

03-09-2006

SHEET Attorney Docket: P-208-US1



Y

To the Director of the U.S. P.

103192897

ached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

- 1) Adam A. Goldblum 5) Lan Jiang
2) Seok-Ki Choi 6) Daniel D. Long
3) Paul R. Fatheree 7) Daniel Marquess
4) Roland Gendron 8) S. Derek Turner

Execution Dates: 1) Feb 14, 2006 2) Feb 14, 2006
3) Feb 14, 2006 4) Feb 14, 2006 5) Feb 14, 2006
6) Feb 14, 2006 7) Feb 14, 2006 8) Feb 15, 2006

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Theravance, Inc.
901 Gateway Boulevard
South San Francisco, CA 94080
USA

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

113010 U.S. PTO
11/365320

030106

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Jeffrey A. Hagenah
Theravance, Inc.
901 Gateway Boulevard
South San Francisco, CA 94080
USA
Telephone: (650) 808-6000
Fax: (650) 808-6078

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41)

\$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 50-0344
Authorized User Name Joyce Cohen

03/08/2006 NJANA1 00000028 500344 11365320
01 FC:8021 40.00 DA

9. Signature :

Joyce Cohen
Signature

Joyce Cohen, Reg. No. 44,622
Name of person signing

Feb. 27, 2006
Date

Total number of pages including cover
sheet, attachments, and documents 7

Assignment

This **Assignment**, by **Adam A. Goldblum**, residing at 505 Molimo Drive, San Francisco, CA 94127; **Seok-Ki Choi**, residing at 525 Embarcadero Road, Palo Alto, CA 94301; **Paul R. Fatheree**, residing at 921 Minnesota Street, San Francisco, CA 94107; **Roland Gendron**, residing at 345 Franconia Street, San Francisco, CA 94110; **Lan Jiang**, residing at 788 Santa Maria Lane, Foster City, CA 94404; **Daniel D. Long**, residing at 3855 21st Street #3, San Francisco, CA 94114; **Daniel Marquess**, residing at 2037 Touraine Lane, Half Moon Bay, CA 94019; and **S. Derek Turner**, residing at 193B Warren Drive, San Francisco, CA 94131 (hereinafter referred to as "the **Assignors**"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in **QUINOLINONE COMPOUNDS AS 5-HT₄ RECEPTOR AGONISTS** as set forth in an application for Letters Patent of the United States,

- ☐ which is a provisional application
 - ☐ to be filed herewith; or
 - ☐ bearing Application No. _____ and filed on _____; or
- ☒ which is a non-provisional application
 - ☒ to be filed herewith; or
 - ☐ bearing Application No. _____ and filed on _____; and

WHEREAS, **Theravance, Inc.**, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 901 Gateway Boulevard, South San Francisco, California 94080 (hereinafter referred to as "the **Assignee**"), is desirous of acquiring the entire right, title and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and Assignment not been made;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the Assignor is the sole and lawful owner of the entire right, title and interest in and to the inventions set forth in said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives and assigns that the Assignor will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said inventions, without charge to the Assignor, its successors, legal representatives and assigns, but at the cost and expense of the Assignee, its successors, legal representatives and assigns;

AND the Assignor hereby requests the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee, as the Assignee of said inventions and the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives and assigns.

Date Feb. 14, 2006

Signature of Assignor


Adam A. GOLDBLUM

State of California

County of San Mateo

On Feb. 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Adam A. GOLDBLUM

☐ personally known to me or ☒ proven to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ ~~she~~ ~~they~~ executed the same in ~~his~~ ~~her~~ ~~their~~ authorized capacity(ies), and that by ~~his~~ ~~her~~ ~~their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.


Signature of Notary Public



Date Feb 14, 2006

Signature of Assignor

Seok-Ki Choi
Seok-Ki CHOI

State of California

County of San Mateo

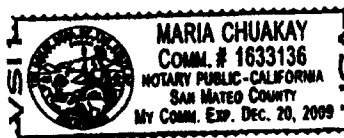
On Feb. 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Seok-Ki CHOI

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WITNESS my hand and official seal.

Maria Chuakay

Signature of Notary Public



Date 2/14/06

Signature of Assignor

Paul R. FATHEREE
Paul R. FATHEREE

State of California

County of San Mateo

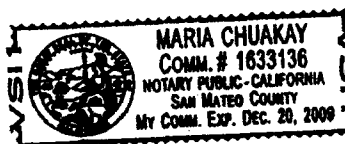
On Feb. 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Paul R. FATHEREE

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WITNESS my hand and official seal.

Maria Chuakay

Signature of Notary Public



Date FEB 14, 2006

Signature of Assignor

Roland GENDRON

State of California

County of San Mateo

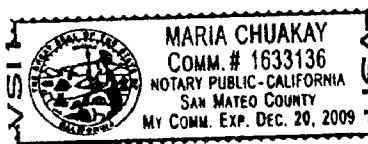
On Feb. 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Roland GENDRON

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WITNESS my hand and official seal.

Maria Chuakay

Signature of Notary Public



Date 02/14/06

Signature of Assignor

Lan JIANG

State of California

County of San Mateo

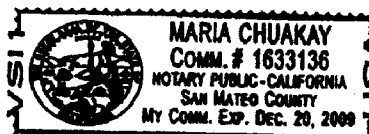
On February 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Lan JIANG

☐ personally known to me or ☒ proven to me on the basis of satisfactory evidence to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he she they executed the same in his her their authorized capacity(ies), and that by his her their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Maria Chuakay

Signature of Notary Public



Date FEB 14th 2006

Signature of Assignor

Daniel D. LONG

State of California

County of San Mateo

On Feb. 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Daniel D. LONG

☐ personally known to me or ☒ proven to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Maria Chuakay
Signature of Notary Public



Date Feb, 14, 2006

Signature of Assignor

D. Marquess
Daniel MARQUESS

State of California

County of San Mateo

On Feb. 14, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared Daniel MARQUESS

☐ personally known to me or ☒ proven to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Maria Chuakay
Signature of Notary Public



Date February 15, 2006 Signature of Assignor 
S. Derek TURNER

State of California


County of San Mateo

On February 15, 2006 before me, MARIA CHUAKAY, Notary Public,
personally appeared S. Derek TURNER

☐ personally known to me or ☒ proven to me on the basis of satisfactory evidence to be the
person~~(s)~~ whose name~~(s)~~ ~~is/are~~ subscribed to the within instrument and acknowledged to me
that ~~he/she/they~~ executed the same in his/her authorized capacity~~(ies)~~, and that by
his/her signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the
person~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.





Signature of Notary Public