

3-30-06

04-19-2006

10/574649

10/574649 TO 30 MAR 2006

RECORDATION COVER SHEET



103221959

LY Attny Docket No. X16501

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

a) Guoqing Cao, b) Ana Maria Escibano, c) Maria Carmen Fernandez, d) Todd Fields, e) Douglas Linn Gernert, f) Christopher Lawrence Cioffi, g) Robert Jason Herr, h) Nathan Bryan Mantlo, i) Eva Maria Martin De La Nava, j) Ana Isabel Mateo Herranz, k) Daniel Ray Mayhugh, and l) Xiaodong Wang

Additional name(s) of conveying party(ies) attached? () Yes (X) No

2. Name & address of receiving party(ies):

Name: Eli Lilly and Company
Internal Address: Patent Division
Street Address: Lilly Corporate Center
City: Indianapolis State: IN Zip: 46285

3. Nature of conveyance:

(X) Assignment () Merger
() Security Agreement () Change of Name
() Other

Execution Date: a, e, h,) 11 January 2005; d, l) 12 January 2005; k) 13 January 2005; f) 17 January 2005; g) 14 January 2005; 13 January 2005; i, j) 13 January 2005; and b, c) 14 January 2005

Additional name(s) & address(es) attached?

() Yes (X) No

4. Application number(s) or patent Number(s):

This document is being filed together with a U.S.C. 371 application of PCT/US2004/030907, international filing date of 07 October 2004 (07.10.2004).

A. Patent Application No.(s):

B. Patent No.(s):

Additional Numbers attached () Yes (X) No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Linda Durbin
Eli Lilly and Company
Patent Division
P.O. Box 6288
Indianapolis, IN 46206-6288

6. Total number of applications and patents involved: (1)

7. Total fee (37 CFR \$3.41) \$160.00
(\$40.00 per assignment)() Enclosed
(X) Authorized to be charged to deposit account (along with any additional fees or the credit of any overpayment)

8. Deposit account number: 05-0840

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James B. Myers
Reg. No. 42,021

Date 30 March 2006

Total number of pages including cover sheet, attachments and documents (29)

"Express Mail" mailing label number EV 393128699 US

Date of Deposit MAR 30, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name

Signature

Mail documents to be recorded with required cover sheet information to:
Commissioner for Patents & Trademarks, Mail Stop Assignments,
P.O. Box 1450, Alexandria, VA 22313-1450PATENT
REEL: 017820 FRAME: 0117

ASSIGNMENT

WHEREAS I, am an inventor or co-inventor (with the persons listed below) of an invention that is the subject of a patent application ("Application") which is entitled **COMPOUNDS AND METHODS FOR TREATING DYSLIPIDEMIA**, containing 184 pages and 0 drawings, and which:

☐ is being filed:
☒ was filed:

☐ in the United States Patent and Trademark Office
☐ in the United Kingdom Patent Office
☐ in the European Patent Office
☐ in the Spanish Patent Office as a European Application
☒ as an international application under the Patent Cooperation Treaty ("PCT"), with:

☒ United States Patent and Trademark Office acting as Receiving Office, or
☐ International Bureau acting as Receiving Office;
☐

on 07 October 2004 and accorded serial number PCT/US2004/030907;

and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, United States provisional patent applications, subsequent United States provisional patent applications claiming some or all of this invention, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF I have executed this assignment on the date indicated below.

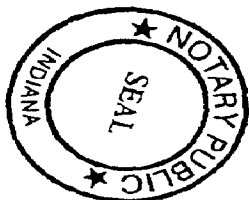
01/11/05
Date

Guoqing Cao
Guoqing Cao
12559 Pebble Knoll Way
Carmel, Indiana 46033
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF Indiana
COUNTY OF Marion } SS:

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Guoqing Cao and acknowledged the execution of the foregoing instrument this 11 day of January, 2004.



Dea Sneed
Notary Public
Commission Expires:
Dea Sneed
Resident of Marion County
My Commission Expires:
October 20, 2009

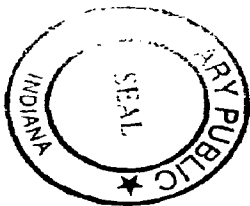
1/12/05
Date

Todd Fields
Todd Fields
7726 Heatherdown Court
Indianapolis, Indiana 46259
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF Indiana
COUNTY OF Marion } SS:

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Todd Fields and acknowledged the execution of the foregoing instrument this 12 day of January, 2005.



Rea Sneed
Notary Public
Commission Expires:
Rea Sneed
Resident of Marion County
My Commission Expires:
October 20, 2009

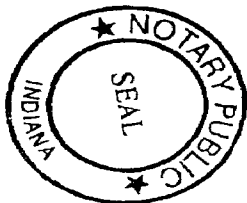
1/11/05
Date

Douglas Linn Gernert
Douglas Linn Gernert
10922 Limbach Court
Indianapolis, Indiana 46236
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF Indiana
COUNTY OF Marion } SS:

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Douglas Linn Gernert and acknowledged the execution of the foregoing instrument this 11 day of January, 2005.



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Notary Public
Commission Expires:
Rea Sneed
Resident of Marion County
My Commission Expires:
October 20, 2009

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☒ was filed:

☐ in the United States Patent and Trademark Office

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☐ in the European Patent Office

☐ in the Spanish Patent Office as a European Application

☒ as an international application under the Patent Cooperation Treaty ("PCT"), with:

☒ United States Patent and Trademark Office acting as Receiving Office, or

☐ International Bureau acting as Receiving Office;

☐

on 07 October 2004 and accorded serial number PCT/US2004/030907;

and

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NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, United States provisional patent applications, subsequent United States provisional patent applications claiming some or all of this invention, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

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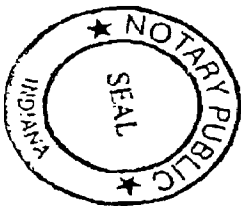
1/11/05
Date

Nathan Bryan Mantlo
Nathan Bryan Mantlo
7325 East County Road 800 North
Brownsburg, Indiana 46112
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF Indiana
COUNTY OF Marion) SS:

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Nathan Bryan Mantlo and acknowledged the execution of the foregoing instrument this 11 day of January, 2005.



01/13/05
Date

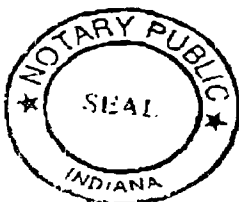
Rae Sneed
Notary Public
Commission Expires:
Resident of Marion County
My Commission Expires:
October 20, 2009

Daniel Ray Mayhugh
Daniel Ray Mayhugh
9681 Troon Court
Carmel, Indiana 46032
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF Indiana
COUNTY OF Marion) SS:

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Daniel Ray Mayhugh and acknowledged the execution of the foregoing instrument this 13 day of January, 2005.



Rae Sneed
Notary Public
Commission Expires:
Rae Sneed
Resident of Marion County
My Commission Expires:
October 20, 2009

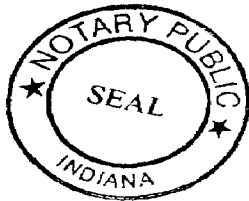
Jan. 12, 2005
Date

Xiaodong Wang
Xiaodong Wang
14066 Honey Tree Drive
Carmel, Indiana 46032
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF Indiana
COUNTY OF Marion } SS:

Before me, a Notary Public for Marion County, State of Indiana, personally appeared Xiaodong Wang and acknowledged the execution of the foregoing instrument this 12 day of January, 2005.



Rita Sureda
Notary Public
Commission Expires: October 20, 2009
Resident of Marion County
My Commission Expires:
October 20, 2009

Date

Ana Maria Escribano
Lilly, S.A.
Avda. Industria, 30
28108 Alcobendas
Madrid, Spain
Citizenship:

Date

Maria Carmen Fernandez
Lilly, S.A.
Avda. Industria, 30
28108 Alcobendas
Madrid, Spain
Citizenship:

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF I have executed this assignment on the date indicated below.

Date

Guoqing Cao
12559 Pebble Knoll Way
Carmel, Indiana 46033
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Guoqing Cao and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Date

Todd Fields
7726 Heatherdown Court
Indianapolis, Indiana 46259
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
_____) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Todd Fields and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Date

Douglas Linn Gernert
10922 Limbach Court
Indianapolis, Indiana 46236
USA
Citizenship:

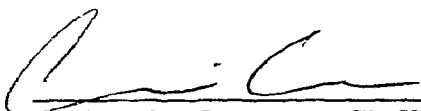
UNITED STATES OF AMERICA

STATE OF _____)
_____) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Douglas Linn Gernert and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

01/17/05
Date

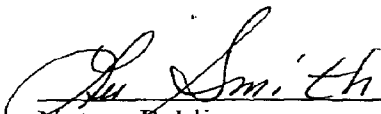

Christopher Lawrence Cioffi
1056 Spring Avenue Ext.
Troy, New York 12180
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF New York)
) SS:
COUNTY OF Rensselaer)

LEE SMITH
Notary Public, State of New York
No. 01SM8049224
Qualified in Albany County
Commission Expires Oct. 10, 2006

Before me, a Notary Public for ALBANY County, State of
NEW YORK, personally appeared Christopher Lawrence Cioffi and acknowledged the
execution of the foregoing instrument this 17th day of JANUARY, ~~2004~~
2005


Notary Public
Commission Expires: 10/10

Date

Robert Jason Herr
248 New Salem South
Voorheesville, New York 12186
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of
_____, personally appeared Robert Jason Herr and acknowledged the execution
of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Date

Nathan Bryan Mantlo
7325 East County Road 800 North
Brownsburg, Indiana 46112
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Nathan Bryan Mantlo and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Date

Daniel Ray Mayhugh
9681 Troon Court
Carmel, Indiana 46032
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Daniel Ray Mayhugh and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

UNITED STATES OF AMERICA

Date

Eva Maria Martin De La Nava
Lilly, S.A.
Avda. Industria, 30
28108 Alcobendas
Madrid, Spain
Citizenship:

Date

Ana Isabel Mateo Herranz
Lilly, S.A.
Avda. Industria, 30
28108 Alcobendas
Madrid, Spain
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Date

Guoqing Cao
12559 Pebble Knoll Way
Carmel, Indiana 46033
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Guoqing Cao and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Todd Fields
7726 Heatherdown Court
Indianapolis, Indiana 46259
USA
Citizenship:

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Todd Fields and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Douglas Linn Gernert
10922 Limbach Court
Indianapolis, Indiana 46236
USA
Citizenship:

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Douglas Linn Gernert and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Christopher Lawrence Cioffi
1056 Spring Avenue Ext.
Troy, New York 12180
USA
Citizenship:

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Christopher Lawrence Cioffi and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Robert Jason Herr
248 New Salem South
Voorheesville, New York 12186
USA
Citizenship:

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Robert Jason Herr and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Nathan Bryan Mantlo
7325 East County Road 800 North
Brownsburg, Indiana 46112
USA
Citizenship:

STATE OF _____)
) SS:
COUNTY OF _____)

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Notary Public
Commission Expires:

Daniel Ray Mayhugh
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Xiaodong Wang
14066 Honey Tree Drive
Carmel, Indiana 46032
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Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
COUNTY OF _____) SS:

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Notary Public
Commission Expires:

14 - January - 2005
Date

Ana Maria Escribano

Ana Maria Escribano
Lilly, S.A.
Avda. Industria, 30
28108 Alcobendas
Madrid, Spain
Citizenship: SPANISH

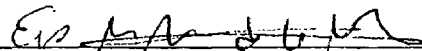
14 - Jan - 2005
Date

Carmen Fernandez

Maria Carmen Fernandez
Lilly, S.A.
Avda. Industria, 30
28108 Alcobendas
Madrid, Spain
Citizenship: SPANISH

13 / JAN / 2005

Date



Eva Maria/Martin De La Nava

Lilly, S.A.

Avda. Industria, 30

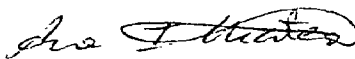
28108 Alcobendas

Madrid, Spain

Citizenship: SPANISH

13 / JAN / 2005

Date



Ana Isabel Mateo Herranz

Lilly, S.A.

Avda. Industria, 30

28108 Alcobendas

Madrid, Spain

Citizenship: SPANISH

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Douglas Linn Gernert
10922 Limbach Court
Indianapolis, Indiana 46236
USA
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UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Douglas Linn Gernert and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Date

Christopher Lawrence Cioffi
 1056 Spring Avenue Ext.
 Troy, New York 12180
 USA
 Citizenship:

UNITED STATES OF AMERICA

STATE OF New York)
) SS:
 COUNTY OF Albany)

Before me, a Notary Public for Albany County, State of New York, personally appeared Robert Jason Herr and acknowledged the execution of the foregoing instrument this 14th day of January, 2004. 2005 jj's

JOYCE STEVENS
 Notary Public, State of New York
 No. 01HA6082212
 Qualified in Albany County
 Commission Expires Oct. 21, 200 10

Joyce Stevens
 Notary Public
 Commission Expires: 10/21/06

14 JAN 2005
 Date

Robert Jason Herr
 Robert Jason Herr
 248 New Salem South
 Voorheesville, New York 12186
 USA
 Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
 COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Robert Jason Herr and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

 Notary Public
 Commission Expires:

Nathan Bryan Mantlo
7325 East County Road 800 North
Brownsburg, Indiana 46112
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Nathan Bryan Mantlo and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires:

Daniel Ray Mayhugh
9681 Troon Court
Carmel, Indiana 46032
USA
Citizenship:

UNITED STATES OF AMERICA

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public for _____ County, State of _____, personally appeared Daniel Ray Mayhugh and acknowledged the execution of the foregoing instrument this _____ day of _____, 2004.

Notary Public
Commission Expires: