

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Spelling of Inventor's Name previously recorded on Reel 015558 Frame 0742. Assignor(s) hereby confirms the Assignment.										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Richard P. Rataowsky</td><td>06/28/2004</td></tr><tr><td>Ashish K. Verma</td><td>06/28/2004</td></tr><tr><td>Lars Eng</td><td>06/28/2004</td></tr></tbody></table>		Name	Execution Date	Richard P. Rataowsky	06/28/2004	Ashish K. Verma	06/28/2004	Lars Eng	06/28/2004		
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Richard P. Rataowsky	06/28/2004										
Ashish K. Verma	06/28/2004										
Lars Eng	06/28/2004										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>Finisar Corporation</td></tr><tr><td>Street Address:</td><td>1389 Moffett Park Drive</td></tr><tr><td>City:</td><td>Sunnyvale</td></tr><tr><td>State/Country:</td><td>CALIFORNIA</td></tr><tr><td>Postal Code:</td><td>94089</td></tr></table>		Name:	Finisar Corporation	Street Address:	1389 Moffett Park Drive	City:	Sunnyvale	State/Country:	CALIFORNIA	Postal Code:	94089
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PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10880655</td></tr></tbody></table>		Property Type	Number	Application Number:	10880655						
Property Type	Number										
Application Number:	10880655										
CORRESPONDENCE DATA											
Fax Number: (801)328-1707 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 801-533-9800											
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ATTORNEY DOCKET NUMBER:	15436.450										
NAME OF SUBMITTER:	Eric L. Maschoff, Reg No: 36,596										

OP \$40.00 10880655

500096682

PATENT  
REEL: 017510 FRAME: 0257

**Total Attachments: 6**

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**PATENT**

**REEL: 017510 FRAME: 0258**

07-13-2004



102788738

Docket No.: 15436.450

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard P. Ratowsky  
Ashish K. Verma  
Lars Eng

630.04

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **June 28, 2004**

2. Name and address of receiving party(ies):

Name: **Finisar Corporation**

Address: **1308 Moffett Park Drive**

City: **Sunnyvale**

State/Prov.: **CA**

Country: **USA**

ZIP: **94089**

Additional name(s) & address(es)

☐ Yes ☒ No



4. Application number(s) or patent numbers(s):

10880655

If this document is being filed together with a new application, the execution date of the application is: **June 28, 2004**

Patent Application No.

Filing date

B. Patent No.(s)

07/06/2004 EAREGAY1 00000003 10880655

03/01/2021

40.00 OP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Eric L. Maschoff**

Registration No. **36,596**

Address:

**022913**

PATENT TRADEMARK OFFICE  
CUSTOMER No.

City: State/Prov.:

Country: ZIP:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

**23-3178**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Carl T. Reed**

Name of Person Signing

*Carl T. Reed*

Signature

**6/30/04**

Date

6

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 017510 FRAME: 0259

ASSIGNMENT

We,

- (1) Name: Richard P. Ratowsky  
Address: 2301 Curtis Street  
Berkeley, California 94702  
Citizenship: United States
- (2) Name: Ashish K. Verma  
Address: 4044 Rio Court  
San Jose, California 95134  
Citizenship: United States
- (3) Name: Lars Eng  
Address: 801 Church Street #1131  
Mountain View, California 94041  
Citizenship: United States

respectively, have invented a method and apparatus entitled **SEMICONDUCTOR LASER WITH SIDE MODE SUPPRESSION** hereinafter called the "invention."

Preferred embodiments of said invention are disclosed in a United States patent application executed concurrently herewith by us and now identified as File No. 15436.450 of the law firm of Workman Nydegger, 1000 Eagle Gate Tower, 60 East South Temple, Salt Lake City, Utah 84111, and filed in the United States Patent and Trademark Office as Serial No. 10/880,655 on June 30, 2004. (We hereby authorize the attorneys of Workman Nydegger to insert said serial number and filing date when known.)

The Assignee, Finisar Corporation, a corporation of the State of Delaware, having a principal place of business at 1308 Moffett Park Drive, Sunnyvale, CA 94089, desires to secure the entire right, title and interest in said invention.

In consideration of One Dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which we hereby acknowledge, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention and in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.


We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee to further the prosecution of any of such patent applications.

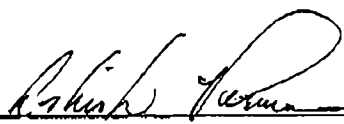
Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

This assignment and agreement shall be binding upon our heirs and legal representatives.

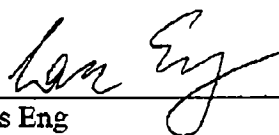
DATED this 28<sup>th</sup> day of June 2004.

  
\_\_\_\_\_  
Richard P. Ratowsky

DATED this 28<sup>th</sup> day of June 2004.

  
\_\_\_\_\_  
Ashish K. Verma

DATED this 28<sup>th</sup> day of June 2004.

  
\_\_\_\_\_  
Lars Eng

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