

3/17/06

03-24-2006

**PATENT RECORDATION  
COVER SHEET**

et No.: U73.12-0043 -- kmm



103204147

112960 U.S. PTO  
11/3/8166

To the Commissioner for Patents: **Mail Stop**

1. Name of conveying party(ies):

- (1) Frederick M. Schwarz
- (2) Frederick L. Elsaesser
- (3)

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

3. Nature of Conveyance:

- Assignment [ ] Merger [ ] Security Agreement
- [ ] Change of Name [ ] Other \_\_\_\_\_

Execution Date: March 15, 2006

2. Name and address of receiving party(ies):

Name: United Technologies Corporation

Internal Address: United Technologies Building

Street Address: One Financial Plaza

City: Hartford, State: CT ZIP 06101

Additional name(s) & address(es) attached? [ ] Yes [X] No

4A. Application No.(s)

If this document is being filed together with a new application, the execution date(s) of the application is: March 15, 2006

Additional numbers attached? [ ] Yes [X] No

4B. Patent No.(s)

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Theodore F. Neils  
 Street Address: Kinney & Lange, P.A.,  
312 South Third Street  
 City: Minneapolis State: MN ZIP 55415-1002

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 C.F.R. 3.41):.....\$ 40.00

The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed.

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Theodore F. Neils

3/17/06

Date

Total number of pages including cover sheet, attachments and document: [ 2 ]

03/23/2006 DBYRNE 00000141 11378166

FD-10021

40.00 DP

# ASSIGNMENT

Attorney Docket No.

U73.12-0043

First Named Inventor : Frederick M. Schwarz

Title : AIR-OIL HEAT EXCHANGER

WHEREAS, we, Frederick M. Schwarz, of Glastonbury, Connecticut, U.S.A.; and Frederick L. Elsaesser, of Glastonbury, Connecticut, U.S.A., have invented certain new and useful improvements as described in an application entitled AIR-OIL HEAT EXCHANGER for Letters Patent of the United States, the application having been executed on even date herewith, and/or being identifiable in the United States Patent and Trademark Office by Application No. \_\_\_\_\_, filed \_\_\_\_\_; and

WHEREAS, United Technologies Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at United Technologies Building, One Financial Plaza, Hartford, Connecticut 06101, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

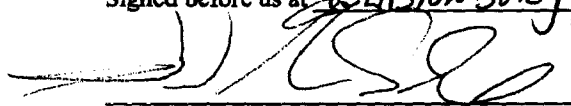
I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

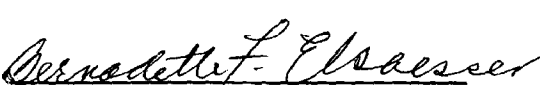
I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

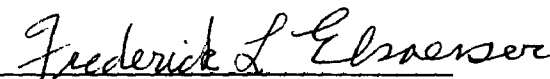
I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

  
Frederick M. Schwarz

Signed before us at GLASTONBURY, CT, witnesses, this 15<sup>th</sup> day of MARCH, 2006

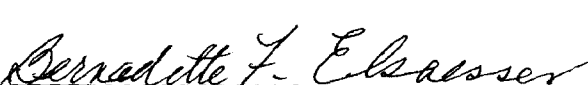
  
(Witness 1 Signature)

  
(Witness 2 Signature)

  
Frederick L. Elsaesser

Signed before us at GLASTONBURY, CT, witnesses, this 15<sup>th</sup> day of MARCH, 2006

  
(Witness 1 Signature)

  
(Witness 2 Signature)

**PATENT RECORDATION FORM  
COVER SHEET**

Attorney Docket No.: U73.12-0043 -- kmm

To the Commissioner for Patents: **Mail Stop Assignment Recordation Services** - Please record the attached copy thereof.

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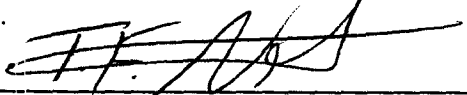
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