

04-05-2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

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To the Director of the U.S. Patent and T

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

**1. Name of conveying party(ies)**

Mark A. Hopkins, Nader Nazarifar, Jeffrey J. Chun and David L. Williams

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 27 March 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: Alcon, Inc.

Internal Address:

Street Address: P.O. Box 62

Bosch 69

City: Hunenberg

State:

Country: Switzerland Zip: CH-6331

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Alcon Research, Ltd.

Internal Address: Mail Code Q-148

Street Address: 6201 South Freeway

City: Fort Worth

State: Texas Zip: 76134

Phone Number: (817) 551-3063

Fax Number: (817) 551-4610

Email Address: jeff.schira@alconlabs.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 501051

Authorized User Name Jeffrey S. Schira

**9. Signature:**

Signature

29 March 2006

Date

Jeffrey S. Schira, Reg. No. 34,922

Total number of pages including cover sheet, attachments, and documents: 4

Attv. Docket No. 2990 Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
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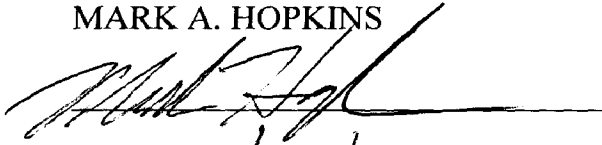
# ASSIGNMENT

WHEREAS, we, Mark A.. Hopkins, Nader Nazarifar, Jeffrey J. Chun and David L. Williams have invented a Surgical System Having a Cassette with an Acoustic Coupling for which we have executed an application for United States Letters Patent; and

WHEREAS, **Alcon, Inc.**, a Swiss corporation, having a place of business at Bosch 69, CH-6331, Hünenberg, Switzerland, desires to purchase the same.

NOW, THEREFORE, for good and valuable consideration paid by **Alcon, Inc.**, the receipt and sufficiency of which are hereby acknowledged, I have sold, assigned, transferred and conveyed and by these presents do hereby sell, assign, transfer and convey unto **Alcon, Inc.**, in and for the United States and its territories and for foreign countries, my entire right, title and interest in and to said application, in and to the invention therein set forth and in and to any patent which may issue on said application or any reissue, renewal, division, continuation, continuation-in-part and foreign counterparts thereof; and I hereby bind myself, my heirs, legal representatives, administrators and assigns properly to execute without further consideration, any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect the sale, assignment, transfer and conveyance hereby made or intended to be made.

MARK A. HOPKINS

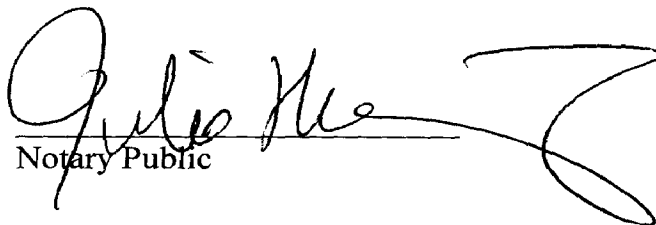
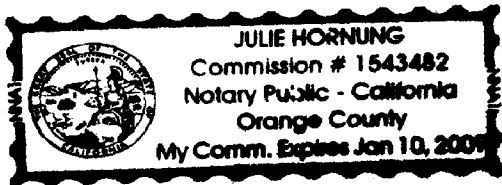


Date: 3/20/06


STATE OF CALIFORNIA     )  
COUNTY OF ORANGE     )

On March 20 2006, before me, Julie Horning, personally appeared Mark A. Hopkins, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal

  
Notary Public

NADER NAZARIFAR

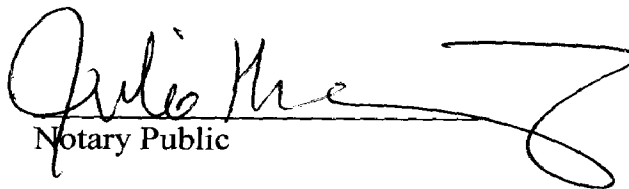
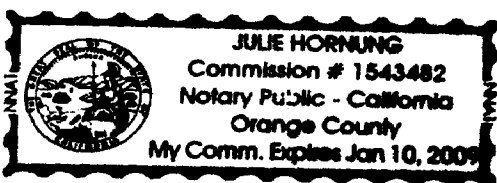


Date: 3,27,06

STATE OF CALIFORNIA     )  
COUNTY OF ORANGE     )

On March 27 2006, before me, Julie Horning, personally appeared Nader Nazarifar, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal

  
Notary Public

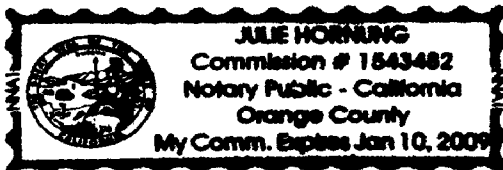
JEFFREY J. CHUN

[Signature]  
Date: 3-20-2006

STATE OF CALIFORNIA )  
COUNTY OF ORANGE )

On March 20 2006, before me, Julie Horning, personally appeared Jeffrey J. Chun, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



[Signature]  
Notary Public

DAVID L. WILLIAMS

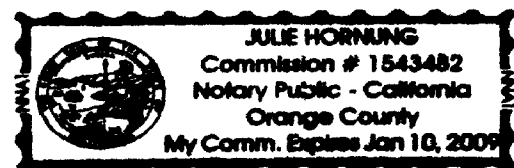
David L Williams

Date: 3/20/06

STATE OF CALIFORNIA )  
COUNTY OF ORANGE )

On March 20 2006, before me, Julie Horning, personally appeared David L. Williams, personally known to me to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument. Subscribed and sworn to (or affirmed) this day before me.

WITNESS my hand and official seal



[Signature]  
Notary Public