# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Lei Li	05/24/2006
Zhigang Lu	05/24/2006
Ralph Teichmann	05/31/2006
Changyong Wang	05/24/2006
Wenqiang Yang	05/24/2006

### **RECEIVING PARTY DATA**

Name:	General Electric Company
Street Address:	1 River Road
City:	Schenectady
State/Country:	NEW YORK
Postal Code:	12345

### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11421912

# **CORRESPONDENCE DATA**

Fax Number: (860)286-0115

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 860-286-2929

Email: taxiak@cantorcolburn.com

Correspondent Name: Ira M. Turner

Address Line 1: 55 Griffin Road South

Address Line 4: Bloomfield, CONNECTICUT 06002

ATTORNEY DOCKET NUMBER:	186476-1
NAME OF SUBMITTER:	Ira M. Turner

PATENT REEL: 017714 FRAME: 0141

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Total Attachments: 12 source=ge50053assign#page1.tif source=ge50053assign#page2.tif source=ge50053assign#page3.tif source=ge50053assign#page4.tif source=ge50053assign#page5.tif source=ge50053assign#page6.tif source=ge50053assign#page7.tif source=ge50053assign#page8.tif source=ge50053assign#page9.tif source=ge50053assign#page10.tif source=ge50053assign#page11.tif source=ge50053assign#page11.tif source=ge50053assign#page12.tif

PATENT REEL: 017714 FRAME: 0142

#### **ASSIGNMENT**

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Lei Li	of	Shanghai, Shanghai China
Zhigang Lu	of	Shanghai, Shanghai China
Ralph Teichmann	of	Albany, NY
Changyong Wang	of	Shanghai, Shanghai China
Wenqiang Yang	of	Shanghai, Shanghai China

hereby sell and assign to **General Electric Company**, a **New York Corporation**, (hereinafter referred to as "Company") having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described application for United States Patent currently entitled:

REDUNDANT ELECTRICAL BRAKE AND PROTECTION SYSTEM FOR ELECTRIC GENERATORS

⊠ executed concurrently herewith,		
☐ filed on	having Serial Number	

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

INVENTOR 1	) . ) .		*/-/-/
Signature: Lei Li	Lei Li	Date:	5/20/2006
Witnessed by: _	Zhuohii Tan Signature Zhuohii Tan Printed Name of Witness	Date:	5/28/2006
Witnessed by: _	Renchang Das Signature Renchang Das Printed Name of Witness	Date:	5/26/2006
SECTION BELO	OW IS FOR USE ONLY IF SIGI	NING IN PRESENCE O	F A NOTARY
Lei Li	Date:		-
STATE OF	ss.		
Lei Li, to me	y of,, personally known as the income that he/she executed the	dividual who executed	came the above-named the foregoing assignment, who will for the purposes therein se
Seal	·	(Notary Public)	

INVENTOR 2			
Signature: Zhigang Lu	rgang la	Date:	5/24/2006
Witnessed by: Zhuo	hui Tan ignature ohui Tan Name of Witness	Date:	5/20/2006
Witnessed by: Renc Si Ren Printed	hang Dai ignature Chang Dai Name of Witness	Date:	5/24/2006
SECTION BELOW IS FOR	R USE ONLY IF SIGNING I	N PRESENCE OF	A NOTARY
Zhigang Lu	Date:		
STATE OF COUNTY OF	SS.		
Znigang Lu, to me pers	before the sonally known as the individual he/she executed the same	dual who executed	came the above-named I the foregoing assignment, who I will for the purposes therein set
Seal	(	Notary Public)	

Page 3 of 6

INVENTOR 3			
Signature: Ralph Teichn	nann	Date:	Managana and a second a second and a second
Witnessed by: _	Signature	Date:	
	Printed Name of Witness	· · · · · · · · · · · · · · · · · · ·	
Witnessed by: _	Signature	Date:	
	Printed Name of Witness		
	OW IS FOR USE ONLY IF SK	GNING IN PRESENCE OF A NOTARY	
Ralph Teich	Date	:	
STATE OF	SS.		
Taipii Toloiii	idini, to the personally known	before me personally came the above-nas the individual who executed the foregood the same of his/her own free will for the p	na assianment
Seal		(Notary Public)	

Page 4 of 6

INVENTOR 4	
Signature: Changyong Was Changyong Wang	Date: May 24, 2006
Witnessed by: Zhuohui Tan Signature Zhuohui Tan Printed Name of Witness	/ /
Witnessed by: Renchang Dasignature S  Renchang Dasignature S  Printed Name of Witness	<u>ai</u> Date: <u>5/24/2006</u>
SECTION BELOW IS FOR USE ONLY IF SIG	GNING IN PRESENCE OF A NOTARY
Changyong Wang	
STATE OF SS.	
Changyong Wang, to me personally	before me personally came the above-named known as the individual who executed the foregoing he/she executed the same of his/her own free will for the
Seal	(Notary Public)

Page 5 of 6

INVENTOR 5	
Signature: Wengiang Yang Wenqiang Yang	
Witnessed by: Zhuohii Tan Signature Zhuohii Ta	
Witnessed by:  Renchang Den Signature  Renchang Den Signature  Renchang Den Printed Name of Witnessen	<u>i</u> Date: 5/34/2006
SECTION BELOW IS FOR USE ONLY IF	SIGNING IN PRESENCE OF A NOTARY
Wenqiang Yang	ate:
STATE OF ss	s.
wendlang Yang, to me personally know	before me personally came the above-named vn as the individual who executed the foregoing assignment uted the same of his/her own free will for the purposes therein
Seal	(Notary Public)

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### **ASSIGNMENT**

In accordance with my/our obligations under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between the General Electric Company, a New York Corporation, and my employer), and/or for other good and valuable consideration of which I/we acknowledge receipt, I/we,

Lei Li	of	Shanghai, Shanghai China
Zhigang Lu	of	Shanghai, Shanghai China
Ralph Teichmann	of	Albany, NY
Changyong Wang	of	Shanghai, Shanghai China
Wenqiang Yang	of	Shanghai, Shanghai China

hereby sell and assign to **General Electric Company**, a **New York Corporation**, (hereinafter referred to as "Company") having an address at 1 River Road, Schenectady, New York 12345, USA, its successors and assigns my (our) entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by me/us and described application for United States Patent currently entitled:

#### REDUNDANT ELECTRICAL BRAKE AND PROTECTION SYSTEM FOR ELECTRIC GENERATORS

⊠ executed con	currently herewith,
filed on	having Serial Number

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I/we will communicate to said Company or its representatives or nominees any facts known to me/us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

INVENTOR 1			
Signature: Lei Li		_ Date:	-
Witnessed by: _	Signature  Printed Name of Witness	Date:	
Witnessed by: _	Signature  Printed Name of Witness	Date:	
	OW IS FOR USE ONLY IF SI	GNING IN PRESENCE OF A NOTARY	
STATE OF	ss.		
Lei Li, to me	personally known as the	before me personally came the above-named individual who executed the foregoing assignment in same of his/her own free will for the purposes the	ent, who Ierein set
Seal		(Notary Public)	

Page 2 of 6

INVENTOR 2			
Signature: Zhigang Lu		Date:	
Witnessed by: _	Signature	Date:	
	Printed Name of Witnes	SS	
Witnessed by: _	Signature	Date:	
	Printed Name of Witner	SS	
	OW IS FOR USE ONLY IF	F SIGNING IN PRESENCE O	F A NOTARY
Zhigang Lu	[	Date:	
STATE OF		SS.	
Zhigang Lu,	to me personally known a	before me personally as the individual who execute ad the same of his/her own fre	came the above-named d the foregoing assignment, who e will for the purposes therein set
Seal	-	(Notary Public)	

Page 3 of 6

INVENTOR 3				
Signature: D. Tullunger Ralph Teichmann	<u>'.l</u>	Date:	05/31/06	
Witnessed by:    Millian   Signature   William   P	embri	Date:	5/31/06	
Printed Name of Witnessed by:	Witness	Date: 🤨	131106	
Kathleen O'g, Printed Name of	<b>1em</b> f Witness			
SECTION BELOW IS FOR USE C	ONLY IF SIGNING	G IN PRESENCE OI	F A NOTARY	
Ralph Teichmann	Date:		-	
STATE OF COUNTY OF	ss.			
This day of Ralph Teichmann, to me perso who acknowledged to me that he/s set forth.	nally known as th	ne individual who ex	ecuted the foregoin	ng assignment,
Seal	**************************************	(Notary Public)		

Page 4 of 6

INVENTOR 4			
Signature: Changyong V	Vang	Date:	<del></del>
Witnessed by: _	Signature	Date:	
	Printed Name of Witness	·	
Witnessed by: _	Signature	Date:	<del></del> -
	Printed Name of Witness	and the second s	
		NING IN PRESENCE OF A NOTARY	
Changyong	Date:		
STATE OF COUNTY OF	SS.		
Changyong	Wang, to me personally kr o acknowledged to me that he	_ before me personally came the above-name nown as the individual who executed the e/she executed the same of his/her own free	foregoing
Seal		(Notary Public)	

Page 5 of 6

INVENTOR 5			
Signature: Wenqiang Ya	ang	Date:	
Witnessed by: _	Signature	Date:	
	Printed Name of Witness	-	
Witnessed by: _	Signature	Date:	
	Printed Name of Witness	-	
SECTION BELO	DW IS FOR USE ONLY IF SIGNIN		DTARY
Wenqiang Ya	Date: ang	·	
STATE OF	ss.		
wendlang va	ofb ng, to me personally known as the ged to me that he/she executed the	ie individual who executed	the foregoing assignment,
Seal		(Notary Public)	

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**RECORDED: 06/02/2006** 

PATENT REEL: 017714 FRAME: 0154