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10/577754

Docket No. 04-458

Form PTO-1595 (Rev. 07/05)

OMB No. 0651-0027 (exp. 6/30/2008)

DEPARTMENT OF COMMERCE

State, Patent and Trademark Office

2006

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PATENT OFFICE

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Sunil G. Warrier, Jean Yamanis, James R. Maus and
Benoit OlsommerAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 11/29/2004, 11/15/2004, 4/5/2005, 11/29/2004

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: UTC Fuel Cells, LLC

Internal Address:

Street Address: 195 Governor's Highway

City: South Windsor

State: CT

Country: United States Zip: 06074-2419

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

05/08/2006 MKAYPAGH 00000108 10577754

05 FC:8021

40.00

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: George A Coury

Internal Address: Suite 1201

Street Address: 900 Chapel Street

City: New Haven

State: CT Zip: 06510

Phone Number: (203) 777-6628

Fax Number: (203) 865-0297

Email Address: Docket@bachlaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 02-0184

Authorized User Name George A. Coury

9. Signature:

Signature

April 27, 2006

Date

George A. Coury

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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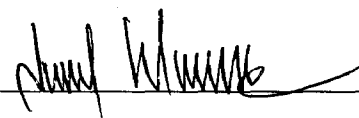
ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Sunil G. Warriar, a citizen of the United States who resides at 95, Greenview Terrace, Middletown, CT, Jean Yamanis, a citizen of the United States who resides at 17 Pasture Lane, Glastonbury, CT, James R. Maus, a citizen of the United States, who resides at Old Saybrook, CT, and Benoit Olsommer, a citizen of the United States who resides at South Glastonbury, CT hereby sell, assign and transfer to UTC FUEL CELLS, LLC, a corporation of Delaware, having a place of business at 195 Governor's Highway, South Windsor, CT, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled **COMPLIANT STACK FOR A PLANAR SOLID OXIDE FUEL CELL**, (Attorney's Docket No. 04-458PCT) and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to

carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(L.S.)  11/29/04
(Date)
Name: Sunil G. Warriar

(L.S.)  11/15/2004
(Date)
Name: Jean Yamanis

(L.S.) James R. Maus

4/5/2005
(Date)

Name: James R. Maus

(L.S.) B. Olsommer

Nov 29, 2004
(Date)

Name: Benoit Olsommer

IAP 17 Rec d PCT/PTO 27 APR 2006

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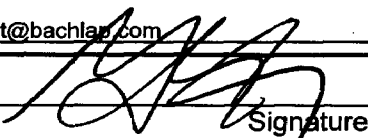
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