

04-28-2006

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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kent M. Anderson
Eric C. Lange
Hai H. Trieu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 4/17/06; 4/18/06 & 4/19/06

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: SDGI Holdings, Inc.

Internal Address:

Street Address: 300 Delaware Avenue, Suite 508

City: Wilmington

State: Delaware

Country: USA Zip: 19801

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

FFANAEIA 00000003 11409641

(40.00 DP)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Warren B. Kice

Internal Address: Haynes and Boone, LLP

Street Address: 901 Main Street, Suite 3100

City: Dallas

State: Texas Zip: 75202-3789

Phone Number: 214-651-5634

Fax Number: 214-200-0853

Email Address: ipdocketing@haynesboone.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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b. Deposit Account Number _____

Authorized User Name _____

9. Signature:

Signature

4/24/06

Date

Warren B. Kice

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, WE,

- | | | |
|-----|------------------|--|
| (1) | Kent M. Anderson | of Memphis
State of Tennessee, USA |
| (2) | Eric C. Lange | of Collierville
State of Tennessee, USA |
| (3) | Hai H. Trieu | Cordova
State of Tennessee, USA |

have invented certain improvements in

**EXPANDABLE DEVICE FOR INSERTION BETWEEN ANATOMICAL
STRUCTURES AND A PROCEDURE UTILIZING SAME**

for which we have executed an application for Letters Patent of the United States of America,

 X of even date herewith; and

 filed on and assigned application number ; and

WHEREAS, We hereby authorize and request the attorneys of Assignee, Haynes and Boone, LLP, of 901 Main Street, Suite 3100, Dallas, Texas 75202, to insert the filing date and application number of said application when known; and

WHEREAS, SDGI Holdings, Inc. (Assignee), a corporation organized and existing under the laws of the State of Delaware, having its principal office and place of business in the City of Wilmington, State of Delaware, is desirous of obtaining the entire right, title, and interest in, to and under the said invention and the said application in the United States of America and in any and all countries foreign thereto;

NOW, THEREFORE, for One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and other good and valuable consideration, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Assignee, its successors, legal representatives, and assigns, the entire right, title, and interest in, to and under the said invention, and the said application, and all divisional, renewal, substitutional, and continuing applications thereof, and all Letters Patent of the United States of America which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may be filed for said invention in any country or countries foreign to the United States of America, and all extensions, renewals, and reissues thereof, and all prior patents and patent applications from which a filing priority of the above-described patent application may be obtained, including the right to collect past damages; and we hereby authorize and request the Commissioner of Patents of the United States of America, and any official of any country or countries foreign to the United States of America, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to the said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to said Assignee, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceedings, sign all lawful papers, execute all divisional, renewal, substitutional, continuing, and reissue applications, make all rightful declarations and/or oaths and generally do everything possible to aid the said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention in all countries.

(1) Inventor Name and Residence Address:

Kent M. Anderson, 1310 Bocage Cove #201, Memphis, TN 38103

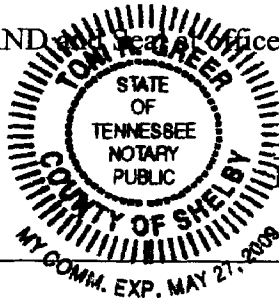
Dated: April 17, 2006

Kent M. Anderson
Kent M. Anderson (Inventor Sign)

STATE OF Tennessee
COUNTY OF Shelby

On this the 17th day of April, 2006, before me personally appeared **Kent M. Anderson**, to me known (or proved to me on the basis of satisfactory evidence) to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed.

WITNESS MY HAND and Seal at office this the 17th day of April, 2006.



Tom P. Greer
Notary Public

My Commission Expires: _____

(2) Inventor Name and Residence Address:

Eric C. Lange, 1990 Brooks Bluff Cove, Collierville, TN 38107

Dated: APRIL 19, 2006

Eric C. Lange
Eric C. Lange (Inventor Sign)

STATE OF Tennessee
COUNTY OF Shelby

On this the 19th day of April, 2006, before me personally appeared **Eric C. Lange**, to me known (or proved to me on the basis of satisfactory evidence) to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed.

WITNESS MY HAND and Seal at office this the 19th day of April, 2006.

Tom P. Greer
Notary Public

My Commission Expires: May 27, 2009

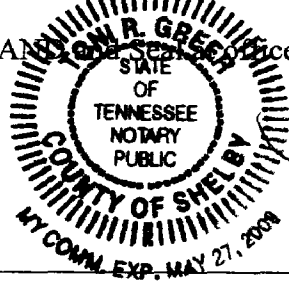
(3) Inventor Name and Residence Address:
Hai H. Trieu, 1323 Graystone Lane, Cordova, TN 38016

Dated: April 18, 2006 Hai H. Trieu
Hai H. Trieu (Inventor Sign)

STATE OF Tennessee
COUNTY OF Shelby

On this the 18th day of April, 2006, before me personally appeared **Hai H. Trieu**, to me known (or proved to me on the basis of satisfactory evidence) to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed.

WITNESS MY HAND and SEAL this the 18th day of April, 2006.



Tom R. Green
Notary Public

My Commission Expires: May 27, 2009