

05/03/2006
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FORM PTO-1595 (Rev. 10/02)		RECORDATION FORM COVER SHEET		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
Docket No.: 43888-325 PATENTS ONLY					
To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:					
1. Name of Conveying Party(ies): Tsutomu OHZUKU, Hiroshi YOSHIKAWA, Masatoshi NAGAYAMA and Hideo KOSHINA Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No			2. Name and address of receiving party(ies): Name: MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. Address: 1006, Onza Kadoma, Kadoma-shi, Osaka, Japan 571-8501 Name: OSAKA CITY University Address: 3-138, Sugimoto 3-chome, Suniyoshi-ku, Osaka-shi, Osaka, 558-8585 Additional name(s) & address(es) attached? ☐ Yes ☒ No		
3. Nature of Conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☒ Assignment 1 to correcting the spelling of the First and Last Inventors names indicated on Reel/Frame: 016484/0073 only (please note an assignment changing the 2nd assignee was filed on April 25, 2006, a copy is attached) Other Execution Date: Assignment 1) June 9, 2004, June 9, 2004, June 9, 2004, June 14, 2004 (respectively) and Assignment 2) June 9, 2004					
4. Application number(s) or patent number(s): If the document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s). 10/506,298 B. Patm No(s). Additional numbers attached? ☐ Yes ☒ No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: MCDERMOTT WILL & EMERY LLP Internal Address: Street Address: 600 13th Street, N.W. City: Washington State: D.C. Zip: 20005-3096			6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41) \$40.00 ☐ Enclosed ☒ Authorized to be charged to deposit account 8. Deposit account number: 500417		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Bernard Codd, 46,429  May 3, 2006					
Name and Registration No. of Person Signing			Signature		Date
			Total number of pages including cover sheet:		3
OMB No. 0651-0027 (exp. 6/30/2005)					

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PATENT
REEL: 017601 FRAME: 0803

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A/D 581-40

Assignment 1

04-27-2005

0715 Rec'd PCT/PTO 01 SEP 2004

FORM PTO-1595
(Rev. 10/03)

Docket No.: 43888-325

To the Honorable Commissioner for P



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

10/506298

ached original documents or copy thereto:

1. Name of Conveying Party(ies):

Tsutomu OHKUKU, Hiroshi YOSHIKAWA, Masatoshi
NAGAYAMA and Hizaru KOSHINAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 9; June 9; June 9 and June 14, 2004
(respectively)

2. Name and address of receiving party(ies):

Name: (1) Matsushita Electric Industrial Co., Ltd.
 (2) Osaka City
 Address: (1) 1006, Oaza Kadoma,
 (2) 3-20, Nakanoshima 1-chome, Kita-ku
 (1) Kadoma-shi, Osaka, Japan 571-8501
 (2) Osaka-shi, Osaka, Japan 530-8201

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: June 9, 2004

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP
Internal Address:

Street Address: 500 13th Street, N.W.

City: Washington State: D.C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:
500417

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael E. Fogarty, Registration No. 36,139

Name and Registration No. of Person Signing

September 1, 2004

Signature

Date

Total number of pages including cover sheet: 3

OMB No. 0651-0027 (exp. 6/30/2005)

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Attorney Docket No.: _____

ASSIGNMENT

WHEREAS, Tsutomu OHZUKU, Hiroshi YOSHIZAWA, Masatoshi NAGAYAMA and
Hizuru KOSHINA
hereinafter called the "Assignors," have jointly invented a new and useful invention entitled
POSITIVE ELECTRODE ACTIVE MATERIAL, PRODUCTION METHOD THEREOF AND
NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY
for which they have:

- (a) filed an application for United States Letters Patent on
_____ as (Serial No. _____); or
- (b) executed an application for United States Letters Patent on
_____; or
- (c) filed a provisional application on _____
as (Serial No. _____); and

WHEREAS, Matsushita Electric Industrial Co., Ltd. and Osaka City, corporations
organized and existing under the laws of Japan, having places of business at
1006, Oaza-Kadoma, Kadoma-shi, Osaka 571-8501 Japan and 3-20, Nakanoshima
1-chome, Kita-ku, Osaka-shi, Osaka 530-8201 Japan, respectively,
hereinafter called the "Assignees," are desirous of acquiring the entire right, title and
interest in and to said invention, the application above identified, and in, to and under
any Letters Patent which may be obtained to said invention, as hereinafter more fully
set forth;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in
consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient
considerations, the receipt of which by said Assignors from the said Assignee is hereby
acknowledged, the said Assignors have sold, assigned and transferred, and by these presents
do sell, assign and transfer unto the said Assignee, the entire, right, title and interest for the
United States in and to the invention and application hereinabove identified, and any Letters
Patent of the United States that may issue for said invention, together with the entire right, title
and interest in and to said invention and applications for Letters Patent and Letters Patent
therefor, in all countries foreign to the United States, including the full right to claim for any such
application all benefits and priority rights under any applicable convention; to have and to hold for
the sole and exclusive use and benefit of the said Assignee, its successors and assigns, to the
full end of the term or terms for which any and all of said Letters Patent for said inventions may
issue.

And the said Assignors do hereby covenant and agree, for themselves and their legal representatives, that they will assist the said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the said Assignee may elect to make covering the invention herein identified, as hereinabove set forth; in vesting in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patent herein contemplated; and that they will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of this Assignment.

The undersigned hereby grant(s) the attorneys of McDermott, Will & Emery LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue Letters Patent to the said Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the said Assignors have hereunto set their hands and affixed their seal.

Date: June 9, 2004
(Seal)

Tsutomu Ohzuku
Tsutomu OHZUKU

Date: June 9, 2004
(Seal)

Hiroshi Yoshizawa
Hiroshi YOSHIZAWA

Date: June 9, 2004
(Seal)

Masatoshi Nagayama
Masatoshi NAGAYAMA

Date: June 14, 2004
(Seal)

Hizuru Koshina
Hizuru KOSHINA