

RECOR

05-01-2006



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103228227
PATENT ONLY

Our Ref.: 4112-42

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John L. GAINER
Marc LANZ

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Assignment ☐ Change of Name
☐ Other

Execution Date: March 8, 2006 and March 20, 2006,
respectively

2. Name and address of receiving party(ies):

(1) Name: Diffusion Pharmaceuticals LLC
Street Address: 999 Grove Street, Suite 203
City: Charlottesville
State/Country: Virginia Zip: 22902

(2) Name:
Street Address:
City:
State/Country: Zip:

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): ☐ This assignment is being filed together with a new application.

A. Patent Application No(s).

- (1) 11/361,054
(2)
(3)

B. Patent No(s).

- (1)
(2)
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Duane M. Byers

Internal Address:

Street Address: Nixon & Vanderhye P.C.
901 North Glebe Road
11th Floor

City: Arlington State: VA Zip: 22203

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Duane M. Byers

Name of Person Signing
Reg. No. 33,363

Signature

April 24, 2006

Date

Total number of pages including original cover sheet, attachments, and document:

[4]

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

1066260

PATENT
REEL: 017809 FRAME: 0435

ASSIGNMENT FOR PATENT APPLICATION
(All Rights)

WHEREAS, John L. GAINER and Marc LANZ (hereinafter ASSIGNORS), of 125 Cameron Lane, Charlottesville, VA and Hubel 519, Reitnau Switzerland 5057, respectively, have invented a certain improvement in TRANS CAROTENOIDS, THEIR SYNTHESIS, FORMULATION AND USES for which a so-entitled application for Letters Patent of the United States was filed in the United States Patent and Trademark Office on February 24, 2006 under Serial No. 11/361,054 . We hereby authorize and request ASSIGNEE'S attorneys, Nixon & Vanderhye P.C., of 901 North Glebe Road, Suite 1100, Arlington, Virginia 22203-1808, to insert here the serial number of said application when known.

WHEREAS, Diffusion Pharmaceuticals LLC (hereinafter ASSIGNEE), a corporation of the State of Virginia, having an office and place of business at 999 Grove Street, Suite 203, Charlottesville, Virginia 22902 is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNORS by these presents hereby sell, assign, and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, ASSIGNORS' entire right, title and interest in the United States of America and all foreign countries, in and to the invention as described in the aforesaid application, and to the said application and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America

adheres; and the ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention;

ASSIGNORS hereby agree to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention;

ASSIGNORS hereby agree to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred; and

ASSIGNORS hereby agree, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

AGREED and executed as noted below:

March 8, 2006

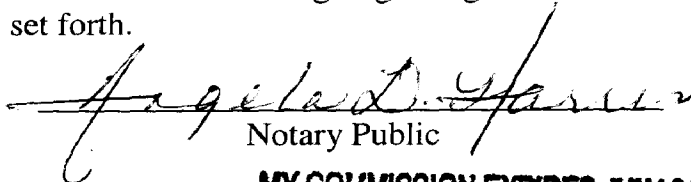
Date


JOHN L. GAINER

COUNTY OF Albemarle)
STATE OF Virginia)

SS:

On this 8 day of March 2006, JOHN L. GAINER appeared before me personally and acknowledged to me that he executed the foregoing Assignment as his free act and deed for the uses and purposes therein set forth.


Notary Public

MY COMMISSION EXPIRES JULY 31, 2009

My Commission Expires:

(SEAL)

20. März 2006

Date


MARC LANZ

COUNTY OF Kanton Aargau)
STATE OF Switzerland)

SS:

On this 20 day of March 2006, Marc Lanz appeared before me personally and acknowledged to me that he executed the foregoing Assignment as his free act and deed for the uses and purposes therein set forth.




Notary Public

Gustav Lienhard
Rechtsanwalt und Notar
Laurensonvorstadt 19
5001 Aarau

My Commission Expires:

(SEAL)