

04-10-2006

F

43/6



Atty Docket No. 2005P22369US

To the Director of the U.S. Patents and

103214910

uments or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

James D. B. Smith
John W. Wood
Gary Stevens
University of Surrey

Execution Date(s) March 27, 2006 (Smith, Wood); March 31, 2006 (University of Surrey); April 3, 2006 (Stevens)

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Siemens Power Generation, Inc.

Internal Address: _____

Street Address: 4400 Alafaya Trail

City: Orlando

State: FL

Country: U.S.A. Zip: 32826

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

112991 U.S. PTO
11/396988

040306

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

TO BE ASSIGNED

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Elsa Keller

Internal Address: Siemens Corporation

Intellectual Property Department

Street Address: _____

170 Wood Avenue South

City: Iselin

State: NJ Zip: 08830

Phone Number : 732-321-3026

Fax Number: 732-321-3014

Email Address: elsa.keller@siemens.com

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 19-2179

Authorized User Name Erik C. Swanson

9. Signature :

00000076 192179 11396988

Signature

April 3, 2006

Date

Erik C. Swanson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents

6

Documents to be recorded (including cover sheet) should be faxed to (703) 3065995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

For good and valuable consideration, I, **JAMES D. B. SMITH**, residing at **110 Drake Dr., Monroeville, PA 15146, a citizen of the United States**; **JOHN W. WOOD**, residing at **1199 Black Walnut Ct., Winter Springs, FL 32708, a citizen of the United Kingdom**; hereinafter referred to as Assignor, hereby sell, assign and transfer to **SIEMENS POWER GENERATION, INC.**, a Corporation, organized and existing under the laws of Delaware, having its principal place of business at 4400 Alafaya Trail, Orlando, Florida 32826, hereinafter referred to as Assignee, its successors, assigns and legal representatives, the entire right, title and interest, in and for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters patent, which has been executed by the undersigned concurrently herewith, and is entitled:

Seeding Resins for Enhancing the Crystallinity of Polymeric Substructures

in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said inventions;

Assignor agrees that Assignee may apply for and receive Letters Patent for said inventions in its own name;

Assignor agrees that, at the request of the Assignee and, without charge to but at the expense of said Assignee, Assignor will carry out in good faith the intent and purpose of this Assignment; execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to Assignee, all facts known to the undersigned relating to said inventions and the history thereof; and generally do everything possible which Assignee shall consider desirable for aiding in securing and mainlining proper patent protection for said inventions and for vesting title to said inventions, and all applications for patents and all patents on inventions in said Assignee;

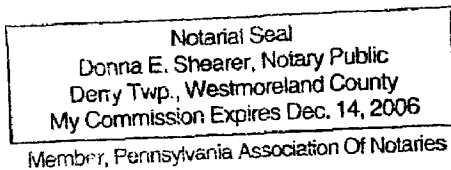
Covenant with Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

James D. B. Smith
JAMES D. B. SMITH

STATE OF PENNSYLVANIA
COUNTY OF Allegheny

On this 7th day of March, 2006, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared JAMES D. B. SMITH, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.



Donna E. Shearer
Notary Public

My commission expires: Dec. 14, 2006

see pg. 3
JOHN W. WOOD

STATE OF FLORIDA
COUNTY OF ORANGE /

On this ___ day of _____, 2006, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared JOHN W. WOOD, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.

Notary Public

Covenant with Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

See pg. 2

JAMES D. B. SMITH

STATE OF
COUNTY OF

On this ____ day of _____, 2006, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared JAMES D. B. SMITH, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.

Notary Public

My commission expires: _____

JW Wood

JOHN W. WOOD

STATE OF FLORIDA
COUNTY OF ORANGE /

On this 27th day of March, 2006, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared JOHN W. WOOD, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.



Gail M. Campbell
Notary Public

My commission expires: 5/15/2007

Pg 1 of 2

2005P22369US

ASSIGNMENT

For good and valuable consideration, I, **Gary Stevens**, residing at The Willows, Silkmore Ln., West Horsely, Surrey, KT24 6JB, United Kingdom, a citizen of the United Kingdom; and the **University of Surrey**, Guildford, Surrey, GU2 7XH, United Kingdom; hereinafter referred to as Assignors, hereby sell, assign and transfer to **SIEMENS POWER GENERATION, INC.**, a Corporation, organized and existing under the laws of Delaware, having its principal place of business at 4400 Alafaya Trail, Orlando, Florida 32826, hereinafter referred to as Assignee, its successors, assigns and legal representatives, the entire right, title and interest, in and for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters patent, which has been executed by the undersigned concurrently herewith, and is entitled:

Seeding Resins for Enhancing the Crystallinity of Polymeric Substructures

in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said inventions;

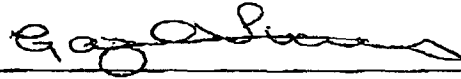
Assignors agree that Assignee may apply for and receive Letters Patent for said inventions in its own name;

Assignors agree that, at the request of the Assignee and, without charge to but at the expense of said Assignee, Assignors will carry out in good faith the intent and purpose of this Assignment; execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to Assignee, all facts known to the undersigned relating to said inventions and the history thereof; and generally do everything possible which Assignee shall consider desirable for aiding in securing and mainlining proper patent protection for said inventions and for vesting title to said inventions, and all applications for patents and all patents on inventions in said Assignee;

Pg 2 of 2

2005P22369US

Covenant with Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.



Gary Stevens

IN THE UNITED KINGDOM /

On this 31st day of March, 2006, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared **Gary Stevens**, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

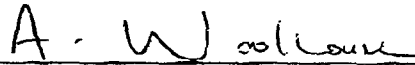
WITNESS my hand and official seal.



Notary Public

My commission expires: into life

Fr: 576-10-24136



Anthony Woolhouse, Asst Director, UniSdirect
on behalf of University of Surrey

IN THE UNITED KINGDOM /

On this 31st day of March, 2006, before me, the undersigned Notary Public, duly commissioned and sworn, personally appeared **Anthony Woolhouse**, on behalf of University of Surrey, to me personally known as, or proved to me on the basis of satisfactory evidence to be, the individual who executed the foregoing instrument and who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

WITNESS my hand and official seal.



Notary Public

My commission expires: into life

ANDREW M JACKSON
NOTARY PUBLIC
55/56 QUARRY STREET
GUILDFORD GU1 3UE
Surrey

PATENT