

02-02-2006

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Form PTO-1595

OMB No. 0651-0027 (exp. 6/30/2005)



103169237

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Samuel F. Lockwood
Peng Cho Tang
Geoff Nadolski
Henry L. Jackson
Zhiqiang Fang
Yishu Du
Min Yang
William Geiss
Richard Williams
David Burdick

2. Name and address of receiving party(ies):

Name: Hawaii Biotech, Inc.
Street Address: 99-193 Aiea Heights Dr., Suite 236
City: Aiea State: HI ZIP: 96701

Execution Date: December 19, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☒
- Assignment
- ☐
- Merger
-
- ☐
- Security Agreement
- ☐
- Change of Name
-
- ☐
- Government Interest Assignment
-
- ☐
- Executive Order 9424, Confirmatory License
-
- ☐
- Other:

Additional name(s) and addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers:

☐ This document is being filed together with a new application

A. Patent Application No.(s):

11/242,643 filed October 3, 2005

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer No.: 35690
Eric B. Meyertons
Meyertons, Hood, Kivlin, Kowert & Goetzel
P.O. Box 398
Austin, Texas 78767-03986. Total number of applications and patents involved: 17. Total Fee (37 C.F.R. 1.21(h) & 3.41): \$40.00

- ☒
- Fee Authorization Form Enclosed
-
- ☐
- Authorized to be charged to deposit account
-
- ☐
- None required (government interest not affecting title)

8. Deposit Account No.: 50-1505/5777-00802/EBM

02/01/2006 DBYRME 00000116 501505 11242643

01 FC:8021

40.00 DA

DO NOT USE THIS SPACE

9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Eric B. Meyertons
Reg. No. 34,876

Signature

Date

1-24-2006

Total number of pages including cover sheet, attachments and documents: 10PATENT
REEL: 017511 FRAME: 0559

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to Hawaii Biotech, Inc. (the "Assignee"), having a place of business at 99-193 Aiea Heights Dr., Suite 236, Aiea, HI 96701 the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 11/242,643 filed on October 3, 2005, and is entitled "**METHODS FOR THE SYNTHESIS OF ASTAXANTHIN**", such application and all nonprovisional, divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

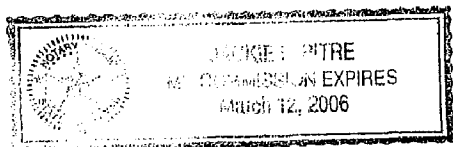
TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) [Signature]
Name: Samuel F. Lockwood

Date: 12/19/05

BEFORE ME, the undersigned authority, on this 19th day of DECEMBER, 2005, personally appeared Samuel F. Lockwood, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



[Signature]
Notary

(Signature) _____
Name: Peng Cho Tang

Date: _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2005, personally appeared Peng Cho Tang, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]

Notary

(Signature) _____

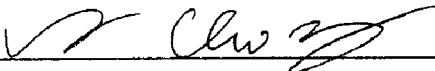
Date: _____

Name: Samuel F. Lockwood

BEFORE ME, the undersigned authority, on this ____ day of _____, 2005, personally appeared Samuel F. Lockwood, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary

[SEAL]

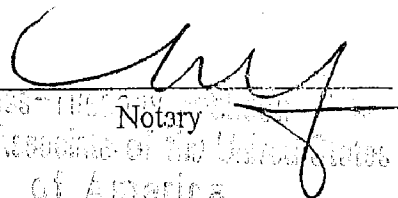
(Signature) 

Date: 11/16/2006

Name: Peng Cho Tang

BEFORE ME, the undersigned authority, on this 16th day of Nov., 2005, personally appeared Peng Cho Tang, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]


Notary
Consular Agent of the United States
of America
American Consulate General
Shanghai China

(Signature) Geoff Nadolski
Name: Geoff Nadolski

Date: 12-19-05

BEFORE ME, the undersigned authority, on this 19 day of December, 2005, personally appeared Geoff Nadolski, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Cheryl A. Braithwaite

Notary

Expiration Date: 10/31/2008

[SEAL]

L.S.

(Signature) Henry L. Jackson
Name: Henry L. Jackson

Date: 12-19-05

BEFORE ME, the undersigned authority, on this 19 day of December, 2005, personally appeared Henry L. Jackson, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Cheryl A. Braithwaite

Notary

Expiration Date: 10/31/2008

[SEAL]

L.S.

(Signature) _____

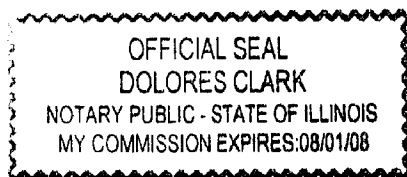
Name: Zhiqiang Fang

Date: _____

11/22/05

BEFORE ME, the undersigned authority, on this 22nd day of November, 2005, personally appeared Zhiqiang Fang, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Dolores Clark

Notary

(Signature) _____

Name: Yishu Du

Date: _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2005, personally appeared Yishu Du, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]

Notary

(Signature) _____

Date: _____

Name: Zhiqiang Fang

BEFORE ME, the undersigned authority, on this ____ day of _____, 2005, personally appeared Zhiqiang Fang, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary

[SEAL]

(Signature) Yishu Du
Name: Yishu Du

Date: Dec. 14th

BEFORE ME, the undersigned authority, on this 14th day of Dec., 2005, personally appeared Yishu Du, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]

Notary

Miss Tuesday Jackson
Consular Associate of the United States
of America

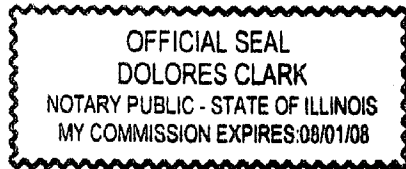
American Consulate General
Shanghai China

(Signature) Yang Min
Name: Min Yang

Date: 11/22/05

BEFORE ME, the undersigned authority, on this 22nd day of November, 2005, personally appeared Min Yang, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]



Dolores Clark
Notary

(Signature) _____
Name: William Geiss

Date: _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2005, personally appeared William Geiss, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[SEAL]

Notary

(Signature) _____

Date: _____

Name: Min Yang

BEFORE ME, the undersigned authority, on this ____ day of _____, 2005, personally appeared Min Yang, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary

[SEAL]

(Signature) William Geiss

Date: 11-10-05

Name: William Geiss

BEFORE ME, the undersigned authority, on this 10th day of NOVEMBER, 2005, personally appeared William Geiss, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Lee Smith
Notary

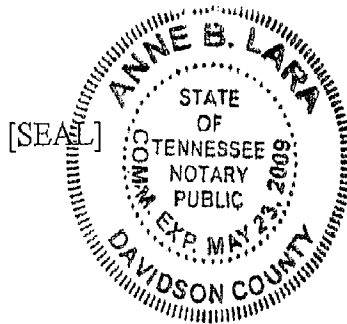
[SEAL]

LEE SMITH
Notary Public, State of New York
No. 01SM6049224
Qualified in Albany County
Commission Expires Oct. 10, 20 06

(Signature) [Signature]
Name: Richard Williams

Date: 11/23/05

BEFORE ME, the undersigned authority, on this 23 day of November, 2005, personally appeared Richard Williams, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Anne B Lara
Notary

(Signature) David Burdick
Name: David Burdick

Date: 17 Nov 2005

BEFORE ME, the undersigned authority, on this 17 day of November, 2005, personally appeared David Burdick, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledge to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[Signature]
Notary

ERIC B. MEYERTONS
MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398