

04-18-2006

y Docket No: 17810-049 NATL CON2



COVER SHEET
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FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

103220553

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Please record the attached original documents or copy thereof.

1. Name and address of conveying party:

Melissa K. Carpenter (executed January 26, 1998)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name:

CYTOTHERAPEUTICS, INC.

Street Address:

701 George Washington Highway
Lincoln, Rhode Island 02865

Internal Address:

SAME AS ABOVE

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No(s):

B. Patent No(s):

6,777,233

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: Ivor R. Elrifi
Address: MINTZ, LEVIN, COHN, FERRIS
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41)

\$40.00

- ☒ Enclosed
☒ The Commissioner is authorized to charge Deposit Account
No: 50-0311 (Reference No. 17810-049 NATL CON2).

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christina K. Stock, Reg. No. 45,899

Name of Person Signing

Signature

April 12, 2006

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT RECORDATION SERVICES
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P.O. Box 1450, Alexandria, VA 22313-1450

TRA 2139749v.1

PATENT
REEL: 017776 FRAME: 0956

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ASSIGNMENT

WHEREAS, I, Melissa Carpenter, residing at 2303 Wake Robin Road,
Lincoln, Rhode Island, 02865, have made an invention entitled: HUMAN CNS
NEURAL STEM CELLS and have made application for United States
Letters Patent therefor, filed September 5, 1997, under Serial No. 08/926,313; and

WHEREAS, CytoTherapeutics, Inc. ("CytoTherapeutics"), a corporation
organized and existing under the laws of Delaware and having an office and place of
business at 701 George Washington Hwy, Lincoln, Rhode Island, 02865 is desirous of
acquiring the entire interest in said invention, in said United States patent application and in
any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of
One Dollar (\$1.00) to me paid, and other good and valuable consideration, the receipt and
sufficiency of which is hereby acknowledged, I do hereby sell, assign, and transfer unto the
said CytoTherapeutics, its successors, assigns and legal representatives, all right, title, and
interest in and to said invention and any improvements thereon for all countries of the world,
and in and to said application, including any continuations and divisions thereof, and any
substitute applications therefor, and any patent which may issue thereon, and any reissues of
the same; and all right, title, and interest in and to every patent application filed or to be filed
on said invention in any other country, including renewals, revivals, continuations, and
divisions thereof, and any substitute applications therefor, and any and all patents which may
issue thereon, and any reissues and extensions of the same; and I hereby authorize and

request competent authorities to grant and issue any and all patents on said invention to the said CytoTherapeutics as the assignee of the entire interest therein; and I further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, I have hereunto signed my name at Lincoln, Rhode Island on the date indicated hereinafter:

1/26/98
Date

MK Carpenter
Melissa Carpenter

Before me a Notary Public for said County, personally appeared Melissa K Carpenter, to me known and known to me to be the person who executed the foregoing instrument and acknowledged it to be a free act and deed.

Witness my hand and seal 26th, 1998

J. M. B. Th
Notary Public

My Commission expires:

[Notary Seal]

3/15/01