

04-06-2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



103213649

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**

Andrew P. Verrall (01/25/2006) and Steven G. Friedrich (01/25/2006)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s): in parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name  
☐ Security Agreement ☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: MONOSOL, LLC

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

6560 Melton Road

City: Portage

State: Indiana

Country: United States of America Zip: 46368

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

11/205,377

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Michael Muczynski  
MARSHALL, GERSTEIN & BORUN LLP

Internal Address: Atty. Dkt.: 30658/41410

Street Address: 233 S. Wacker Drive, Suite 6300  
Sears Tower

City: Chicago

State: IL Zip: 60606-6357

Phone Number: (312) 474-6300

Fax Number: (312) 474-0448

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:**

1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40.00

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_  
Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

March 30, 2006

Date

Michael Muczynski - 48,642

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

**ASSIGNMENT**

Serial No: 11/205,377  
Filed: August 17, 2005  
Title: WATER-SOLUBLE FILM ARTICLE HAVING SALT LAYER,  
AND METHOD OF MAKING THE SAME

For ten dollars (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to, MonoSol, LLC, 6560 Melton Road, Portage, IN 46368 (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in the above-identified application for Letters Patent of the United States, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS my hand this 25<sup>th</sup> day of January, 2006.

Steven G. Friedrich  
Steven G. Friedrich

State of Indiana }  
County of Porter } SS

On this 25<sup>th</sup> day of January, 2006, before me, a Notary Public in and for the County and State aforesaid, appeared Steven G. Friedrich, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: October 26, 2007

Theresa A. Canzone  
Notary Public

WITNESS my hand this 25<sup>th</sup> day of JANUARY, 2006.

A.P. Verrall  
Andrew P. Verrall

State of Indiana }  
County of Porter } SS  
}

On this 25<sup>th</sup> day of January, 2006, before me, a Notary Public in and for the County and State aforesaid, appeared Andrew P. Verrall, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: October 26, 2007

Sheresa A. Conzani  
Notary Public

WITNESS my hand this \_\_\_\_\_ day of \_\_\_\_\_, 2006.

\_\_\_\_\_  
Frank W. DeNome

State of \_\_\_\_\_ }  
County of \_\_\_\_\_ } SS  
}

On this \_\_\_\_\_ day of \_\_\_\_\_, 2006, before me, a Notary Public in and for the  
County and State aforesaid, appeared Frank W. DeNome, to me personally known to be the same person whose name is  
subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act  
and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public