

03-07-2006

U.S. Department of Commerce  
Patent and Trademark Office  
Attorney Docket No. 08350.5730-00000  
Attorney Customer Number: 22,852



Mail Stop Assignment Recordation Services

To the Director of the U.S. Patent and  
Please record the attached original document

103191418

1. Name of conveying party(ies):  
David J. Kapparos  
Michael D. Lowe

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address: Peoria, IL

3. Nature of conveyance:

Street Address: 100 N.E. Adams Street

☒ Assignment

☐ Merger

City: Peoria

☐ Security Agreement

☐ Change of Name

State: IL

Zip Code: 61629-6490

☐ Other:

Additional name(s) & Address(es) attached?

Execution Date: February 27, 2006

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: February 27, 2006

A. Patent Application Number(s):

B. Patent Number(s):

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher P. Isaac

6. Total number of applications and registrations involved:  
1

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)

☐ Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 901 New York Avenue, N.W.

City: Washington, D.C.

State: Zip: 20001-4413

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeremy T. Thissell, Reg. #56,065

Signature

February 28, 2006

Date

Total number of pages including cover sheet, attachments and documents: 3

03/02/2006 EHAILE1 00000067 11363245

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40.00 DP

PATENT  
REEL: 017630 FRAME: 0699

## SOLE/JOINT INVENTION

(Worldwide Rights)

Attorney Docket No. 08350.5730-00000

Client Ref. No. 05-730

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

## PARTICULATE TRAP REGENERATION TEMPERATURE CONTROL SYSTEM

for which I/We executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on \_\_\_\_\_, 2005 (Serial No. \_\_\_\_\_); and

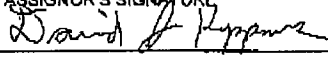
WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

|   |   |                 |
|---|---|-----------------|
| FULL NAME OF FIRST ASSIGNOR<br>David J. Kapparos                  | ASSIGNOR'S SIGNATURE<br> | DATE<br>2/27/06 |
| RESIDENCE<br>Chillicothe, IL 61523                                | CITIZENSHIP<br>US   |                 |
| POST OFFICE ADDRESS<br>213 N. Louise Court, Chillicothe, IL 61523 |   |                 |

Listing of Assignors Continued on Page 2 hereof ☒ Yes ☐ No

## SOLE/JOINT INVENTION

(Worldwide Rights)

Attorney Docket No. 08350.5730-00000

Client Ref. No. 05-730

|                                     |  |                   |
|-------------------------------------|--|-------------------|
| 1. WITNESS NAME<br>Jessica Crompton | WITNESS SIGNATURE<br><i>Jessica A Crompton</i> | DATE<br>2/27/06   |
| ADDRESS<br>452 N. Bauman            |  | CITIZENSHIP<br>US |
| Morton, IL 61550                    |  |                   |

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|--------------------------------------|---|-----------------------|
| 2. WITNESS NAME<br>Prashant Ganorkar | WITNESS SIGNATURE<br><i>Prashant Ganorkar</i> | DATE<br>02/27/06      |
| ADDRESS<br>2401 W. Alta Rd #305      |   | CITIZENSHIP<br>Indian |
| Peoria, IL 61615                     |   |                       |

|  |  |                   |
|--|--|-------------------|
| FULL NAME OF SECOND ASSIGNOR, IF ANY<br>Michael D. Lowe  | ASSIGNOR'S SIGNATURE<br><i>Michael D. Lowe</i> | DATE<br>27 FEB 06 |
| RESIDENCE<br>Metemora, IL                                |  | CITIZENSHIP<br>US |
| POST OFFICE ADDRESS<br>304 Combs Cv., Metemora, IL 61548 |  |                   |

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|-------------------------------------|--|-------------------|
| 1. WITNESS NAME<br>Jessica Crompton | WITNESS SIGNATURE<br><i>Jessica A Crompton</i> | DATE<br>2/27/06   |
| ADDRESS<br>452 N. Bauman            |  | CITIZENSHIP<br>US |
| Morton, IL 61550                    |  |                   |

|                                      |   |                       |
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| 2. WITNESS NAME<br>Prashant Ganorkar | WITNESS SIGNATURE<br><i>Prashant Ganorkar</i> | DATE<br>02/27/06      |
| ADDRESS<br>2401 W. Alta Rd #305      |   | CITIZENSHIP<br>Indian |
| Peoria IL 61615                      |   |                       |

Listing of Assignors Continued on Page 3 hereof ☐ Yes ☒ No