Magnolia Street,  
Bellaire, TX 77401, 108 Lincoln St., Apt. 5B, Boston, MA 02111,  
Constitution Marina, 28 Constitution Road, P.O. Box 51837,  
Boston, MA 02205, 48 Salem Street, Andover, MA 01810, 66  
Brookside Avenue, Winchester, MA 01890, 7112 Avalon Drive,  
Wilmington, MA 01887 and 23 Locust Street, Belmont, MA 02478  
having invented improvements in METHOD AND APPARATUS FOR  
REDUCING MITRAL REGURGITATION and having executed an application  
for United States patent describing the same and based thereon  
(and we hereby authorize our attorney(s), authorized to  
prosecute said application, to here insert the filing date and  
serial number of said application, when known, Serial No.  
10/342,034, filed 01/14/03), for good and valuable  
consideration, receipt of which is hereby acknowledged from  
Viacor, Inc., a Delaware corporation having a place of business  
at 260-B Fordham Road, Wilmington, MA 01887, hereinafter called  
the Assignee (which term shall include its successors and  
assigns), do hereby sell, assign and transfer unto the Assignee  
our entire right, title, and interest, in and throughout the  
United States of America (including its territories and  
dependencies) and all countries foreign thereto, in and to and  
under said application (which term shall include hereinafter  
where the context so admits all divisional, continuing, reissue  
and other patent applications based thereon) and the inventions  
(which term shall include each and every such invention, or any  
part thereof) therein described, and any and all patents and  
like rights of exclusion (including extensions thereof) of any  
country which may be granted on or for said inventions or on  
said application.

And for the same consideration we do also hereby sell,  
assign and transfer unto the Assignee all our rights under the  
International Convention for the Protection of Industrial  
Property and all other treaties of like purpose in respect of  
said inventions and said application and we do hereby authorize  
the Assignee to apply in our names or its own name for patents  
and like rights of exclusion on or for said inventions in all  
countries, claiming (if the Assignee so desires) the priority of  
the filing date of said application under the provisions of said  
Convention or any such other treaty;

*Pandiscio & Pandiscio, P.C.*  
470 Totten Pond Road  
Waltham, MA 02451  
Tel. (781) 290 0060

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283 East Broadway, APT 2 South Boston, MA 02127  
12-8-04

And for the same consideration we do hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, promptly upon request of the Assignee, to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee fully to secure to it said right, title, and interest as aforesaid in and to said inventions, application, and said several patents and like rights of exclusion, or any of them, all, however, at the expense of the Assignee;

And we do hereby authorize and request the Commissioner of Patents of the United States of America and the corresponding Officer of each country foreign thereto to issue to the Assignee any and all patents and like rights of exclusion which may be granted in any country upon said application or on or for said inventions;

And we do hereby jointly and severally covenant for ourselves and for our respective legal representatives and agree with the Assignee that we have granted no right or license to make, use or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title and interest in and to said inventions has not been otherwise encumbered by us, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we hereunto set our hands and seals on the day and year hereinafter noted.

 12-8-64  
Daniel C. Taylor

\_\_\_\_\_  
William E. Cohn

\_\_\_\_\_  
John R. Liddicoat

Pandiscio & Pandiscio, P.C.  
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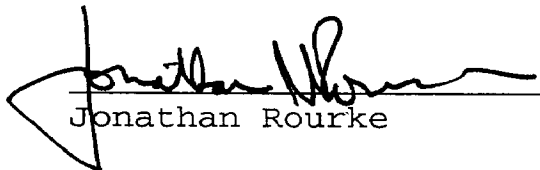
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\_\_\_\_\_  
Steven B. Woolfson

\_\_\_\_\_  
Todd F. Davenport

\_\_\_\_\_  
Richard B. Streeter


\_\_\_\_\_  
Thomas F. Kordis

 8 FEB 2005  
Jonathan Rourke

State of Massachusetts )  
County of Middlesex ) ss.

Before me this 8<sup>th</sup> day of December, 2004,  
personally appeared Daniel C. Taylor, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

  
\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: April 18, 2010

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Tel. (781) 290 0060

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PATENT  
REEL: 017498 FRAME: 0350

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004,  
personally appeared William E. Cohn, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004,  
personally appeared John R. Liddicoat, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

*Pandiscio & Pandiscio, P.C.  
470 Totten Pond Road  
Waltham, MA 02451  
Tel. (781) 290 0060*

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**PATENT**  
**REEL: 017498 FRAME: 0351**

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004,  
personally appeared Steven B. Woolfson, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004,  
personally appeared Todd F. Davenport, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004,  
personally appeared Richard B. Streeter, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

*Pandiscio & Pandiscio, P.C.  
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Tel. (781) 290 0060*

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State of \_\_\_\_\_)  
County of \_\_\_\_\_) ss.

Before me this \_\_\_\_\_ day of \_\_\_\_\_, 2004,  
personally appeared Thomas F. Kordis, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires: \_\_\_\_\_

State of Massachusetts)  
County of Middlesex) ss.

Before me this 8<sup>th</sup> day of February, 2005,  
personally appeared Jonathan Rourke, who is to me personally  
known, and acknowledged the foregoing instrument to be his/her  
free act and deed.

SEAL

Manfandini

NOTARY PUBLIC

My commission expires: April 18, 2005

DP/VIA31.ASN

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RECORDED: 01/26/2006

PATENT  
REEL: 017498 FRAME: 0353