

2124/10

03-01-2006



RE

KL Ref. No.: 116142-00000

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
RE: DOCUMENT ID NO. 700226438

To the Director of Patents and Trademarks: Please re

103187477

1. Name of conveying party(ies)

**PILON-CLAYTON, APRILE L.; MARYLAND HEALTH CARE
PRODUCT DEVELOPMENTS CORPORATION (see attached
sheet for additional conveying parties)**

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: **Claragen, Inc.**

Internal Address:

Street Address: **P.O. Box 2225**

City: **Gaithersburg** State: **MD** Zip: **20875**

3. Nature of conveyance:

- ☐ Assignment
☐ Security Agreement
☐ Merger
☐ Change of Name
☒ Other: Release of Security Interests

Execution Date: **August 3, 2005, August 26, 2005,
August 29, 2005, October 5, 2005, October 6,
2005, October 31, 2005**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of
the application is:

A. Patent Application No.(s)

B. Patent No.(s) **6,255,281**

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning
document should be mailed:

Name: **Barry Evans, Esq.**

Internal Address: **Kramer Levin Naftalis & Frankel LLP**

Street Address: **1177 Avenue of the Americas**

City: **New York** State: **New York** Zip: **10036**

6. Total number of applications and
patents involved **14**

7. Total fee (37 CFR 3.41) **\$560.00**

- ☐ Enclosed
☒ Authorized to be charged to
deposit account # **50-0540 (Previously paid)**

8. Deposit account number: **50-0540**

(Attach duplicate copy of this page if paying by deposit account)

Do not use this space

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry Evans, Esq.

Name of Person Signing

Signature

February 24, 2006

Date

Total number of pages including cover sheet,
attachments, and document: **20**

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

**Mail Stop Assignment Recordation Services
Director of the USPTO, P. O. Box 1450
Alexandria, VA 22313-1450**

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the
document and gathering the data needed, and completing and reviewing the sample cover sheet.

RECORDATION FORM COVER SHEET
PATENTS ONLY

PAGE 2

1. Name of conveying party(ies) continued from page 1:

GALLOWAY, WILLIAM R., AS TRUSTEE OF WILLIAM R. GALLOWAY TTEE U/A DTD; WILLIAMS, RICHARD O. and WILLIAMS, SALLY C., AS TRUSTEES OF RICHARD O. WILLIAMS REVOCABLE TRUST U/A/D; ASM RESOURCES, INC.; KIM and BRAD SILVEIRA; CHERYL and PATRICK DWYER; JOANNE M. JOHNSTON AS TRUSTEE OF JOANNE M. JOHNSTON TRUST; MARYLAND ANGELS COUNCIL, LLC AND WOMENANGELS.NET, LLC

4. Application number(s) or patent number(s) continued from page 1:

Patent Application No.(s)	09/087,210
	09/120,264
	09/549,926
	09/835,784
	09/861,688
	09/898,616
	10/045,534
	10/187,498
	10/638,448
	PCT/US98/11026
	PCT/US99/16312
	PCT/US01/12126
	PCT/US02/20836

RELEASE OF SECURITY INTERESTS

WHEREAS, PILON, APRILE L.; MARYLAND HEALTH CARE PRODUCT DEVELOPMENT CORPORATION; GALLOWAY, WILLIAM R., AS TRUSTEE OF WILLIAM R. GALLOWAY TTEE U/A DTD; WILLIAMS, RICHARD O. and WILLIAMS, SALLY C., AS TRUSTEES OF RICHARD O. WILLIAMS REVOCABLE TRUST U/A/D; ASM RESOURCES, INC.; KIM and BRAD SILVEIRA; CHERYL and PATRICK DWYER; JOANNE M. JOHNSTON AS TRUSTEE OF JOANNE M. JOHNSTON TRUST; MARYLAND ANGELS COUNCIL, LLC AND WOMENANGELS.NET, LLC ("Releasors"), whose full post office addresses are respectively:

Aprile L. Pilon
11333 Rambling Road
Gaithersburg, MD 20879

Maryland Health Care Product
Development Corporation
9700 Great Seneca Highway
Rockville, MD 20850

William R. Galloway, as
Trustee of William R.
Galloway TTE U/A DTD Aug.
6, 1998
8 Meadows Lane
Greenville, DE 19807

Richard O. Williams, as
Trustee of Richard O. Williams
Revocable Trust U/A/D Feb.
28, 1996
9408 Althea Court
Potomac, MD 20854

Sally C. Williams, as Trustee of
Richard O. Williams Revocable
Trust U/A/D Feb. 28, 1996
9408 Althea Court
Potomac, MD 20854

ASM Resources, Inc.
1100 Connecticut Avenue, NW
Suite 330
Washington, DC 20036

Kim and Brad Silveira
11261 La Vereda
Santa Ana, CA 92705

Cheryl and Patrick Dwyer
25971 Serenata Dr.
Mission Viejo, CA 92691

Joanne M. Johnston as Trustee
of Joanne M. Johnston Trust,
June 30 1992
22 St. Tropez
Laguna Nigel, CA 92677

Maryland Angels Council,
LLC
3060 Washington Road
Suite 200
Glenwood, MD 21738

WOMENANGELS.NET, LLC
1054 31st Street, NW,
Suite 110
Washington, DC 20007

and who are the holders of security interests, liens, or other assigned interests or interests ("Interests") in and to the inventions described and claimed the following United States patent, United States patent applications and patent applications filed under the Patent Cooperation Treaty (PCT), including, without limitation, and any continuation, continuation-in-part, divisional, reissue, reexamination, international or other applications, arising therefrom ("Assets"):

Patents

<u>Patent No.</u>	<u>Issued</u>	<u>Title</u>
6,255,281	07/03/2001	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS

Patent Applications

<u>Serial No.</u>	<u>Filed</u>	<u>Title</u>
09/087,210	05/28/1998	RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
09/120,264	07/21/1998	RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
09/549,926	04/14/2000	METHODS AND COMPOSITIONS FOR THE TREATMENT OF FIBROTIC CONDITIONS AND IMPAIRED LUNG FUNCTION AND TO ENHANCE LYMPHOCYTE PRODUCTION
09/835,784	04/13/2001	METHODS AND COMPOSITIONS FOR THE TREATMENT OF FIBROTIC CONDITIONS AND IMPAIRED LUNG FUNCTION AND TO ENHANCE LYMPHOCYTE PRODUCTION
09/861,688	05/21/2001	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
09/898,616	07/02/2001	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
10/045,534	10/24/2001	METHODS AND COMPOSITIONS FOR THE TREATMENT OF FIBROTIC CONDITIONS AND IMPAIRED LUNG FUNCTION AND TO ENHANCE LYMPHOCYTE PRODUCTION
10/187,498	07/02/2002	METHODS AND COMPOSITIONS FOR THE TREATMENT OF FIBROTIC CONDITIONS AND IMPAIRED LUNG FUNCTION AND TO ENHANCE LYMPHOCYTE PRODUCTION

<u>Serial No.</u>	<u>Filed</u>	<u>Title</u>
10/638,448	08/11/2003	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
PCT/US/98/11026	05/28/1998	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
PCT/US/99/16312	07/19/1999	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS
PCT/US/01/12126	04/13/2001	METHODS AND COMPOSITIONS FOR THE TREATMENT OF FIBROTIC CONDITIONS AND IMPAIRED LUNG FUNCTION AND TO ENHANCE LYMPHOCYTE PRODUCTION
PCT/US/02/20836	07/02/2002	USE OF RECOMBINANT HUMAN UTEROGLOBIN IN TREATMENT OF INFLAMMATORY AND FIBROTIC CONDITIONS

AND WHEREAS, CLARAGEN, INC., whose full post office address is

Claragen, Inc.
P.O. Box 2225
Gaithersburg, MD 20875

is desirous of acquiring the entire right, title and interest to the aforesaid invention;
NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Releasors hereby (i) release any and all Interests Releasors have in, on, or with respect to the Assets (including without limitation the security interests), (ii) agree that the Interests shall cease to be effective, (iii) agree that Claragen, Inc. shall purchase, take and own the Assets free and clear of the Interests, and (v) authorize the filing of releases with the U.S. Patent and Trademark Office and any other U.S. federal, state or local agency in connection with the foregoing. The Releasors hereby represent and warrant that they are authorized to execute this Release of Security Interests.


The effective date of this agreement is July 20, 2005.

IN TESTIMONY WHEREOF, ASSIGNORS have set their hands and seal to this Assignment.

Aprile L. Pilon-Clayton
Aprile L. Pilon

STATE OF MARYLAND)
) ss.:
COUNTY OF MONTGOMERY)

On this 3rd day of August, 2005, before me appeared **Aprile L. Pilon** to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.


Aprile L. Pilon-Clayton ^{ALPC}
Notary Public

My Commission Expires 01-08-08

Capers W. McDonald (CAPERS W. McDONALD),
CHAIRMAN, on behalf of Maryland
Health Care Product Development
Corporation

STATE OF Maryland)
) ss.:
COUNTY OF Montgomery

On this 29 day of August, 2005, before me appeared Capers McDonald on behalf of Maryland Health Care Product Development Corporation to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.



Notary Public

Flonora M. Thompson
Notary Public
Frederick County
State of Maryland
My Comm. Exp. 7/1/07

William R. Galloway, TTEE

**William R. Galloway, as Trustee of
William R. Galloway TTE U/A DTD**

STATE OF *Delaware*)
COUNTY OF *New Castle*) ss.:

On this 26th day of August, 2005, before me appeared **William R. Galloway** as Trustee of William R. Galloway TTEE U/A DTD to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Debra S. Thompson
Notary Public

**DEBRA S.P. THOMPSON
NOTARY PUBLIC-DELAWARE**
My Commission Expires Nov. 12, 2005

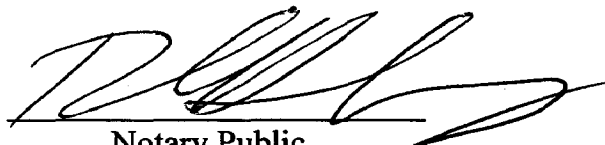

Dr. Christine Copple on behalf of,
ASM Resources, Inc.

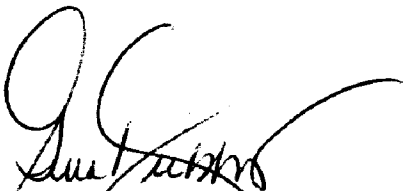
District of Columbia
Subscribed and Sworn to before me
this 5th day of OCTOBER, 2005.


Richard Ferry, Notary Public, DC
My Commission Expires June 14, 2009

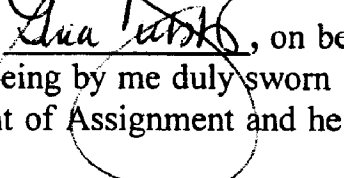
STATE OF D.C.)
COUNTY OF WASHINGTON) ss.:
DC

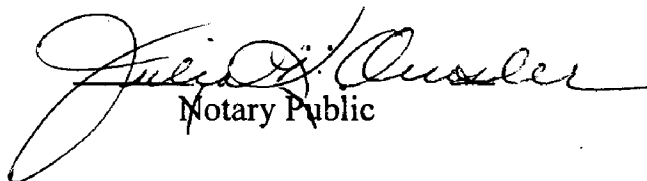
On this 5th day of OCTOBER, 2005, before me appeared **Christine Copple** on behalf of, ASM Resources, Inc. to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.


Notary Public


_____, on behalf of Maryland
Angels Council, LLC

STATE OF Maryland)
) ss.:
COUNTY OF Howard)

On this 3 day of August, 2005, before me appeared , on behalf of Maryland Angels Council, LLC, to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.


Notary Public

PATENT
REEL: 017636 FRAME: 0961



**Sally C. Williams, as Trustee of
Richard O. Williams Revocable Trust
U/A/D**

STATE OF)
COUNTY OF) ss.:
)

On this 6th day of October, 2005, before me appeared **Sally C. Williams** as Trustee of Sally C. Williams Revocable Trust U/A/D to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Notary Public



Kim Silveira

STATE OF)
COUNTY OF)

On this 3rd day of August, 2005, before me appeared Kim Silveira to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Notary Public

KL324500.1


Brad Silveira

STATE OF

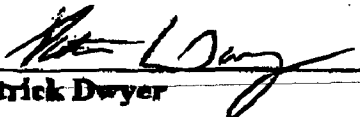
COUNTY OF

)
) ss.:
)

On this 3rd day of August, 2005, before me appeared Brad Silveira to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Notary Public

KJ24620.1



Patrick Dwyer

STATE OF)

) ss.;

COUNTY OF)

On this 6th day of October, 2005, before me appeared Patrick Dwyer to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Notary Public

Cheryl Dwyer

Cheryl Dwyer

STATE OF *California*

COUNTY OF *Orange*

)
) ss.:
)

On this *6th* day of *October*, 2005, before me appeared Cheryl Dwyer to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence,

Notary Public

Joanne M. Johnston
Joanne M. Johnston, as Trustee of
Joanne M. Johnston Trust June 30, 1992

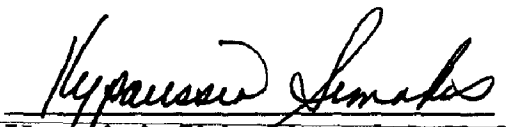
STATE OF)

) ss:

COUNTY OF)

On this 3rd day of August, 2005, before me appeared Joanne M. Johnston as Trustee of Joanne M. Johnston Trust June 30, 1992 to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Notary Public


Kyparissia Sirinakis, on behalf of
WOMENANGELS.NET, LLC

STATE OF)
) ss.:
COUNTY OF)

On this 31st day of October, 2005, before me appeared **Kyparissia Sirinakis**, on behalf of WOMENANGELS.NET, LLC, to me personally known, who being by me duly sworn did say that he/she is the ASSIGNOR named in the foregoing instrument of Assignment and he signed this instrument of Assignment in my presence.

Notary Public

UNITED STATES PATENT AND TRADEMARK OFFICE

DEPOSIT ACCOUNT STATEMENT

Inception To JANUARY 25, 2006

DATE	SEQ	POSTING/ REF TXT	ATTORNEY DOCKET NUMBER	Fee Code	Amount	Balance	No.	KL Client Name	RECEIVED
JANUARY 2006									
01/04/06	532	PCT/US05/47011	57490/83	1601	300.00	21,439.00	16	57490/83	300.00
01/04/06	533	PCT/US05/47011	57490/83	1702	1,102.00	20,337.00	17	57490/83	1,102.00
01/04/06	534	PCT/US05/47011	57490/83	1703	744.00	19,593.00	18	57490/83	744.00
01/04/06	535	PCT/US05/47011	57490/83	8007	40.00	19,553.00	19	57490/83	40.00
01/04/06	536	PCT/US05/47011	57490/83	1602	1,000.00	18,553.00	20	57490/83	1,000.00
01/20/06	197	10480490	57450-1062	8021	40.00	24,165.00	56	57450-1062	
01/20/06	202	10480715	57450-1082	8021	40.00	24,125.00	57	57450-1082	
01/23/06	6	11318813	58657/8	2081	375.00	23,750.00	58	58657-21	
01/23/06	7	10196346	57344-2	2801	395.00	23,355.00	59	57344-2	
01/23/06	8	10196346	57344-2	2253	510.00	22,845.00	60	"	
01/23/06	40	10189917	57173-1361	1801	790.00	22,055.00	61	57173-1361	
01/23/06	88	9677799	140561-00074	1801	790.00	21,265.00	62	100405-6174	
01/23/06	89	9677799	140561-00074	1253	1,020.00	20,245.00	63	"	
01/23/06	140	11334887	058099-00002US	2011	150.00	20,095.00	64	"	
01/23/06	141	11334887	058099-00002US	2111	250.00	19,845.00	65	"	
01/23/06	142	11334887	058099-00002US	2311	100.00	19,745.00	66	"	
01/24/06	65	9087210		8021	40.00	19,705.00	67	"	
01/24/06	66	9120264		8021	40.00	19,665.00	68	116142-30	
01/24/06	67	9549926		8021	40.00	19,625.00	69	"	
01/24/06	68	9835784		8021	40.00	19,585.00	70	"	
01/24/06	69	9861688		8021	40.00	19,545.00	71	"	
01/24/06	70	9898616		8021	40.00	19,505.00	72	"	
01/24/06	71	10045534		8021	40.00	19,465.00	73	"	
01/24/06	72	10187498		8021	40.00	19,425.00	74	"	
01/24/06	73	10638448		8021	40.00	19,385.00	75	"	
01/24/06	74	6255281		8021	40.00	19,345.00	76	"	
01/24/06	75	PCT/US98/11026		8021	40.00	19,305.00	77	116142-3	

57490/82

UNITED STATES PATENT AND TRADEMARK OFFICE									
DEPOSIT ACCOUNT STATEMENT									
Inception To JANUARY 25, 2006									
DATE	SEQ	POSTING/ REF TXT	ATTORNEY DOCKET NUMBER	Fee Code	Amount	Balance	No.	KL Client Matter	RESOLVED
01/24/06	76	PCT/US99/16312		8021	40.00	19,265.00	78	116142-31	
01/24/06	77	PCT/US01/12126		8021	40.00	19,225.00	79	" - 261	
01/24/06	78	PCT/US02/20836		8021	40.00	19,185.00	80	" - 265	
01/24/06	177	9882464		8021	40.00	19,145.00	81	100647-3950	
01/24/06	178	11218209		8021	40.00	19,105.00	82	" - 4390	
01/25/06	1298	78798737	57939/4	7001	325.00	18,780.00	83	57939-4	
					8,611.00			Credit 120060-01-9900	

PATENT

REEL: 017636 FRAME: 0970

RECORDED: 02/24/2006