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11/435497



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5-17-06

1. Name of conveying party(ies)

Leo Lefrancois  
Thomas A. Stoklasek

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 17, 2006

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: University of Connecticut

Internal Address: 263 Farmington Avenue, Farmington,  
Connecticut, 06030 US

Street Address: 263 Farmington Avenue

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State: Connecticut

Country: US Zip: 06030

Additional name(s) & address(es) attached?  Yes  No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/435497

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

Name: MCCARTER & ENGLISH, LLP.

Internal Address: 185 Asylum Street, CityPlace I, Hartford  
Connecticut, 06103

Street Address: 185 Asylum Street

City: Hartford

State: Connecticut Zip: 06103

Phone Number: 860.275.6735

Fax Number: 860.724.3397

Email Address: bzerhusen@mccarter.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
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b. Deposit Account Number 50-3569

Authorized User Name Bryan Zerhusen

9. Signature:

Bryan Zerhusen  
Signature

May 17, 2006

Date

Bryan Zerhusen  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

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Docket No. 98121.00122

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned,

Leo Lefrancois, Ph.D.  
9 Lemay Street  
West Hartford, CT 06107  
US

Thomas A. Stoklasek  
124 Aldbourne Drive  
Bristol, CT 06010  
US

(hereinafter, "Assignor"), who has created a certain invention for which an application for United States Letters Patent has been filed titled:

COMPOSITIONS AND METHODS FOR IMMUNOMODULATION IN AN ORGANISM

(check one)

- for which the application for U.S. Letters Patent has been executed on even date herewith; or
- for which the application for U.S. Patent Application has been filed on May 17, 2006, Serial No. Pending.

Do hereby sell, assign and transfer to:

University of Connecticut  
263 Farmington Avenue  
Farmington, CT 06030-5355

(hereinafter, "Assignee"), its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties.

Docket No. 98121.00122

We authorize Assignee to apply for and receive Letters Patent for such protection in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, but without charge to Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, and extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns, and legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN TESTIMONY WHEREOF, we have hereunto set our signatures on the dates indicated below:

Signature of first inventor: Leo Lefrancois 5/17/06  
Leo Lefrancois, Ph.D. Date

Signature of second inventor: Thomas A. Stoklasek 5/16/06  
Thomas A. Stoklasek Date

END OF LISTING OF INVENTORS