

Form PTO-1595 (Rev. 07/05)
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U.S. DEPARTMENT OF COMMERCE
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

THE REGENTS OF THE UNIVERSITY OF
CALIFORNIA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) April 30, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: THE J. DAVID GLADSTONE INSTITUTES

Internal Address: _____

Street Address: 43 Corporate Park

Suite 102

City: Irvine

State: California

Country: USA Zip: 92606

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,518,480

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Linda S. Stevenson

Internal Address: Office of Technology Transfer
5th Floor

Street Address: 1111 Franklin Street

City: Oakland

State: California Zip: 94607-5200

Phone Number: (510) 587-6000

Fax Number: (510) 587-6090

Email Address: linda.stevenson@ucop.edu

6. Total number of applications and patents involved:

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

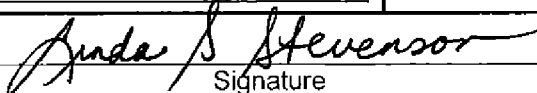
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 21-0649

Authorized User Name Linda S. Stevenson

9. Signature:



Signature

May 4, 2006

Date

Linda S. Stevenson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 210649 6518480

Assignment

This assignment is between The J. DAVID GLADSTONE INSTITUTES, having a principal place of business at 43 Corporate Park, Suite 102, Irvine, California 92606, hereinafter referred to as ASSIGNEE, and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California Corporation, having its statewide administrative offices at 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200, hereinafter referred to as ASSIGNOR.

The assignments concern the applications/patents listed in Schedule A ("the Patents").

WHEREAS, ASSIGNOR is desirous of assigning to ASSIGNEE the entire right, title and interest in and to said Patents.

WHEREAS, ASSIGNOR entered into a First Amendment to the 1986 Letter Agreement between The Regents of the University of California and The J. David Gladstone Institutes (the "First Amendment") (for UC Control Number 2006-18-0001) effective February 7, 2006, (the "First Amendment") regarding "the Patents" in Schedule A.

NOW, THEREFORE, in consideration of good and valuable consideration received by ASSIGNOR from ASSIGNEE, included in (the "First Amendment"), the receipt of which is hereby acknowledged by ASSIGNOR,

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE its entire right, title and interest in and to the Patents and any legal equivalent thereof in a foreign country, including all priority rights under the international convention associated therewith for each country, and in and to each and every reissue, reexamination or extension of said patents.

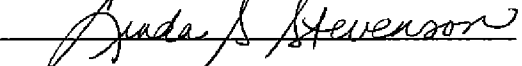
2. ASSIGNOR, to the extent of its capabilities, covenants and agrees that at the request and expense of ASSIGNEE it will promptly execute all papers necessary or desirable to perfect ownership of said Patents to ASSIGNEE, and execute all oaths and other papers necessary or desirable for use in interference litigation proceeding or involving said Patents or for reissuance of any of said Patents which are deemed necessary or desirable by ASSIGNEE.

3. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, its heirs, legal representatives and assigns.

IN TESTIMONY WHEREOF, I have executed this instrument.

Date: April 30, 2006

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

By: 

Name: Linda S. Stevenson

Title: Manager, Patent Prosecution

Schedule A

| Case | US Filed | Serial No. | US Issued | Patent No. |
|--------------|------------|------------|------------|------------|
| 1996-236-2 | 03/25/1997 | 09/341,446 | 02/11/2003 | 6,518,480 |
| (2006-823-2) | | | | |