

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

ZHENWEI MIAO
JUNJIE LIU

2. Name and address of receiving party(ies)

Name: AMBRX, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) MAY 1, 2006; MAY 1, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

Street Address: 10975 NORTH TORREY PINES ROAD,

SUITE 100

City: LA JOLLA

State: CALIFORNIA

Country: U.S.A. Zip: 92037

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/313,306

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: JOHN W. WALLEN, III

Internal Address: AMBRX, Inc.

Street Address: 10975 NORTH TORREY PINES ROAD,

SUITE 100

City: LA JOLLA

State: CALIFORNIA

Zip: 92037

Phone Number: (858) 875-2403

Fax Number: (858) 453-9511

Email Address: john.wall@ambrx.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 503417

Authorized User Name John W. Wallen, III

9. Signature:


Signature

MAY 3, 2006

Date

John W. Wallen, III

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 503417 11313306

Form PTO-1595
RECORDATION FORM COVER SHEET
PATENTS ONLY
PAGE 2

PATENT APPLICATION NO.(S): 11/313,306
RECEIVING PARTY(IES): AMBRX, Inc.

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

THEA NORMAN
RUSSELL DRIVER

Executed: APRIL 27, 2006
Executed: MAY 2, 2006

DOCKET NO. 31362-701.201
AMBX-0079.00US

ASSIGNMENT

Serial No.: 11/313,306
Filed: DECEMBER 21, 2005

WHEREAS, ZHENWEI MIAO residing in SAN DIEGO, CALIFORNIA, JUNJIE LIU residing in SAN DIEGO, CALIFORNIA, THEA NORMAN residing in SAN DIEGO, CALIFORNIA, and RUSSELL DRIVER residing in SOLANA BEACH, CALIFORNIA (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

**"COMPOSITIONS CONTAINING, METHODS INVOLVING, AND USES OF NON-NATURAL
AMINO ACIDS AND POLYPEPTIDES"**

for which they have on the 21st day of DECEMBER, 2005 executed an application for Letters Patent of the United States; and

WHEREAS, AMBRX, INC., a corporation of the State of DELAWARE, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title, and interest therein;

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to their moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and does hereby sell, assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, non-provisional, international, and national stage applications thereof, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

DOCKET NO. 31362-701.201
AMBX-0079.00US

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that they have a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to themselves, promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 1st day of May, 2006.

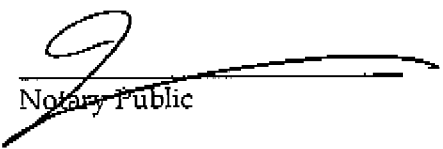

ZHENWEI MIAO

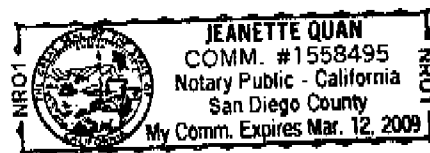
STATE OF CALIFORNIA
COUNTY OF SAN DIEGO

On this 1st day of May, 2006, before me, JEANETTE QUAN, a Notary Public, personally appeared ZHENWEI MIAO, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature


Notary Public



DOCKET NO. 31362-701.201
AMBX-0079.00US

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 1st day of May, 2006.

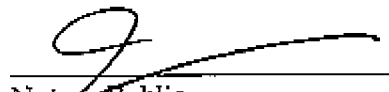

JUNJIE LIU

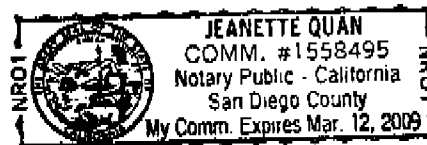
STATE OF CALIFORNIA
COUNTY OF SAN DIEGO

On this 1st day of May, 2006, before me, JEANETTE QUAN, a Notary Public, personally appeared JUNJIE LIU, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature


Notary Public



DOCKET NO. 31362-701.201
AMBX-0079.00US

IN TESTIMONY WHEREOF, Assignor has hereunto set her hand and seal this 27th day of April, 2006.

Thea C. Norman
THEA NORMAN

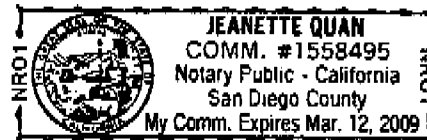
STATE OF CALIFORNIA
COUNTY OF SAN DIEGO

On this 27th day of April, 2006, before me, JEANETTE QUAN, a Notary Public, personally appeared THEA NORMAN, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


Signature

[Signature]
Notary Public



DOCKET NO. 31362-701.201
AMBX-0079.00US

IN TESTIMONY WHEREOF, Assignor has hereunto set his hand and seal this 02 day of May 2006.

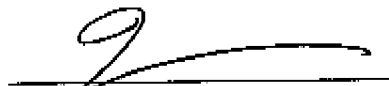

RUSSELL DRIVER

STATE OF CALIFORNIA
COUNTY OF SAN DIEGO

On this 2nd day of May 2006, before me, JEANETTE QUAN, a Notary Public, personally appeared RUSSELL DRIVER, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature


Notary Public

