RECORDAT

**ZIP**: 94501

()

number(s):

() Yes

05-22-2006

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103242791	

Name: OptiScan Biomedical Corporation

Suite 101

Additional name(s) of receiving party(ies) attached?

(X)

4. US or PCT Application number(s) or US Patent

No

No

Street Address: 1105 Atlantic Avenue

City: Alameda State: California

Yes

1.	Name of conveying party(ies): (List using letters
	or numbers for multiple parties)

- 1. Bernhard B. Sterling
- 2. James R. Braig
- 3. Peter Rule

Client Code: OPTIS.149A

- 4. W. Dale Hall
- 5. Mark Wechsler
- 6. Jennifer H. Gable

Additional name(s) of conveying party(ies) attached?

Yes

- (X) Assignment
- () Security Agreement () Change of Name
- () Merger
- () Other:

Execution Date: (List as in section 1 if multiple signatures)

5. Party to whom correspondence concerning

1. March 21, 2006

3. Nature of conveyance:

- 2. March 21, 2006
- 3. March 22, 2006
- 4. March 9, 2006
- 5. March 22, 2006
- 6. March 6, 2006

6. Total number of applications and patents involved: 1

(X) Patent Application No.: 11/314,173

Additional numbers attached?

(X)

Filing Date: December 21, 2005

Customer No. 20.995

Address: Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14th Floor

Irvine, CA 92614

Return Fax: (949) 760-9502

Attorney's Docket No.: OPTIS.149A

document should be mailed:

- 7. Total fee (37 CFR 1.21(h)): \$40
- (X) **Enclosed**

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached ppy is a true copy of the original document.

Mark J. Kertz

Name of Person Signing

Mark Kente

Signature

43.711 Registration No.

Total number of pages including cover sheet, attachments and document: 6

Documents transmitted via Mail to be recorded with required cover sheet information to:

**Mail Stop Assignment Recordation Services** Director, U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

05/19/2006

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Application No.: 11/314,173 Client Code: OPTIS.149A Filing Date: December 21, 2005 Page 1

# **ASSIGNMENT AGREEMENT**

THIS ASSIGNMENT AGREEMENT ("Agreement") is by Bernhard B. Sterling, a USA citizen, residing at 882 El Pintado Road, Danville, California 94086; James R. Braig, a USA citizen, residing at 280 Mountain Avenue, Piedmont, California 94611; Peter Rule, a USA citizen, residing at 24183 Hillview Road, Los Altos Hills, California 94024; W. Dale Hall, a USA citizen, residing at 432 Hudson Street, Oakland, California 94618; Mark Wechsler, a USA citizen, residing at 181 42nd Avenue, San Mateo, California 94403; and Jennifer H. Gable, a USA citizen, residing at 8161 Tanforan Court, Newark, California 94560 (collectively, "ASSIGNOR").

WHEREAS, ASSIGNOR has conceived of an invention ("Invention") disclosed in a patent application entitled METHOD AND APPARATUS FOR DETECTION OF MULTIPLE ANALYTES and filed in the United States Patent and Trademark Office, on December 21, 2005 as Application No. 11/314,173 ("Application");

WHEREAS, OptiScan Biomedical Corporation, a Delaware Corporation, having offices at 1105 Atlantic Avenue, Suite 101, Alameda, California 94501 ("ASSIGNEE") desires to acquire the entire right, title, and interest in and to the Invention and the Application, as well as all related intellectual property rights as further set forth herein.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR agrees to assign and does hereby assign to ASSIGNEE the entire right, title, and interest throughout the world in and to each of the following:

- A. The Invention, including without limitation any of ASSIGNOR'S improvements thereto, whether conceived and/or reduced to practice by ASSIGNOR alone or jointly with anyone else;
- B. The Application, including without limitation any of ASSIGNOR'S inventions that may be disclosed therein, and any other applications in which the Invention is disclosed; all provisional and nonprovisional applications relating to the Application or claiming the benefit thereof that have been or may hereafter be filed by the ASSIGNEE in the United States or in any foreign country ("Related Applications"); all continuations, divisionals, and continuations in part of the Application and any such Related Applications; and all U.S. and foreign patents which may be granted on the Application and the Related Applications, and all reissues, re-examinations, and extensions of such patents.
- C. Those items of ASSIGNOR'S tangible property embodying or describing the Invention, including without limitation all documents, drawings, prototypes, models, test results, designs, materials, computer programs and data, and the like, which, if not presently in the possession of ASSIGNEE, will be delivered to ASSIGNEE immediately upon request.
- D. All causes of action for infringement of or damage to all rights related to the Invention, the Application, and/or the Related Applications, whether accruing before or after this Assignment, and all legal rights to enforce the same against third parties and to retain the entire proceeds therefrom.

ASSIGNOR AGREES, without further consideration or compensation, to communicate to ASSIGNEE any facts of which ASSIGNOR has knowledge respecting the Invention, Application, or Related Applications, testify in any legal proceeding, sign all documents, make all rightful oaths and declarations, and generally do everything possible to aid ASSIGNEE in obtaining and enforcing patents for the Invention in all countries. ASSIGNEE agrees to reimburse ASSIGNOR'S reasonable expenses in

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carrying out his/her obligations under this Agreement, but only upon ASSIGNEE'S prior written approval of such expenses which in no event shall include ASSIGNOR'S time or legal expense.

### AND ASSIGNOR FURTHER AGREES AS FOLLOWS:

- This Agreement is binding on ASSIGNOR, its officers, agents, employees, heirs, successors, assigns, affiliates, and those entities acting under its direction and control, and shall inure to the benefit of ASSIGNEE, its successors and assigns. This Agreement, and the rights and obligations arising hereunder, are not assignable or transferable by ASSIGNOR, by operation of law or otherwise, and any attempt to do so shall be null and void. This agreement is fully assignable by ASSIGNEE. The obligations set forth in this Agreement shall survive the term of any employment agreement or any other affiliation between the ASSIGNEE and ASSIGNOR.
- If ASSIGNOR cannot be located or is unable or unwilling to sign documents as required hereunder, ASSIGNOR agrees to and does hereby appoint ASSIGNEE as ASSIGNOR'S attorney-in-fact for the limited purpose of executing all documents and performing all other acts necessary to give effect and legality to the provisions of this Agreement. ASSIGNOR acknowledges that this appointment is coupled with an interest and is irrevocable.
- This Agreement shall be governed and construed in accordance with the laws of the state of California, U.S.A. without regard to conflicts of law provisions. The exclusive jurisdiction for any legal proceeding regarding this Agreement shall be in the state or federal courts of Alameda County, California, and the parties expressly agree that jurisdiction and venue are proper in said courts. In the event that any legal action becomes necessary to enforce or interpret the terms of this Agreement, the prevailing party shall be entitled, in addition to its court costs, to such reasonable attorneys' fees, expert witness fees and legal expenses as shall be fixed by a court of competent jurisdiction.
- ASSIGNOR acknowledges that, to the best of his or her knowledge, the Invention is patentable, and further agrees not to take any action challenging or opposing, on any grounds whatsoever, ASSIGNEE'S rights granted under this Agreement, or the validity thereof. No course of conduct or dealing between the parties shall act as an amendment, modification or waiver of any provision of this Agreement, and only an amendment, modification or waiver which is contained in a written agreement signed by both ASSIGNEE and ASSIGNOR shall be effective. Further, this Agreement contains the entire understanding between the parties with respect to the subject matter hereof, and there are no representations, warranties, promises or undertakings other than those contained herein.

IN TESTIMONY	WHEREOF, I	hereunto set my	y hand and	seal this 21	_ day of
<u>March</u> , 2006.		$\mathcal{A}$	/	120	0
		1 serc	hard	13. ) fc	$\sim$
		Bernhard B.	Sterling	0,	()
STATE OF CALIFORNIA	<b>A</b> ]		Č		V
COUNTY OF ALAMEDA	<b>ss</b> .				

On March 21, 2006, before me, Michele Laubach, personally appeared Bernhard B. Sterling personally known to me (er proved to me on the basis of satisfactory evidence) to be the person whose name is is/age subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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WITNESS my hand and official seal.

[SEAL]

STATE OF (ALIFORNIA)

COUNTY OF ALAMEDA



Michiel Souboel
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 2) day of MARCH , 2006. STATE OF CALIFORNIA COUNTY OF ALAMEDA On March 21, 2006, before me, Michele Laubach, personally appeared James R. Braig personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(x) whose name(x) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(i), and that by his signature(s) on the instrument the person(x), or the entity upon behalf of which the person(x) acted, executed the instrument. WITNESS my hand and official seal. heledaubaed [SEAL] IN TESTIMONY WHEREOF, I hereunto set my hand and seal this  $\frac{22^{nd}}{10^{n}}$ 

On March 22, 2006, before me, Michele Laubach, personally appeared Peter Rule personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s).

Client Code: OPTIS.149A

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whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(its), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(x) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Motary Signature

IN TESTIMONY March, 2006.	WHEREOF,	Ι	hereunto	set	my	hand	and	seal	this	904	day	of

W. Dale Hall

STATE OF CALIFORNIA)

Application No.: 11/314,173

Filing Date: December 21, 2005

COUNTY OF ALAMEDA

On MARCH 9, 2006, before me, Michele Laubach, personally appeared W. Dale Hall personally known to me (or proved to me on the basis of satisfactory evidence) to be the person() whose name() is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity (ies), and that by his signature (s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Millely Saubach
Notary Signature

ĮN	TESTIMONY, 2006.	WHEREOF,	I	hereunto	set	my	hand	and	seal	this	22	dav	of
March	, 2006.											,	

Mark Wechsler

STATE OF CALIFORNAD | ss.

Application No.: 11/314,173 Filing Date: December 21, 2005 Client Code: OPTIS.149A Page 5

On March 22, 2006, before me, Michele Laubach, personally appeared Mark Wechsler personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is is/at subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity (its), and that by his signature on the instrument the

person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Michell Janach

Grany Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 6 day of 2006.

Jenniger H. Gable

STATE OF CALIFORNIA

COUNTY OF ALAMEDA

SS.

entity upon behalf of which the person(s) acted, executed the instrument.

Jennifer

GABLE On MARCH 6, 2006, before me, MICHELE LAUBACH, personally appeared Peter

Rule personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(N)

whose name(N) is/are subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity(ies), and that by her signature(s) on the instrument the person(N), or the

WITNESS my hand and official seal.

RECORDED: 05/19/2006

[SEAL]

MICHELE LAUBACH
COMM. # 1519969
MOTARY PUBLIC - CALIFORNIA
ALAMEDA COUNTY
My Comm Expires October 17, 2008

Midule Jawbach
Notary Signature

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