



03-09-2006

U.S. Department of Commerce
Patent and Trademark Office
PATENT



103194437

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

Conveyance Type

☐ Assignment ☐ Security Agreement

☒ License ☐ Change of Name

☐ Merger ☒ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☒ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1)	Execution Date Month Day Year
General Electric Co.	1/13/2006

Name (line 1)	Execution Date Month Day Year

Second Party

Name (line 1)	Execution Date Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)	Name (line 2)	Address (line 1)	Address (line 2)	Address (line 3)	City	State/Country	Zip Code
United States Department of Energy		1000 Independence Avenue		Washington	D.C.	USA	20585

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name	<input type="text"/>
Address (line 1)	<input type="text"/>
Address (line 2)	<input type="text"/>
Address (line 3)	<input type="text"/>
Address (line 4)	<input type="text"/>

FOR OFFICE USE ONLY

Correspondent Name and Address

Area Code and Telephone Number

630/252-2042

Name **Robert J. Fisher**

Address (line 1) **U.S. Department of Energy**

Address (line 2) **Intellectual Property Law Division**

Address (line 3) **9800 S. Cass Ave**

Address (line 4) **Argonne, IL 60439**

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

01

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

11/144,931

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

0.00

Method of Payment:

Enclosed ☐

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

No



Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Brian J. Lally, Reg. No. 51,911

Name of Person Signing

Signature

Date

2-27-06



CONFIRMATORY LICENSE

(Large Business - Advanced Waiver)

Title : *System and Method for Operating a Wind Farm Under High Wind Speed Conditions.*

Inventor(s) : *Aaron John Avagliano, Ralph Teichmann, Kirk Gee Pierce,
Paul David Hopewell, Ameet Shridhar Deshpande, Sukru Alper Eker*

Serial No. : *11/144,931* Filing Date: *June 30, 2005*

Subcontractor : *General Electric Co.*

Subcontract No. : *NREL-ZAM-7-13320-26 under
Prime Contract No. DE-AC36-83CH10093*

Waiver No. : *W(A) 97-029 (CH-0930)*

Patent Clause : *FAR 52.227-12 Patent Rights - Waiver (JUL 1996) as modified by 10 C.F.R. 784, DOE Patent
Waiver Regulations - DEAR 952-227-14 Rights in data-general (DOE coverage-alternate V1)*

DOE Case No. : *S-108,951 - (Disclosure No. 154341)*

Foreign Applications filed in or intended to be filed at Contractor's expense in (countries):

An advanced waiver of Government rights having been granted by the U.S. Department of Energy (DOE) to the Contractor, and the above-identified invention having been reported as a subject invention to DOE by the contractor with his election to file a domestic patent application thereon, the effective date of said waiver for the above invention is October 18, 2005.

Accordingly, this document is confirmatory of the paid-up, worldwide, license required to be granted to the Government under 10 CFR Part 784 and this contract in this invention, patent application and any resulting patent as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, and of all rights acquired by the Government by the referenced patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 13th day of January, 20 06

(SEAL)

General Electric Co.
(Subcontractor)
By William M. Genovese
William M. Genovese
300 Garlington Road, PO Box 648
Greenville, SC 29602