

DOCKET: TUC920050177US2

RECORDATION FORM COVER SHEET
PATENTS ONLY

US Department of Commerce
Patent & Trademark Office

To the Honorable Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
ALLEN KEITH BATES
NILS HAUSTEIN
JAMES WILLIAM JOHNSON
CRAIG ANTHONY KLEIN
DANIEL JAMES WINARSKI

Additional name(s) of conveying party(ies) attached Yes No

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**
Street Address: **New Orchard Road**
City: **Armonk** State: **NY** ZIP: **10504**

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

Assignment Execution Date: 02/16/06; 02/17/06; 02/16/06; 02/20/06; 02/27/06

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
11/367,058

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **John C. Kennel**
Address: **IBM Corporation**
Intellectual Property Law
9000 S. Rita Road(90A/9032)
Tucson, Arizona 85744

6. Total Number of applications and patents involved: One

7. Total fee (37 CFR 3.41)..... \$ 40.00
 Enclosed
 Authorized to be charged to deposit account
(Duplicate Copy Attached)

8. Deposit Account Number: 09-0449

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John H. Holcombe
John H. Holcombe

May 12, 2006
Date

Total number of pages including cover sheet, attachments, and document: /-5-/

CH \$40.00 090449 11367058

ASSIGNMENT

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Page 1 of 2

INVENTOR
AND CITY

Whereas, we

- (1) **ALLEN KEITH BATES**
County of **Pima** of **Tucson,**
and State of **Arizona,**
- (2) **NILS HAUSTEIN**
FEDERAL REPUBLIC OF GERMANY, of
- (3) **JAMES WILLIAM JOHNSON**
County of **Pima** of **Tucson,**
and State of **Arizona,**
- (4) **CRAIG ANTHONY KLEIN**
County of **Pima** of **Tucson,**
and State of **Arizona,**
- (5) **DANIEL JAMES WINARSKI**
County of **Pima** of **Tucson,**
and State of **Arizona,**

have invented certain improvements in

TITLE

**MANAGING DATA STORAGE MEDIA AND MULTIPLE CARTRIDGE MEMORIES OF A
DATA STORAGE CARTRIDGE**

DATE THAT
INVENTOR
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on
(1) 2-14, 2006; (2) _____, 2006; (3) 2-16, 2006;
(4) 2-20, 2006; (5) 27 Feb, 2006.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY
DATE

(1) at **Tucson, AZ**
on 2-14, 2006

Allen Keith Bates SIGNATURE
ALLEN KEITH BATES INVENTOR

Docket No. TUC920050177US2
Page 2 of 2

CITY (2) at _____
DATE on _____, 2006 NILS HAUSTEIN SIGNATURE
INVENTOR

CITY (3) at Tucson, AZ
DATE on 2-16, 2006  SIGNATURE
JAMES WILLIAM JOHNSON INVENTOR

CITY (4) at Tucson, AZ
DATE on 2-20, 2006  SIGNATURE
CRAIG ANTHONY KLEIN INVENTOR

CITY (5) at Tucson, AZ
DATE on 27 Feb, 2006  SIGNATURE
DANIEL JAMES WINARSKI INVENTOR

ASSIGNMENT

Docket No. TUC920050177US2
Page 1 of 2

INVENTOR
AND CITY

Whereas, we

- (1) ALLEN KEITH BATES
County of Pima of Tucson,
and State of Arizona,
- (2) NILS HAUSTEIN
FEDERAL REPUBLIC OF GERMANY, of
- (3) JAMES WILLIAM JOHNSON
County of Pima of Tucson,
and State of Arizona,
- (4) CRAIG ANTHONY KLEIN
County of Pima of Tucson,
and State of Arizona,
- (5) DANIEL JAMES WINARSKI
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(1) _____, 2006; (2) 2/17/06, 2006; (3) _____, 2006;

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Signed

CITY

(1) at Tucson, AZ

DATE

on _____, 2006

ALLEN KEITH BATES

SIGNATURE

INVENTOR

PATENT

REEL: 017620 FRAME: 0580

PAGE 06 9989 48 1311 84 6466

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Page 2 of 2

CITY
DATE

(2) at Hann?
on 2/17/06, 2006

Nils Haustein SIGNATURE
NILS HAUSTEIN INVENTOR

CITY
DATE

(3) at Tucson, AZ
on _____, 2006

JAMES WILLIAM JOHNSON SIGNATURE
INVENTOR

CITY
DATE

(4) at Tucson, AZ
on _____, 2006

CRAIG ANTHONY KLEIN SIGNATURE
INVENTOR

CITY
DATE

(5) at Tucson, AZ
on _____, 2006

DANIEL JAMES WINARSKI SIGNATURE
INVENTOR