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Docket No.: YOR920050419US1 (19128)

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Rev. 03-01)	
MB No. 0651-0027 (exp.5/31/2002)	
08A/REV03	

#### RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

## **PATENTS ONLY**

Russell A. Budd; Punit P. Chiniwalla; John A. Guckenberger; Jeffrey A. Kash; Jeremy D. Schaub; Michael Tan; Jeannine M. Trewhella; and Garry Trott  Additional names(s) of conveying party(ies)  Additional names(s) of conveying party(ies)  International Business Machines Corpo  Address: Orchard Road	
Russell A. Budd; Punit P. Chiniwalla; John A. Guckenberger; Jeffrey A. Kash; Jeremy D. Schaub; Michael Tan; Jeannine M. Trewhella; and Garry Trott  Additional names(s) of conveying party(ies)  Additional names(s) of conveyance:	eration
3. Nature of conveyance:	
✓ Assignment     ✓ Merger     ✓ Merger	
<b>▽</b>	<del></del>
☐ Security Agreement ☐ Change of Name City: Armonk State/Prov.: New `	York
☐ Other Country: <u>USA</u> ZIP: 1050	04
Execution Dates: 2/23/06; 3/2/06; 3/2/06; 3/2/06; 3/2/06; 3/8/06; 3/13/06; and 3/8/06  Additional name(s) & address(es)	⊠ No
4. Application number(s) or patent numbers(s):	
If this document is being filed together with a new application, the execution date of the application is:	
Patent Application No. Filing date B. Patent No.(s)	
11/374,777 March 14, 2006	
Additional numbers Yes 🖾 No	
5. Name and address of party to whom correspondence concerning document should be mailed:  6. Total number of applications and patents investigations.	volved: 1
Name: Steven Fischman 7. Total fee (37 CFR 3.41):	)
Registration No. 34,594   Address: Scully, Scott, Murphy & Presser, P.C.  Enclosed - Any excess or insufficiency so credited or debited to deposit account	should be
	ount
400 Garden City Plaza - Suite 300	
8. Deposit account number:	
50.0510/TRM	
	sit account)
City: Garden City State/Prov.: New York 50-0510/IBM  Country: USA ZIP: 11530 (Attach duplicate copy of this page if paying by depo	sit account)
City: Garden City State/Prov.: New York  Country: USA ZIP: 11530 (Attach duplicate copy of this page if paying by depo  DO NOT USE THIS SPACE  9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy of the original document.	

700263471

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: Mail documents to be recorded with required cover sheet infor REEL: 017613 FRAME: 0587
Mail Stop Assignment Recordation Services

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### ASSIGNMENT

#### Whereas, we

(1) Russell A. Budd

and City	(1) Russell A. Budd County of Westchester	City of North Salem and State of New York	
and	(2) Punit P. Chiniwalla County of New York	City of New York and State of New York	
and	(3) John A. Guckenberger County of San Diego	City of Carlsbad and State of California	
and	(4) Jeffrey A. Kash County of Westchester	City of Pleasantville and State of New York	
and	(5) Jeremy D. Schaub County of Travis	City of Austin and State of Texas	
and	(6) Michael Tan County of Santa Clara	City of Palo Alto and State of California	
and	(7) Jeannine M. Trewhella County of Westchester	City of Peekskill and State of New York	
and	(8) Garry Trott County of San Mateo	City of Şan Mateo and State of California	
	have invented certain improvements in		
Tist_	15 175	•	

Title

Inventor

INTERCONNECTING (MAPPING) A TWO-DIMENSIONAL OPTOELECTRONIC (OE) DEVICE ARRAY TO A ONE-DIMENSIONAL WAVEGUIDE ARRAY

**Dates That** Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

(1) 2/23/ (3) 3/2/ (5) 3/2/ (7) 3/13/	2006, and (2) 3/2/ 2006, and (4) 3/2/ 2006, and (6) 3/8/ 2006, and (8) 3/8/	2006, and 2006, and 2006, and
1 0/10/	2000, and (6) 3/8/	2006.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to

PAGE 1 OF 2

SSMP: 19128

# **ASSIGNMENT**

City	(1) Signed at		0 0		
Date	on $2/23/2006$	Meusse	U 262	dd	_signature
	• 1	(Russell First Name	A. Middle Initial	<b>Budd</b> Last Name	) inventor
City	(2) Signed at				
Date	on		·		_signature
		(Punit First Name	P. Middle Initial	Chiniwalla Last Name	) inventor
City	(3) Signed at				
Date	on				_signature
	•	( <b>John</b> First Name	A. Middle Initial	Guckenberge Last Name	r) inventor
City	(4) Signed at				
Date	on	<u> </u>			_signature
		(Jeffrey First Name	A. Middle Initial	Kash Last Name	) inventor
City	(5) Signed at	•			
Date	on		···		_signature
		(Jeremy First Name	<b>D.</b> Middle Initial	Schaub Last Name	) inventor
City	(6) Signed at	•			
Date	on				_signature
		Michael First Name	Middle Initial	Tan Last Name	) inventor
City	(7) Signed at				
Date	on				_signature
		(Jeannine First Name	M. Middle Initial	Trewhella Last Name	) inventor
City	(8) Signed at		;		
Date	on	•			signature
		(Garry First Name	Middle Initial	Trott ) Last Name	inventor

PAGE 2 OF 2

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# **ASSIGNMENT**

City	(1) Signed at				
Date	on				
		(Russell First Name	A. Middle Initial	Budd Last Name	signature ) inventor
City New York	(1/g/2) Signed at	2	,		
Date alobo	(ार्ने)(2) Signed at on	Flor M	Ohn len		
710-12		(Punit	P.	Chiniwalla	signature ) inventor
City	(3) Signed at	First Name	Middle Initial	Last Name	
Date	on				
		( <b>John</b> First Name	A. Middle Initial	Guckenber Last Name	signature ger) inventor
City	(4) Signed at				
Date	on		•		
		( <b>Jeffrey</b> First Name	A. Middle Initial	Kash Last Name	signature ) inventor
City	(5) Signed at				
Date	on				•
		(Jeremy First Name	D.	Schaub	signature ) inventor
City	(6) Signed at	- First Name	Middle Initial	Last Name	
Date	on				
		Michael		Tan	signature ) inventor
City	(7) Signed at	First Name	Middle Initial	Last Name	
Date	on				
		(Jeannine	М.	Trewhella	signature ) inventor
ity	(0) Ciamadat	First Name	Middle Initial	Last Name	,
•	(8) Signed at	•			
ate	on	<del></del>			signature
		( <b>Garry</b> First Name	Middle Initial	Trott Last Name	) inventor

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# **ASSIGNMENT**

City	(1) Signed at			· <del>-</del>	
Date	on	(Russell First Name	A. Middle Initial	Budd Last Name	_signature ) inventor
City	(2) Signed at				
Date	on	(Punit	Р.	Chiniwalla	_signature ) inventor
City	(3) Signed at Carlsbad	First Name	Middle Initial	Last Name	, mventoi
Date	on 777 VC	(John First Name	A. Middle Initial	Guckenberge Last Name	_signature r) inventor
City	(4) Signed at				
Date	on	(Jeffrey First Name	A. Middle Initial	Kash Last Name	_signature ) inventor
City	(5) Signed at				
Date	on	(Jeremy First Name	D. Middle Initial	Schaub Last Name	_signature ) inventor
City	(6) Signed at				
Date	on	Michael First Name	Middle Initial	Tan Last Name	_signature ) inventor
City	(7) Signed at				
Date	on	(Jeannine First Name	<b>M.</b> Middle Initial	Trewhella Last Name	_signature ) inventor
City	(8) Signed at	,	•		
Date	on	(Garry First Name	Middle Initial	Trott Last Name	_signature ) inventor

PAGE 2 OF 2

SSMP: 191 28

# **ASSIGNMENT**

City	(1) Signed at				
Date	on	(Russell First Name	A. Middle Initial	Budd Last Name	_signature ) inventor
City	(2) Signed at				
Date	on	(Punit First Name	P. Middle Initial	Chiniwalia Last Name	_signature ) inventor
City	(3) Signed at				
Date	on	(John First Name	A. Middle Initial	Guckenberge Last Name	_signature er) inventor
City Date	on March 2, 2006	(Jeffrey First Mane	A. Middle Initial	Kash Last Name	_signature ) inventor
City	(5) Signed at				
Date	on	(Jeremy First Name	D. Middle Initial	Schaub Last Name	_signature ) inventor
City	(6) Signed at	-	,		
Date	on	<b>Michael</b> First Name	Middle Initial	Tan Last Name	_signature ) inventor
City	(7) Signed at				
Date	oń	( <b>Jeannine</b> First Name	M. Middle Initial	Trewhella Last Name	_signature ) inventor
City	(8) Signed at		· ·		
Date	on	(Garry First Name	Middle Initial	Trott Last Name	_signature ) inventor

SSMP: 19128

# **ASSIGNMENT**

City	(1) Signed at				
Date	on	(Russell First Name	A. Middle Initial	Budd Last Name	signatu re ) inventor
City	(2) Signed at				
Date	on	(Punit First Name	P. Middle Initial	Chiniwalla Last Name	signature ) inventor
City	(3) Signed at				
Date	on	( <b>John</b> First Name	A. Middle Initial	Guckenberg Last Name	signature er) inventor
City	(4) Signed at				
Date	on	( <b>Jeffrey</b> First Name	A. Middle Initial	Kash Last Name	signature ) inventor
City	(5) Signed at		0 /		
Date	on March 2, 2006	(Jeremy First Name	D. Middle Initial	Schaub Last Name	signature ) inventor
City	(6) Signed at				
Date	on	Michae! First Name	Middle Initial	Tan Last Name	signature ) inventor
City	(7) Signed at		•		
Date	on	(Jeannine First Name	M. Middle Initial	Trewhella Last Name	signature ) inventor
City	(8) Signed at				
Date	on .	( <b>Garry</b> First Name	Middle Initial	Trott Last Name	signature ) inventor

Docket: 19128

## **ASSIGNMENT**

San Jose, CA (1) Signed at City March 8, 2006 signature Date Michael Tan ) inventor First Name Middle Initial Last Name (2) Signed at City March 8, 2006 \_signature ) inventor Date (Garry / First Name Trott Middle Initial Last Name

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## **ASSIGNMENT**

City	(1) Signed at				
Date	on	(Russell First Name	A. Middle Initial	Budd Last Name	signature ) inventor
City	(2) Signed at				
Date	oṇ	(Punit First Name	P. Middle Initial	Chiniwalia Last Name	signature ) inventor
City	(3) Signed at				
Date	on	( <b>John</b> First Name	A_ Middle Initial	Guckenberg Last Name	signature er) inventor
City	(4) Signed at				
Date	on	( <b>Jeffrey</b> First Name	A. Middle Initial	Kash Last Name	signature ) inventor
City	(5) Signed at	-			
Date	on .	( <b>Jeremy</b> First Name	D. Middle Initial	Schaub Last Name	signature ) inventor
City	(6) Signed at				
Date	on	Michael First Name	Middle Initial	Tan Last Name	signature ) inventor
City	on March 13, 2006	1 -	<i>""</i>		
Date	on March 13, 2,06	(deannine First Name	M. Middle Initial	Trewhella Last Name	signature ) inventor
City	(8) Signed at				
Date	on	(Garry First Name	Middle Initial	Trott Last Name	signature ) inventor
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