

04-04-2006

DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

REC-1



103211043

Any. Docket No.: H-US-00329 (203-3930)

To the Director of the U.S. Patent and Trademark Office

Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Dale F. Schmaltz

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 27, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Sherwood Services AG

Internal Address: _____

Street Address: Bahnhofstrasse 29

City: 8200 Schaffhausen

State: _____

Country: Switzerland

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: United States Surgical Corp.,

Internal Address: A Division of Tyco Healthcare Group, LP

Street Address: 195 McDermott Road

City: North Haven

State: Connecticut Zip: 06463

Phone Number: 203-492-5000

Fax Number: 203-492-8233

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 21-0550

Authorized User Name Francesco Sardone

9. Signature:

Signature

March 28, 2006

Date

Francesco Sardone, Reg. No.: 47,918

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: _____

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

112991 U.S. PTO
11/391620

11391620

00000165 210550

40.00 DA

04/03/2006 NJM/1

01 FC 3021

032806

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
 For: ☒ U.S. Application or ☐ U.S. Patent
 By : ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:**Dale F. Schmaltz**

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on: _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:Sherwood Services AG

(Type or print name of ASSIGNEE)

Bahnhofstrasse 29

Address

8200, SchaffhausenSwitzerlandSwitzerland

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

☒ the entire right, title and interest

☐ an undivided _____ percent (_____%) interest for the United States and its territorial possessions

☒ and in all foreign countries, including all rights to claim priority, the right to sue for present, past and future infringement, in the United States, its territorial possessions, and in all foreign countries, including all treaty and convention rights in and to the invention and any and all improvements entitled:

PERCUTANEOUS OR SURGICAL RADIOFREQUENCY INTRAVASCULAR THROMBECTOMY CATHETER SYSTEM AND METHOD

(title of invention)

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith.
 (b) ☐ U.S. patent application executed on _____.
 (c) ☐ U.S. application Serial No. _____ filed on _____.
 (d) ☒ U.S. provisional application No. 60/666,830
 filed on March 31, 2005.
 (e) ☐ U.S. Patent No. _____ issued _____.
 (f) ☐ PCT application No. _____
 filed on _____

PATENT

REEL: 017693 FRAME: 0371

- [] A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (g) [X] and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, continuation-in-part, divisional, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNORS hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

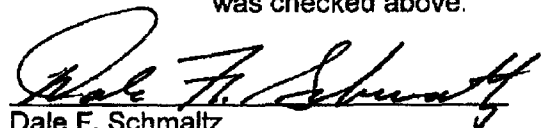
ASSIGNORS hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all such Letters Patent to ASSIGNEE:

ASSIGNORS further covenants to promptly provide all pertinent facts and documents known and accessible to ASSIGNORS relating to said invention and said Letters Patent and legal equivalents; to testify as to the same in any interference, litigation or proceeding related thereto; to execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor in said ASSIGNEE, its successors, assigns or other legal representatives; to execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the reissue of any Letters Patents to be granted therefor; and to make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, all without further compensation, but at the sole expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNORS hereby grants ASSIGNEE and Assignee's attorneys the power to insert the Serial No. and/or filing date of the above-described application(s) after such information becomes known to them.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal.

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.


Dale F. Schmaltz

3/27/06
Dated

[X] Notarization or Legalization Page Added.

State of Colorado

County of Boulder

)
) ss
)

Before me this 27 day of March 2006,

personally appeared **Dale F. Schmaltz** to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.



Mary Jo Milacek
Notary Public