

03-30-2006

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To the Director of the U.S. Patent and Trade

103208556

Documents or the new address(es) below.

**1. Name of conveying party(ies)**

1. Thomas J. Fogarty; 2. Kenneth H. Mollenauer; 3. Michele Y. Monfort; 4. George D. Hermann; 5. Allan R. Will

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 1. 3/26/1996; 2. 9/25/1995; 3. 9/25/1995; 4. 9/25/1995; 5. 9/25/1995

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

**2. Name and address of receiving party(ies)**

Name: General Surgical Innovations, Inc.

Internal Address:

Street Address: 195 McDermott Road

City: North Haven

State: CT

Country: US Zip: 06473

Additional name(s) & address(es) attached? ☐ Yes ☐ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/790,373

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Mark Farber, Esq.

Internal Address:

Street Address: 195 McDermott Road

City: North Haven

State: CT Zip: 06473

Phone Number: (203) 845-1000

Fax Number: (203) 492-8232

Email Address:

**6. Total number of applications and patents involved:**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

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b. Deposit Account Number 21-0550

Authorized User Name Michael D. Switzer

**9. Signature:**

*Michael D. Switzer*  
Signature

3/24/06

Date

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Michael D. Switzer

Name of Person Signing

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**PATENT**  
**REEL: 017742 FRAME: 0356**

03-31-1997

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

1-81-92

T

Lyon &amp; Lyon Docket No. 218/203

To the Honorable Commissioner of Patents and

100380316

and documents or copy thereof.

## 1. Name of conveying party(ies):

George D. Hermann, Kenneth H. Mollenauer, Michelle Y.  
Monfort, and Allan R. WillAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: September 25, 1995

## 2. Name and address of receiving party(ies):

Name: General Surgical Innovations, Inc.

Internal Address:

Street Address: 3172A Porter Drive

City: Palo Alto State: California ZIP 94304

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

08/475,137

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LYON &amp; LYON

Internal Address: James K. Sakaquchi

Street Address: 633 West Fifth Street, Suite 4700

City: Los Angeles State: California ZIP 90071-2066

## 6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Previously Submitted☐ Authorized to be charged to deposit account

## 8. Deposit Account Number:

12-2475

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## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

James K. Sakaquchi, Reg. No. P41,285

Name of Person Signing &amp; Reg. No.

Signature

March 19, 1997

Date

OMB No. 0651-0011 (exp. 4/94)

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PATENT

REEL: 017742 FRAME: 0357

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JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## Assignment of Invention and Patent Application

For value received, I (We), the undersigned inventors (hereinafter ASSIGNOR(s)), hereby sells, assigns, transfers, and sets over unto Thomas J. Fogarty, M.D. and he or his successors or assigns (hereinafter ASSIGNEE) all of the following: (A) ASSIGNOR'S right, title and interest in and to the invention entitled "Methods and Devices for Blood Vessel Harvesting" invented by ASSIGNOR(s); (B) the application for United States patent therefor, signed by ASSIGNOR on \_\_\_\_\_, U.S. Patent and Trademark Office Serial Number 08/475,137; Filed June 7, 1995; (C) any patent or reissues of any patent that may be granted thereon; and (D) any applications which are continuations, continuations-in-part, substitutes, or divisions of said application. ASSIGNOR authorizes ASSIGNEE To enter the date of signature and/or Serial Number and Filing Date in the spaces above. ASSIGNOR also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE, ASSIGNOR'S entire right, title and interest in and to said invention in each and every country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE all priority rights resulting from the above-identified application for United States patent. ASSIGNOR agrees to execute all papers, give any required testimony and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and ASSIGNEE'S interest therein.

Date: Sep 25, 1995

George D. Hermann  
Inventor: George D. Hermann

Date: Sep 25, 1995

Kenneth H. Mollenauer  
Inventor: Kenneth H. Mollenauer

Date: Sep 25, 1995

Michelle Y. Monfort  
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Allan R. Will  
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