

03-01-2006



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PATENT
Docket No.: U 016159-7

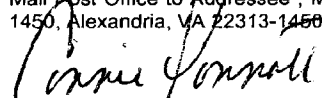
112935 U.S. PTO
11/359722



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CERTIFICATION UNDER 37 CFR 1.10*
(Express Mail Label number is **mandatory**.)
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I hereby certify that this Cover Sheet for Assignment (Document) Accompanying New Patent Application, along with any document referred to, is being deposited with the United States Postal Service on this date **FEBRUARY 22, 2006**, in an envelope as "Express Mail Post Office to Addressee", Mailing Label Number **EV 815 582 919 US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


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WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

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Mail Stop Patent Application
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**COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37CFR 3.31)**

IDENTIFICATION OF APPLICATION (37 CFR 3.21 and 37 CFR 3.31(A)(4))

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

a. Date of execution: FEBRUARY 17, 2006 (BY ALL INVENTORS).

b. Name of each inventor:

1. JINN-TSYY LAI
2. SHIN-YING LEE
3. CHUN-CHIEH HSIEH
4. CHIN-FA HWANG
5. CHII-CHERNG LIAO

c. Title of Invention: **SACCHAROMYCES CEREVISIAE STRAINS FOR HYPER-PRODUCING GLUTATHIONE AND γ -GLUTAMYL-CYSTEINE AND PROCESSES OF USE**

03/02/2006 LMUELLER 00000023 11359722

Note: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

Name of Party(ies) Conveying Interest (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

1. JINN-TSYY LAI
2. SHIN-YING LEE
3. CHUN-CHIEH HSIEH
4. CHIN-FA HWANG
5. CHII-CHERNG LIAO

Name and Address of Party(ies) Receiving Interest (37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Name: FOOD INDUSTRY RESEARCH AND DEVELOPMENT INSTITUTE

Address: NO. 331, SHIHPIN RD.,
HSINCHU CITY 300,
TAIWAN (R.O.C.)

Description of Interest Conveyed or Transaction Recorded (37 CFR 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger
- ☐ a change of name.
- ☐ a change of address
- ☐ other.

Name and Address of Party to Whom Correspondence Should be Mailed (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Name: Clifford J. Mass
Address: Ladas & Parry LLP
26 West 61 Street
New York, NY 10023
Telephone No.: (212) 708-1890

Date Assignment (Document) Executed (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on FEBRUARY 17, 2006 (BY ALL INVENTORS).

NOTE: " The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26

The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

Original Document or True Copy Submitted

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
- ☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in C.F.R. §3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

Number of applications Identified in this Cover Sheet and the Fee (37 CFR 3.31(a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40

- ☒ Attached is a check for \$40
- ☐ Please charge Account 12-0425 \$40
A duplicate of this cover sheet is attached.

Statement (37 CFR 3.31(a)(9)) and Signature (37 CFR 3.31(a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: FEBRUARY 22, 2006

(Name of party submitting document)

(Signature of party submitting document)

(Complete the following if the party submitting the document is applicant's attorney)

(Signature of attorney)

Reg. No. 30,086

Tel. No.: (212) 708-1890

Clifford J. Mass
Ladas & Parry LLP
26 West 61 Street
New York, NY 10023

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

Number of pages submitted 4

For Inventions
made Outside USA
executed with application

USA Patent Appln.
Sole or Joint

ASSIGNMENT

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

- (1) Jinn-Tsyy LAI (5) Chii-Cherng LIAO
(2) Shin-Ying LEE (6) _____
(3) Chun-Chieh HSIEH (7) _____
(4) Chin-Fa HWANG [] x box if continued on back

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

FOOD INDUSTRY RESEARCH AND DEVELOPMENT INSTITUTE

No.331, Shihpin Rd., Hsinchu City 300, Taiwan, R.O.C.

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in and to the invention known as

SACCHAROMYCES CEREVISIAE STRAINS FOR HYPER-PRODUCING
GLUTATHIONE AND γ -GLUTAMYL CYSTEINE AND PROCESSES OF USE

NOTE -> -> ->
(use only
when appln.
signed on
same date)

for which an application for Letters Patent of the United States of America has been executed even date herewith by the undersigned, and in and to any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents; and the undersigned hereby authorize(s) and request(s) the United States Commissioner or Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

Please sign
concurrently
with Declaration

Signed on the date(s) indicated beside our signatures.

INVENTOR(S)**DATE SIGNED**

1)	※ <u>Jinn-Tsyy Lai</u> Name: Jinn-Tsyy LAI	17 February 2006	
2)	※ <u>Shin-Ying Lee</u> Name: Shin-Ying LEE	17 February 2006	
3)	※ <u>Chun-Chieh Hsieh</u> Name: Chun-Chieh HSIEH	17 February 2006	
4)	※ <u>Chin-Fa Hwang</u> Name: Chin-Fa HWANG	17 February 2006	
5)	※ <u>Chii-Cherng Liao</u> Name: Chii-Cherng LIAO	17 February 2006	
6)	Name: _____		
7)	Name: _____		

FOR ADDITIONAL INVENTORS, check box [] and continue on opposite side
CDC-114 2/90(PC)

(over)
PATENT

RECORDED: 02/22/2006

REEL: 017603 FRAME: 0856