Docket No.: PUG.0111US (1048) 03-29-2006 U.S. DEPARTMENT OF COMMERCE FORM PTO-1595 (Modified) (Rev. 03-01) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03 Tab settings -103207309 To the Director of the light states Patent and Trademark Office: Please record the attached original documents or copy thereof. Name and address of receiving party(ies): Name of conveying party(ies): David A. Gutenmann Name: Plug Power, Inc. 3.24.06 968 Albany-Shaker Road Address: ☐ Yes ☒ No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Merger City: Latham State/Prov.: NY ☐ Change of Name ☐ Security Agreement 12110 USA Country: Other Execution Date: March 20, 2006 ⊠ No ☐ Yes Additional name(s) & address(es) Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No. Filing date B. Patent No.(s) 11/319,042 12/27/2005 00000048 11319042 3/28/2006 DBYRNE 40.00 DF)1 FC:8021 ☐ Yes 🖾 No Additional numbers 6. Total number of applications and patents involved: 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Fred G. Pruner, Jr. 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. 40,779 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: TROP, PRUNER & HU, P.C. Authorized to be charged to deposit account 8554 Katy Freeway, Suite 100 8. Deposit account number:

ZIP: 77024 (Attach duplicate copy of this page if paying by deposit account) Country: <u>USA</u>

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20-1504

9. Statement and signature.

City: Houston

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

State/Prov.: Texas

Fred G. Pruner, Jr.

Name of Person Signing

March 22, 2006

Date

REEL: 017720 FRAME: 0301

Attorney Docket. No. PUG.0111US (1048)

ASSIGNMENT

WHEREAS, I, DAVID A. GUTENMANN, is the sole inventor of "HUMIDIFYING A REACTANT FLOW OF A FUEL CELL SYSTEM" application for United States Letters Patent Serial No. 11/319,042, filed December 27, 2005; and

WHEREAS, PLUG POWER INC., a corporation created and existing under and by virtue of the laws of the State of Delaware, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention throughout the world, and all right, title and interest in, to and under any and all Letters Patent of the United States and all other countries throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over to PLUG POWER INC., all right, title and interest in and to the said invention throughout the world, and said application for U.S. Letters Patent, and any and all divisions, continuations, and reissues thereof, and any and all Letters Patent of the United States and foreign countries which may be granted therefor, the same to be held and enjoyed by PLUG POWER INC. for its own use and benefit, and for the use and benefit of its successors, assigns, or other legal representatives, to the end of the term or terms for which said Letters Patent of the United States or foreign countries are or may be granted or reissued, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

And I hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States on said invention or resulting from said application and from any and all divisions, continuations, and reissues thereof, to PLUG POWER INC., as assignee of our entire interest, and hereby covenant that I have the full right to convey the entire interest herein assigned, and that I have not executed and will not execute any agreement in conflict herewith.

And I further hereby covenant and agree that I will, at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title of said invention and to such Letters Patent as may be granted therefor, to PLUG POWER INC., its successors, assigns, or other legal representatives and that if PLUG POWER INC., its successors, assigns or other legal representatives shall desire to file any divisional or continuation applications or to secure a reissue of such Letters Patent, or to file a disclaimer relating thereto, I will upon request, sign all papers, make all rightful oaths and do all lawful acts requisite for the filing of such divisional or continuation application, or such application for reissue and the procuring thereof, and for the filing of such disclaimer, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

PATENT REEL: 017720 FRAME: 0302

And I do further covenant and agree that I will, at any time upon request, communicate to PLUG POWER INC., its successors, assigns or other legal representatives, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or otherlitigation when requested so to do, without further compensation but at the expense of said assignee, its successors, or other legal representatives.

EXECUTED THIS 20 day of February, 2006.

STATE OF NEW YORK

9999

COUNTY OF ALBANY

RECORDED: 03/24/2006

BEFORE ME, the undersigned authority, on this day personally appeared DAVID A. GUTENMANN, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

GIVEN UNDER MY HAND and seal of office this day of February, 2006.

Notary Public in and for the State of New York

KATHLEEN R. PETRUCCO Notary Public, State of New York No. 4912848 Qualified in Schenectady County

Commission Expires November 16, 20

PATENT

REEL: 017720 FRAME: 0303