

04-04-2006



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RE

To the Director of the U.S. Patent and Trademark Office

Indicate the old and new address(es) below.

**1. Name of conveying party(ies)**

Erming Xia  
Joseph C. Salamone

**2. Name and address of receiving party(ies)**

Name: Bausch & Lomb Incorporated

Internal Address: \_\_\_\_\_

Street Address: One Bausch & Lomb Place

City: Rochester

State: NY

Country: US Zip: 14604

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) March 13, 2006 and March 16, 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Paul T. Lavoie

Internal Address: Bausch & Lomb Incorporated

Street Address: One Bausch & Lomb Place

City: Rochester

State: NY Zip: 14604

Phone Number: 1-585 338-6353

Fax Number: 1-(585) 338-8706

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 02-1425

Authorized User Name \_\_\_\_\_

**9. Signature:**

Paul T. Lavoie

Signature

3/28/06

Date

Paul T. Lavoie

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

04/04/2006 DBYRNE 00000004 021425 11391056

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113014 U.S. PTO  
11/391056  
032806

ATTACHMENT FOR RECORDATION FORM COVER SHEET  
**PATENTS ONLY (Form PTO-1595)**

1. Name of conveying party(ies):

X. Michael Liu

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

B. Patent No.(s)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional numbers attached?

☐ Yes ☒ No

113014 U.S. PTO  
11/391056



032806

## ASSIGNMENT

WHEREAS,

Erning Xia  
93 Chippenham Drive  
Penfield, NY 14526

Joseph C. Salamone  
740 N.W. 6th Street  
Boca Raton, FL 33486

X. Michael Liu  
19 Cambric Circle  
Penfield, NY 14534

(hereinafter referred to as ASSIGNORS, has made an invention (assigned Bausch & Lomb Incorporated Docket Number P03767) and has executed an application for Letters Patent of the United States therefor entitled:

COMPOSITION FOR TREATING DRY EYE AND RELATED METHODS OF  
MANUFACTURE AND METHODS OF USE

WHEREAS,

Bausch & Lomb Incorporated, a corporation of the State of New York, having offices at One Bausch & Lomb Place, Rochester, New York 14604, United States of America (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in and to said invention and under said Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and in and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all convention rights.

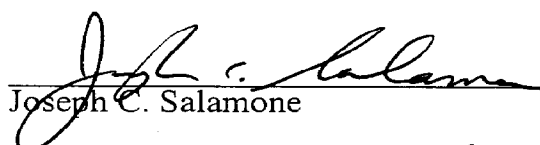
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

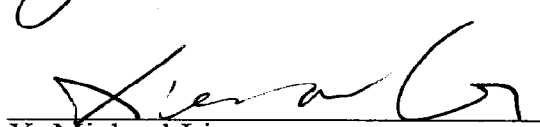
ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or

affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, ASSIGNOR has hereunto set hand and seal.

Date: 3/16/06, 2006   
Erning Xia

Date: 3/13/06, 2006   
Joseph C. Salamone

Date: 3/16/06, 2006   
X. Michael Liu

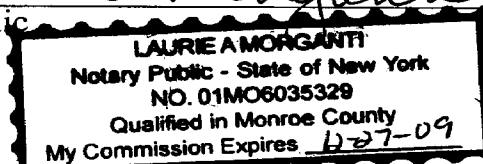
State of New York )  
County of Monroe ) ss.

I, Laurie A Morganti, a Notary Public in and for the State and County aforesaid, do hereby certify that Erning Xia personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

In Witness Whereof, I have hereunto set my hand and Notarial Seal this 16 day of March, 2006.

Laurie A Morganti  
Notary Public

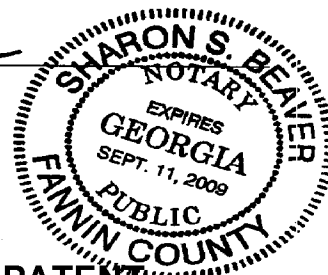
State of Georgia )  
County of Fannin ) ss.



I, Sharon S. Beaver, a Notary Public in and for the State and County aforesaid, do hereby certify that Joseph C. Salamone personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

In Witness Whereof, I have hereunto set my hand and Notarial Seal this 13<sup>th</sup> day of March, 2006.

Sharon S. Beaver  
Notary Public



State of New York  
County of Monroe

)  
) ss.

I, Laurie A Morganti, a Notary Public in and for the State and County aforesaid, do hereby certify that X. Michael Liu personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

In Witness Whereof, I have hereunto set my hand and Notarial Seal this 16 day of March, 2006

Laurie A Morganti  
Notary Public

Atty. Docket No.: P03767

