

A/D 581-14

1AP15 Rec'd PCT/PTO 11 NOV 2005

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

03-24-2006

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



10/556998

To the Director of the U.S. Patent

103204038

, documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

FUNG, Sek Chung

Execution Date(s) June 6, 2003

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: TANOX, INC.

Internal Address:

Street Address: 10301 Stella Link Road

City: Houston

State: TX

Country: United States Zip: 77025

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.
A. Patent Application No.(s)
B. Patent No.(s)

60/470,681
PCT/US2004/015135

00000081 200087

10556998

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Tanox, Inc.

Internal Address: Cheryl Liljestrand

Street Address: 10301 Stella Link Road

City: Houston

State: TX Zip: 77025

Phone Number: (713) 578-4182

Fax Number: (713) 578-5182

Email Address: cliljestrand@tanox.com

6. Total number of applications and patents involved:

2

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 80.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 20-0087

Authorized User Name Cheryl A. Liljestrand

9. Signature:

Cheryl A. Liljestrand
Signature

Nov 11, 2005
Date

Cheryl A. Liljestrand

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 017654 FRAME: 0907

ASSIGNMENT

WHEREAS, I, Sek Chung FUNG, 3511 Deal Street, Houston, Texas 77025 US, have invented an invention entitled "Methods and Compositions for the Prevention and Treatment of Sepsis," identified as U.S. Patent Application Serial No. 60/470681 and described in a patent application filed by me on May 15, 2003; and

WHEREAS, Tanox, Inc. of Houston, Texas, a corporation of the State of Delaware, wants to acquire the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of Five Dollars (\$5.00), the receipt of which is hereby acknowledged, and other valuable consideration, I do hereby sell, assign and transfer unto said Tanox, Inc., its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of any International Convention; also any improvements on said invention or inventions now or hereafter made by me during the period of my employment; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention, inventions, or improvements and any divisional, continuation, continuation-in-part, or substitute applications that may be filed upon said invention, inventions, or improvements in this or any foreign country; and I hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said Tanox, Inc., as assignee of the entire interest.

I further agree, without any payment by Tanox, Inc. other than expenses incurred by the undersigned, to communicate to Tanox, Inc., its representatives or agents, any fact relating to said invention, inventions or improvements, including evidence for interference purposes or for other legal proceedings, whenever requested; testify in any interference or other legal proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective.

IN TESTIMONY WHEREOF, I have hereunto set my hand on the date set after my signature.

[Signature]

Sek Chung FUNG

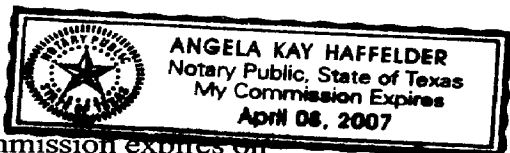
, June 18, 2003

Acknowledgment

State of Texas)
) ss
County of Harris)

On this 16 day of June, 2003, before me personally appeared Sek Chung FUNG to me known to be the person described in and who executed the foregoing instrument, and acknowledge that he executed the same as his free act and deed. In testimony whereof I have hereunto set my hand and official seal the day and year last above written.

(Seal)



My commission expires on _____

[Signature]
Notary Public

PATENT

RECORDED: 11/11/2005 |

REEL: 017654 FRAME: 0908