# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

# **CONVEYING PARTY DATA**

Name	Execution Date
Ulrich B Wiesner	09/06/2005
Hooisweng Ow	09/06/2005
Daniel R Larson	08/25/2005
Watt W Webb	08/09/2005

## **RECEIVING PARTY DATA**

Name:	Cornell Research Foundation, Inc.	
Street Address:	20 Thornwood Drive	
Internal Address:	Suite 105	
City:	Ithaca	
State/Country:	NEW YORK	
Postal Code:	14850	

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10536569

# **CORRESPONDENCE DATA**

Fax Number: (312)201-2555

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 312-201-2189

Email: harrisj@wildmanharrold.com

Correspondent Name: Jonathan A. Harris Address Line 1: 225 West Wacker Drive

Address Line 2: Wildman, Harrold, Allen & Dixon LLP

Address Line 4: Chicago, ILLINOIS 60606

ATTORNEY DOCKET NUMBER: COR-004 (C4856.018)

NAME OF SUBMITTER: Jonathan A. Harris

**PATENT** 

500104491 REEL: 017621 FRAME: 0791

Total Attachments: 9
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#### ASSIGNMENT

WHEREAS, We, Ulrich B. Wiesner, a citizen of Germany, residing at 105 White
Park Road, Ithaca, New York 14850 US, Hooisweng Ow, a citizen of Malaysia, residing at
#R1C 2250 N. Triphammer Road, Ithaca, New York 14850 US, Daniel R. Larson, a citizen
of the United States of America, residing at 418 W. Court Street; Ithaca, New York 14850
US, and Watt W. Webb, a citizen of the United States of America, residing at 9 Parkway
Place, Ithaca, New York 14850 US, have invented certain new and useful improvements in
an invention entitled FLUORESCENT SILICA-BASED NANOPARTICLES for which
We have executed an application for Letters Patent in the United States, filed as U.S.
Application No. 10/536,569 on May 26, 2005;

DRL 8/25/05

WHEREAS, Cornell Research Foundation, Inc., with its principal place of business at 20 Thornwood Drive, Suite 105, Ithaca, New York 14850 US, desires to acquire the entire right, title and interest in, to and under the said Improvements and the said Application;

NOW, THEREFORE, for good and valuable consideration including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, We have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said Improvements, and the said Application and all extensions, divisionals, continuations, renewals and reissues thereof, and all Letters Patent of the United States which may be granted thereon and all extensions, divisionals, continuations, renewals and reissues thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and including all rights to publish cautionary notices reserving ownership of the said Improvements and the said Application and all rights to register the said Improvements and the said Application in appropriate registries; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States. whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Improvements to the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, any facts known to us respecting said Improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful

protection for said Improvements in all countries. IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2005. Ulrich B. Wiesner State of \_\_\_\_\_\_
County of \_\_\_\_\_ Before me this \_\_\_\_\_ day of \_\_\_\_\_\_, 2005 personally appeared the above-named Ulrich B. Wienser, who acknowledged to me that he/she executed the foregoing instrument of his/her own free will for the purposes therein set forth. Notary Public IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 2005. Hooisweng Ow State of \_\_\_\_\_\_
County of \_\_\_\_\_ Before me this \_\_\_\_ day of \_\_\_\_\_, 2005 personally appeared the above-named Hooisweng Ow, who acknowledged to me that he/she executed the foregoing instrument of his/her own free will for the purposes therein set forth. Notary Public

oaths and generally do everything possible to aid the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, to obtain and enforce proper patent

IN TESTIMONY WHEREOF, I hereunto:Avgvst, 2005.	set my hand and seal this 25 day of	
	Daniel R. Larson	
State of N :		
Before me this 23th day of Againamed Daniel R. Larson, who acknowled instrument of his/her own free will for the particular to the particu	, 2005 personally appeared the ab edged to me that he/she executed the foregourposes therein set forth.	ove- oing
	Juda L. Santiago Notary	AIDA L. SANTIAG Public, State of N No. 01SA6058124 ualified in NY Cou sion Expires April
IN TESTIMONY WHEREOF, I hereunto se, 2005.	et my hand and seal this day of	
	Watt W. Webb	
State of : County of :		
Before me this day of named Watt W. Webb, who acknowled instrument of his/her own free will for the pr	, 2005 personally appeared the aborded to me that he/she executed the forego urposes therein set forth.	ve- ing
	Notary Public	
150022		

#### ASSIGNMENT

WHEREAS, We, Ulrich B. Wiesner, a citizen of Germany, residing at 105 White Park Road, Ithaca, New York 14850 US, Hooisweng Ow, a citizen of Malaysia, residing at #R1C 2250 N. Triphammer Road, Ithaca, New York 14850 US, Daniel R. Larson, a citizen of the United States of America, residing at 418 W. Court Street, Ithaca, New York 14850 US, and Watt W. Webb, a citizen of the United States of America, residing at 9 Parkway Place, Ithaca, New York 14850 US, have invented certain new and useful improvements in an invention entitled FLUORESCENT SILICA-BASED NANOPARTICLES for which We have executed an application for Letters Patent in the United States, filed as U.S. Application No. 10/536,569 on May 26, 2005;

WHEREAS, Cornell Research Foundation, Inc., with its principal place of business at 20 Thornwood Drive, Suite 105, Ithaca, New York 14850 US, desires to acquire the entire right, title and interest in, to and under the said Improvements and the said Application;

NOW, THEREFORE, for good and valuable consideration including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, We have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said Improvements, and the said Application and all extensions, divisionals, continuations, renewals and reissues thereof, and all Letters Patent of the United States which may be granted thereon and all extensions, divisionals, continuations, renewals and reissues thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and including all rights to publish cautionary notices reserving ownership of the said Improvements and the said Application and all rights to register the said Improvements and the said Application in appropriate registries; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Improvements to the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that We will communicate to the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, any facts known to us respecting said Improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful

oaths and generally do everything possible to aid the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said Improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_\_\_ day of

, 2005.	,	
		Ulrich B. Wiesner
State of	:	
		, 2005 personally appeared the above whedged to me that he/she executed the foregoing purposes therein set forth.
		Notary Public
IN TESTIMONY WHERI	EOF, I hereunto	set my hand and seal this day of
		Hooisweng Ow
State of	: ;	
named Hooisweng Ow,	who acknowle	, 2005 personally appeared the above- edged to me that he/she executed the foregoing purposes therein set forth.
		Notary Public

IN TESTIMONY WHEREOF, I hereunto se, 2005.	et my hand and seal this day of
	Daniel R. Larson
State of : County of :	
Before me this day of named <b>Daniel R. Larson</b> , who acknowled instrument of his/her own free will for the pu	, 2005 personally appeared the above- dged to me that he/she executed the foregoing irposes therein set forth.
	Notary Public
IN TESTIMONY WHEREOF, I hereunto set	t my hand and seal this <u>O</u> day of
	Watt W. Webb
State of New York : County of Jonekins :	
Before me this <u>gra</u> day of <u>Augu</u> named <b>Watt W. Webb</b> , who acknowledg instrument of his/her own free will for the pur	, 2005 personally appeared the above- ed to me that he/she executed the foregoing rposes therein set forth.

150022

LINDA J. SMILEY
Notary Public, State of New York
No. 01SM5054782
Qualified in Tompkins County
My Commission Expires Jan. 29, <u>Ob</u>

Notary Public

### ASSIGNMENT

WHEREAS, We, Ulrich B. Wiesner, a citizen of Germany, residing at 105 White Park Road, Ithaca, New York 14850 US, Hooisweng Ow, a citizen of Malaysia, residing at #R1C 2250 N. Triphammer Road, Ithaca, New York 14850 US, Daniel R. Larson, a citizen of the United States of America, residing at 418 W. Court Street, Ithaca, New York 14850 US, and Watt W. Webb, a citizen of the United States of America, residing at 9 Parkway Place, Ithaca, New York 14850 US, have invented certain new and useful improvements in an invention entitled FLUORESCENT SILICA-BASED NANOPARTICLES for which We have executed an application for Letters Patent in the United States, filed as U.S. Application No. 10/536,569 on May 26, 2005;

WHEREAS, Cornell Research Foundation, Inc., with its principal place of business at 20 Thornwood Drive, Suite 105, Ithaca, New York 14850 US, desires to acquire the entire right, title and interest in, to and under the said Improvements and the said Application;

NOW, THEREFORE, for good and valuable consideration including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, We have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said Improvements, and the said Application and all extensions, divisionals, continuations, renewals and reissues thereof, and all Letters Patent of the United States which may be granted thereon and all extensions, divisionals, continuations, renewals and reissues thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said Improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said Improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and including all rights to publish cautionary notices reserving ownership of the said Improvements and the said Application and all rights to register the said Improvements and the said Application in appropriate registries; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said Improvements to the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

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oaths and generally do everything possible to aid the said Cornell Research Foundation, Inc., its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said Improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this day of, 2005.
Ulrich B. Wiesner
k
State of New York. County of Tompkins
Before me this day of day of , 2005 personally appeared the above- named Ulrich B. Wienser, who acknowledged to me that he/she executed the foregoing instrument of his/her own free will for the purposes therein set forth.
)
h , h
MARILYN E. MAZZA Notary Public, State of New York No. 01 MA4713480 Qualified in Tompkins County Commission Expires November 30, 20
INTESTIMONY WHEREOF, I hereunto set my hand and seal this day of, 2005.
Hooisweng Ow
State of New York County of Tompskins
Before me this day of day of 2005 personally appeared the above- named Hooisweng Ow, who acknowledged to me that he/she executed the foregoing instrument of his/her own free will for the purposes therein set forth.
ì
MARILYN E. MAZZA Notary Public, State of New York No. 01MA4713480 Qualified in Tempkins County Commission Expires November 30, 20

IN TESTIMONY WHER	REOF, I hereunte	o set my hand and seal this day of
		•
		Daniel R. Larson
State of	: :	
manned Daniel It, 134130	u, who acknow	, 2005 personally appeared the above viedged to me that he/she executed the foregoing purposes therein set forth.
		Notary Public
IN TESTIMONY WHERI	EOF, I hereunto	set my hand and seal this day of
		Watt W. Webb
State of	: :	
Before me this named Watt W. Webb, instrument of his/her own t	wno acknowle	dged to me that he/she executed the foregoing purposes therein set forth.
	•	
4		Notary Public
50022		

PATENT REEL: 017621 FRAME: 0801

**RECORDED: 05/16/2006**