05-02-2006 U.S. DEPARTMENT OF COMMERCE Form PTO-1595 (Rev. 08/05) OMB No. 0651-0027 (exp. 6/30/2008) ed States Patent and Trademark Office 103229755 To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Moriya Tsuji New York University Internal Address: X No Additional name(s) of conveying party(ies) attached? Street Address: 3. Nature of conveyance/Execution Date(s): 70 Washington Square South Execution Date(s): April 10, 2006 x Assignment Merger Change of Name Security Agreement Joint Research Agreement New York City:\_ Government Interest Assignment New York Executive Order 9424, Confirmatory License Country: United States of America Zip: 10012 Additional name(s) & address(es) attached? 4. Application or patent number(s): x This document is being filed together with a new application. B. Patent No.(s) A. Patent Application No.(s) This application Additional numbers attached? Yes 5. Name and address to whom correspondence 6. Total number of applications and concerning document should be mailed: patents involved: Name: S. Peter Ludwig DARBY & DARBY P.C. 40.00 7. Total fee (37 CFR 1.21(h) & 3.41) \$ Internal Address: Atty. Dkt.: 05986/1201261-US1 Authorized to be charged by credit card Street Address: P.O. Box 5257 Authorized to be charged to deposit account Х **Enclosed** None required (government interest not affecting title) City: 8. Payment Information Zip: 10150-5257 a. Credit Card State: Last 4 Numbers (212) 527-7770 **Expiration Date** Phone Number: (212) 527-7701 Fax Number: b. Deposit Account Number pludwig@darbylaw.com Authorized User Name Email Address: 9. Signature: April 20, 2006 Irina E. Vainberg, Ph.D. - 48,008 Total number of pages including cover

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## ASSIGNMENT

I, Moriya Tsuji, a citizen of Japan, residing at 490 2nd Avenue, Apt. 14C; New York, New York 10016;

and each of us, if more than one person is identified above (hereinafter "ASSIGNOR") in consideration of the sum of Ten Dollars (\$10.00), or the equivalent thereof, and other good and valuable consideration, the sufficiency of which and receipt of which are hereby acknowledged, paid to ASSIGNOR by

## New York University

a University organized under the laws of New York, located at 70 Washington Square South, New York, New York 10012 (hereinafter "ASSIGNEE"), do hereby sell and assign to said ASSIGNEE, its successors and assigns, the below indicated right, title, and interest, throughout the world in and to my Invention entitled:

USE OF ALLOGENIC OR SYNGENIC MAJOR HISTOCOMPATIBILITY COMPLEX (MHC) MOLECULES AS UNIVERSAL ADJUVANTS FOR VACCINES AGAINST NEOPLASTIC DISEASE, INFECTION AND AUTOIMMUNE DISEASE

invented by me and described in the specification bearing the above Attorney Docket No. and title executed by me concurrently herewith; and all patents, divisions, reissues, continuations and any extensions thereof and rights of priority therein, said interest being my entire ownership interest in the same, to be held and enjoyed by said ASSIGNEE, its successors, assigns, or other legal representatives, to the full end of the term thereof, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not be made;

And for the consideration aforesaid, I hereby covenant and agree to and with said ASSIGNEE, its successors and assigns, that whenever ASSIGNEE, its counsel or representative, or the counsel or representative of its successors or assigns, shall advise that an amendment to, or a division of, or any other proceeding or action in connection with an application concerning said Invention, including interference proceedings, is lawful and desirable, or that a reissue or continuation or extension of such application or patent issuing

therefrom is lawful and desirable, I will sign all papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for the procurement of all lawful rights associated with the Invention, or for the reissue or continuation or extension of the same, will do all acts necessary or required to secure in said ASSIGNEE, its successors or assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at its or their expense; and I hereby appoint every present or future officer of said ASSIGNEE as my agent to sign all such papers and to do all such necessary acts on my behalf, to the fullest extent permitted by law;

And I hereby authorize and request the Commission of Patents and Trademarks and any other granting authority to issue any Letters Patent resulting from said Invention and application(s) concerning same to said ASSIGNEE.

This assignment shall have an effective date corresponding to the last date of execution.

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I have signed this document as my own free act and that all of the foregoing is true and correct.

Datad.

Moriva Tsuii Inventor

April 10, 2006

**Attorney Docket No.:** 05986/1201261-US1

## ACCEPTANCE BY ASSIGNEE

In connection with an assignment in any jurisdiction in which an acceptance by ASSIGNEE is required, I hereby accept this assignment on behalf of New York University. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

I am an officer of the above-identified ASSIGNEE,

I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors,

and that all the foregoing is true and correct.

Dated:

By:

Name:

RECORDED: 04/25/2006

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