

04-26-2006

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



EET

103186569

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

XIAO, Zejun
HAUSHEER, Frederick H.
KOCHAT, Harry

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 1-24-06

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: BioNumerik Pharmaceuticals, Inc.

Internal Address: Scott A. Whitaker, Ph.D., J.D.

Sr. Intellectual Property Counsel

Street Address: 8122 Datapoint Drive, Suite 1250

City: San Antonio

State: TX

Country: US Zip: 78229

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)
DBYRNE 00000191 022338 11340805

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Scott A. Whitaker, Ph.D., J.D.

Internal Address: Sr. Intellectual Property Counsel

Street Address: BioNumerik Pharmaceuticals, Inc.
8122 Datapoint Drive, Suite 1250

City: San Antonio

State: TX Zip: 78229

Phone Number: 210-614-1701

Fax Number: 210-614-9439

Email Address: scott.whitaker@bnpi.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 022338

Authorized User Name Scott A. Whitaker, Ph.D., J.D.

9. Signature:

Signature

Date 01/24/06

Scott A. Whitaker, Ph.D., J.D., Sr. Intellectual Property Counsel
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

X-0249

Whereas, I/We, Frederick H. Hausheer of Boerne, TX, hereafter referred to as applicant, have invented certain new and useful improvements in Monoazole Ligand Platinum Analogs

☐ for which an application for a United States Patent was filed on _____
Application Number _____

☒ for which an application for a United States Patent was executed on _____, and
BioNumerik

Whereas, Pharmaceuticals, Inc. of San Antonio, TX here referred to "assignee" whose mailing address is 8122 Datapoint Drive, Suite 1250 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 24th day of JANUARY, 20 06
at San Antonio, Texas



Signature

State of Texas)

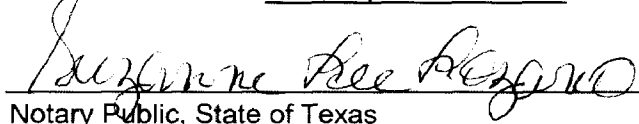
SS:

Frederick H. Hausheer

Printed Name/Registration No., if applicable

County of Bexar)Before me personally appeared said Frederick H. Hausheerand acknowledged the foregoing instrument to be his free act and deed this 24thday of JANUARY, 20 06

Seal



Notary Public, State of Texas

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

☒ Total of 1 of 3 forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

X-0249

Whereas, I/We, Zejun Xiao of San Antonio, TX, hereafter referred to as applicant, have invented certain new and useful improvements in Monoazole Ligand Platinum Analogs

☐ for which an application for a United States Patent was filed on _____
Application Number _____

☒ for which an application for a United States Patent was executed on _____, and
BioNumerik

Whereas, Pharmaceuticals, Inc. of San Antonio, TX here referred to "assignee" whose mailing address is 8122 Datapoint Drive, Suite 1250 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 20th day of Jan., 20 06
at San Antonio, Texas


Signature

State of Texas)

SS:

Zejun Xiao

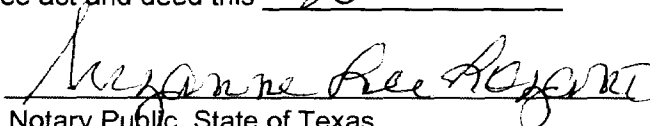
Printed Name/Registration No., if applicable

County of Bexar)

Before me personally appeared said Zejun Xiao

and acknowledged the foregoing instrument to be his free act and deed this 20th
day of January, 20 06

Seal


Notary Public, State of Texas

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

☒ Total of 2 forms are submitted.

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)

X-0249

Whereas, I/We, Harry Kochat of San Antonio, TX, hereafter referred to as applicant, have invented certain new and useful improvements in Monoazole Ligand Platinum Analogs

☐ for which an application for a United States Patent was filed on _____
Application Number _____.

☒ for which an application for a United States Patent was executed on _____, and
BioNumerik

Whereas, Pharmaceuticals, Inc. of San Antonio, TX here referred to "assignee" whose mailing address is 8122 Datapoint Drive, Suite 1250 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 20 day of January, 2006
at San Antonio, Texas

Harry Kochat

Signature

State of Texas)

SS:

Harry Kochat

Printed Name/Registration No., if applicable

County of Bexar)Before me personally appeared said Harry Kochatand acknowledged the foregoing instrument to be his free act and deed this 20thday of January, 2006

Suzanne Lee Rodriguez
Notary Public, State of Texas

Seal

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of 3 of 3 forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

PATENT**RECORDED: 01/26/2006****REEL: 017542 FRAME: 0068**