

3/28/06

04-05-2006



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Docket No. 30014200-1129

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

113255 U.S. PTO  
11/393163



Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

R.

To the Director of the U.S. Patents and Trademarks: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

**Roderic G. Cattell**

**Craig L. Russell**

Execution Date(s) 03/27/2006, 03/28/2006

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

**Sun Microsystems, Inc.**

**4150 Network Circle**

**Santa Clara, California 95054**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other:

4. Application patent number(s): ☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Christopher P. Rauch, Esq.

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**Chicago, Illinois 60606-1080**

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**crauch@sonnenschein.com**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40

☒ Authorized to be charged to credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 02000 Code4950

Expiration Date 7/2006

b. Deposit Account Number 19-3140

Authorized User Name Christopher P. Rauch

9. Signature:

**Christopher P. Rauch**

Name of Person Signing

Signature

**March 28, 2006**

Date

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

04/03/2006 DTESSEM1 00000054 11393163

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**PATENT**  
**REEL: 017736 FRAME: 0810**

## ASSIGNMENT

**WHEREAS**, Roderic G. Cattell, a citizen of the United States of America, residing at 8 Raccoon Lane, Tiburon, CA 94920, and Craig L. Russell, a citizen of the United States of America, residing at 456 Chesley Avenue, Mountain View, CA 94040, referred to as ASSIGNORS, have invented a certain invention entitled: "Systems and Methods for Synchronizing Data in a Cache and Database," for which a U.S. Application is filed herewith; and

**WHEREAS**, Sun Microsystems, Inc., a corporation organized and existing under the laws of the State of Delaware, having a principal place of business at 4150 Network Circle, Santa Clara, California 95054, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

### **NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:**

Be it known that for good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said applications or any non-provisional, continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNORS also assign all of its right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said applications, said invention and said Letters Patent as may be known and accessible to ASSIGNORS, and ASSIGNORS will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said applications, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

**Docket Number: 30014200-1129/SUN040667**

ASSIGNORS request the Commissioner of Patents and Trademarks to issue any Letter Patent of the United States which may be issued for said invention to said ASSIGNEE, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date: 3/27/2006  
Roderic G. Cattell

Date: \_\_\_\_\_

\_\_\_\_\_  
Craig L. Russell

**Docket Number: 30014200-1129/SUN040667**

ASSIGNORS request the Commissioner of Patents and Trademarks to issue any Letter Patent of the United States which may be issued for said invention to said ASSIGNEE, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

Date: \_\_\_\_\_

\_\_\_\_\_  
Roderic G. CattellDate: 3/28/06\_\_\_\_\_  
Craig L. Russell