

03-30-2006

Docket No.: AD2.238.CIP3

T U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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3/27/14

JD

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**John W. Swanson**

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: **December 5, 2005**

2. Name and address of receiving party(ies):

Name: **Advanced Neuromodulation Systems, Inc.**

Internal Address: **6901 Preston Road**

Street Address:

City: **Plano** State: **TX** ZIP: **75024**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

**11/295,097**

**December 6, 2005**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Timothy E. Siegel**

Internal Address: **1868 Knapps Alley, Suite 206**

Street Address:

City: **West Linn** State: **OR** ZIP: **97068**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

**50-1152**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Timothy E. Siegel**

**March 27, 2006**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:

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Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22316-1550

PATENT

REEL: 017721 FRAME: 0655

12-13-2005



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John W. Swanson

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Name: Advanced Neuromodulation Systems, Inc.

Internal Address: 6901 Preston Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

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☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

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City: Plano

State: TX

ZIP: 75024

Execution Date: December 5, 2005

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: December 6, 2005

## A. Patent Application No.(s)

10/822,491	04/12/2004
10/320,072	12/16/2002
09/653,489	08/31/2000
09/518,006	03/02/2000

## B. Patent No.(s)

6,973,442
6,719,582
6,495,020
6,368,147

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy E. Siegel

Internal Address: 1868 Knapps Alley, Suite 206

Street Address:

City: West Linn

State: OR

ZIP: 97068

## 6. Total number of applications and patents involved: 5

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

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## 8. Deposit account number:

50-1152

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## 9. Statement and signature.

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Timothy E. Siegel

Name of Person Signing

Signature

December 6, 2005

Date

Total number of pages including cover sheet, attachments, and document: 37

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PATENT

REEL: 017721 FRAME: 0656

**Assignment of Rights in Invention  
(Sole inventor; single assignee)**

Docket No.  
AD2.238.CIP3

Inventor

Residence of Inventor

John W. Swanson

5105 SW Garden Home Road  
Portland, OR 97219

Assignee

Residence or Principal Place of Business of Assignee

Advanced Neuromodulation Systems, Inc.

6901 Preston Road  
Plano, TX 75024

*Whereas, I, the above-identified Inventor, have invented certain new and useful improvements in:*  
**METHOD OF MAKING A NERVE CUFF**

*(hereinafter referred to as "Invention") for which I am making application for Letters Patent in the United States of America;*

*And, whereas I desire to assign a 100% undivided interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to the above-identified Assignee, and whereas said Assignee is desirous of acquiring the entire right, title and interest in the same;*

*Now, this indenture witnesseth, that for the sum of* **ONE** *dollars (\$*  
**1.00** *), and other good and valuable consideration, the receipt whereof is hereby acknowledged;*

*I hereby assign, sell and transfer a 100% undivided interest in said invention, said application, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And I hereby authorize and request the Director of the United States Patent and Trademark Office to issue said United States Letters Patent to said Assignee, as assignee of the whole right, title and interest thereto;*

*And I further agree to execute all necessary and lawful future documents, including assignments in favor of Assignee, or its designees as Assignee or its Assignees may from time-to-time present to me in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto;*

Assignment of Rights in Invention  
(Sole inventor; single assignee)

Docket No.  
AD2.238.CIP3

Inventor

Residence of Inventor

John W. Swanson

5105 SW Garden Home Road  
Portland, OR 97219

Assignee

Residence or Principal Place of Business of Assignee

Advanced Neuromodulation Systems, Inc.

6901 Preston Road  
Plano, TX 75024

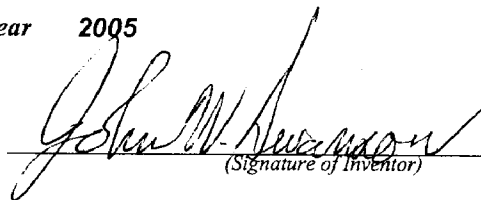
*And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee thereof shall hereafter require and prepare at its own expense.*

Executed this 5 day of December, in the year 2005

at

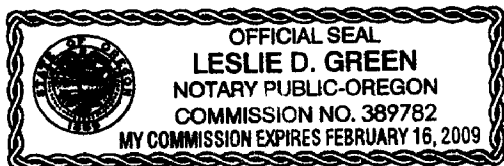
State of Oregon

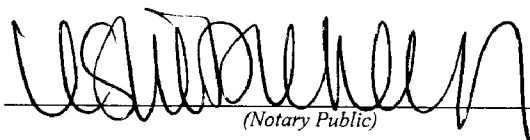
County of

  
(Signature of Inventor)

Before me personally appeared John W. Swanson

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 05th day of December, in the year 2005



  
(Notary Public)