

FORM PTO-1595
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(exp. 4/94)

RECORDATIO
PAT

CASE: 7902

02-10-2006

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



103175353

Documents or copy thereof.

1. Name of conveying party(ies):

John T. Malito – January 28, 2006
James A. Counter – January 24, 2006

Additional names(s) of conveying party (ies) attached? Yes No X

2. Name and address of receiving party (ies)

Name: Nalco Company

Customer Number: 000049459

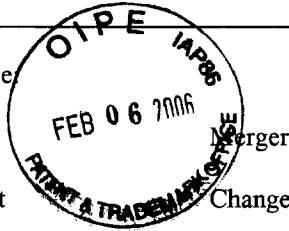
3. Nature of conveyance

X Assignment

Security Agreement

Other:

Execution Date: See above



Merger

Change of Name

Street Address: 1601 W. Diehl Road

City: Naperville State: IL ZIP: 60563-1198

Additional names(s) & address (es) attached? Yes X No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

11/159,467

B. Patent No.(s)

Additional numbers attached: Yes No X

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael B. Martin
Patent and Licensing Dept.
Internal Address: Nalco Company

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: 14-0105

(Attach duplicate copy of this page if paying by deposit account)

02/09/2006 DBYRME 00000205 140105 11159467

01 FC:8021 40.00 DA

Street Address: 1601 W. Diehl Road

City: Naperville State: Illinois ZIP: 60563-1198

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. Martin, Reg. No. 37,521

Name of Person Signing

Michael B. Martin
Signature

2/3/06
Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents, P.O. Box 1450

Alexandria, VA 22313-1450

PATENT
REEL: 017541 FRAME: 0005

ASSIGNMENT

The ASSIGNOR(S), James A. Counter

have invented certain new and useful improvements in COMPOSITION AND METHOD FOR IMPROVED ALUMINUM HYDROXIDE PRODUCTION

an invention which is fully described in United States Patent Application Serial No.11/159,467, filed on June 23, 2005; and

The ASSIGNEE, NALCO COMPANY, a DELAWARE corporation having its principal office at 1601 West Diehl Road, in Naperville, Illinois, 60563-1198, wishes to acquire the entire right, title and interest in and to the invention and under Letters Patent which may be obtained for this invention.

For valuable and legally sufficient consideration, receipt of which is hereby acknowledged, the Assignor(s) hereby, assigns, transfers and sets over to Assignee, its successors and assigns, the entire right, title and interest in and to the above-identified invention and patent application and all rights and privileges under any Letters Patent of the United States which may issue thereon.

The Assignor(s) also assigns, transfers and sets over to Assignee the entire right, title and interest in and to the invention and any patent application and Letters Patent that may be granted thereon in all countries foreign to the United States, including all rights under the International Convention. The Assignor(s) further authorizes Assignee to apply for Letters Patent in foreign countries in the name of Assignee, and to claim the priority of the filing date of the United States Patent Application under the provisions of the International Convention.

The Assignor(s) further agrees to assist Assignee in the prosecution of the patent application before the United States Patent Office and the Federal Courts, and any other applications for Letters Patent including renewals, continuations, divisions, reissues and substitutions, that the Assignee elects to make covering the invention. The Assignor(s) vests in Assignee like exclusive title in and to all such other applications and Letters Patent. The Assignor(s) will execute and deliver to Assignee any documents which may be requested by Assignee to carry out the terms of this Assignment.

The Commissioner of Patents of the United States is authorized and requested to issue any and all Letters Patent of the United States to Assignee. The Assignor(s) also authorizes and requests the equivalent authorities in foreign countries to issue the patents of foreign countries to Assignee.

James A. Counter

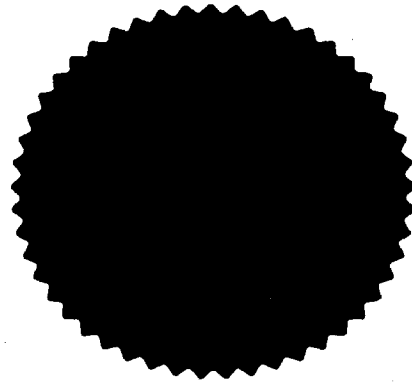
5/17 Bath Street

Thirroul New South Wales 2515 Australia

STATE OF NEW SOUTH WALES)
)ss
COUNTY OF COMBOLLAND)

Subscribed and sworn to before me
this 2nd day of JANUARY 2006.

~~Notary Public~~



Practitioner's Docket No. 7902**PATENT**

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: ☐ Administrator(trix) ☐ Executor(trix)
☐ Legal Representative(s)

**ASSIGNMENT OF INVENTION
BY ADMINISTRATOR(TRIX), EXECUTOR(TRIX)
OR LEGAL REPRESENTATIVE(S)**

For valuable and legally sufficient consideration, receipt of which is hereby acknowledged,

ASSIGNOR:

By administrator(trix), executor(trix)
or legal representative of inventor
or person who owns the invention

Pamela S. Malito

*(type or print name(s) of administrator(trix),
executor(trix) or legal representative(s))*

John T. Malito

Inventor or person on whose behalf above person(s) are signing

241 Isleview Drive

Address(es) of person(s) signing

Oswego, Illinois 60543United States of America

Nationality(ies) of person(s) signing

*(If assignment is on behalf of person to whom invention was previously assigned
and this was recorded in PTO, add the following)*

Recorded on _____**Reel** _____**Frame** _____

hereby sells, assigns and transfers to

ASSIGNEE:Nalco Company

(type or print name of Assignee(s))

1601 W. Diehl Road

Address

Naperville, IL 60563-1198United States of America

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention—Administrator(trix), Executor(trix) or Legal Representative(s) [16-3.1]—page 1 of 3)

PATENT**REEL: 017541 FRAME: 0008**

(complete one of the following)

- ☐ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

Name of inventor(s) _____

(check and complete (a), (b), (c), (d), (e), (f), or (g))

and which is found in (37 C.F.R. § 3.21)

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: _____

Mailed: _____

- ☐ To comply with 37 C.F.R. § 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☐ U.S. application no. _____ / _____ filed on _____

(e) ☐ International application no. PCT/_____ / _____ filed on _____

(f) ☐ U.S. patent no. _____ issued _____

- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is(are) also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date:

1/28/06



(Signature of administrator(trix), executor(trix), or
legal representative(s)) - Pamela S. Malito

Date:

Date:

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 U.S.C. § 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

(Assignment of Invention—Administrator(trix), Executor(trix) or Legal Representative(s) [16-3.1]—page 3 of 3)

STATE OF ILLINOIS)
)ss

COUNTY OF KENDALL)

Subscribed and sworn to before me
this 28 day of JAN. 2006.

Marilyn D. Mulhall
Notary Public

